



Department
of Health



Department
for Work &
Pensions

Tabitha Jay
Director for Work and Health Unit & Office
for Disability Issues
Caxton House, Tothill Street
London, SW1H 9NA

Telephone
Email

07393763258
tabitha.jay@dh.gsi.gov.uk

Sarah Wilkinson
Chief Executive
NHS Digital
1 Trevelyan Square, Boar Lane
Leeds
LS1 6AE

Dear Sarah

I am writing to provide a Direction to NHS Digital, formerly known as the Health and Social Care Information Centre (HSCIC) and hereafter referred to as NHS Digital, to establish and operate an informatics system for the collection and analysis of work data to support patient care and the evaluation of the initiative Employment Advisers in Improving Access to Psychological Therapies (IAPT).

This Direction is given in exercise of the power conferred by sections 254(1) and (6), 260(2)(a), 261(3), 274(2) and 304(9), (10), (12) of the Health and Social Care Act 2012 and Regulation 32 of the National Institute for Health and Social Care Excellence (Constitution and Functions) and the Health and Social Care Information Centre (Functions) Regulation 2013.

In accordance with section 254(2)(A) of the Act, the Secretary of State considers that the information obtained by complying with this direction is necessary in relation to the exercise of the Secretary of State's functions in connection with the provision of health services or of adult social care in England.

This Direction is to be known as the Employment Advisers in IAPT Direction, and comes into force on 6th September 2017. The Direction will cover the collection of person-level data from IAPT services.

Under section 254 of the 2012 Act, NHS Digital is directed to:

- Collect data relating to person-level information on [see Annex I for full list of data items to be collected)
- Collect the data on a monthly basis

Please accept this letter as a Direction given under subsection (1) of section 254 of the 2012 Act to NHS Digital to exercise the functions in relation to the informatics support service for the collection of data from IAPT services. This includes the development of an appropriate IAPT Intermediate Database (IDB) tool, modification

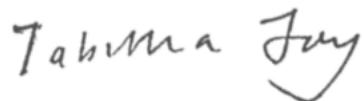
of the Bureau Service Portal (BSP), analysis to link Employment Adviser in IAPT data items to the IAPT Minimum Dataset (MDS) and publication of monthly aggregate reports. The purpose of the data collection is to fulfil the Secretary of State for Health's statutory duty to protect health and address inequalities, and promote the health and wellbeing of the nation. In addition, this data will be used to inform clinical care in the provision of integrated employment support in IAPT services going forward.

In exercising the functions under this Direction, NHS Digital must have regard to such priorities, policies, advice or guidance of the Secretary of State as the Secretary of State may notify in writing to NHS Digital.

In accordance with regulation 32(2)(a) of the Regulations, the Secretary of State will make payments to NHS Digital for things done in connection with the exercise of the systems delivery functions referred to above.

In accordance with section 254(5), NHS Digital has been consulted before this Direction has been given.

Yours sincerely

A handwritten signature in black ink that reads "Tabitha Jay". The signature is fluid and cursive, with "Tabitha" on the top line and "Jay" on the bottom line.

Director name: Tabitha Jay
Director title: Director of Work and Health Unit

Annex I: Employment Advisers in IAPT Data Items to be Collected

Data Item Name	Additional Notes
NHS NUMBER	<p>The NHS Number, the primary identifier of a person, is a unique identifier for a Patient within the NHS in England and Wales.</p> <p>This data item is already collected in the IAPT mandatory core data set and therefore the legal basis for this is established separately.</p>
LOCAL PATIENT IDENTIFIER (EXTENDED)	<p>This number is used to identify a Patient uniquely within a Health Care Provider. It may be different from the Patient's case note number and may be assigned automatically by the computer system.</p> <p>This data item is already collected in the IAPT mandatory core data set and therefore the legal basis for this is established separately.</p>
ORGANISATION CODE (CODE OF PROVIDER)	<p>This is the Organisation Code of the organisation acting as a Health Care Provider.</p> <p>This data item is already collected in the IAPT mandatory core data set and therefore the legal basis for this is established separately.</p>
SERVICE REQUEST IDENTIFIER	The unique identifier for a SERVICE REQUEST.
APPOINTMENT DATE	
APPOINTMENT TIME	These data items are already collected in the IAPT mandatory core data set and therefore the legal basis for this is established separately.
EMPLOYMENT ATTENDANCE STATUS	<p>The current attendance status of a PERSON in EMPLOYMENT.</p> <p>This data item is required to distinguish between people who are employed and in work (1) and people who are employed and off work through sickness leave (2).</p> <p>This information should be used to inform patient: therapist conversations about the suitability of employment</p>

	<p>support and on-going therapeutic treatment to ensure it is most-effectively tailored to the individual. This contextual information will help shape the type of employment support delivered.</p> <p>Therefore, this data item will only apply to people who identified themselves as employed (01) in the IAPT Appointment table data item 'Employment Status'.</p> <p>This data item should be used to inform ongoing care and will enhance our understanding of those who may not receive Statutory Sick Pay (SSP) or do not recognise themselves as in receipt of SSP.</p>														
WEEKLY HOURS WORKED	<p>The number of hours worked in a typical week by a PERSON.</p> <p>This data item will provide contextual information for the delivery of therapeutic treatment and employment support. The data item may also be used to identify a patient's journey and progression in work.</p> <p>This is a NHS Dictionary data item:</p> <table> <tbody> <tr><td>01</td><td>30 + hours</td></tr> <tr><td>02</td><td>16-29 hours</td></tr> <tr><td>03</td><td>5-15 hours</td></tr> <tr><td>04</td><td>1-4 hours</td></tr> <tr><td>97</td><td>Not disclosed</td></tr> <tr><td>98</td><td>Not applicable</td></tr> <tr><td>99</td><td>Not known</td></tr> </tbody> </table>	01	30 + hours	02	16-29 hours	03	5-15 hours	04	1-4 hours	97	Not disclosed	98	Not applicable	99	Not known
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04	1-4 hours														
97	Not disclosed														
98	Not applicable														
99	Not known														
BENEFIT STATUS	<p>The current benefits status of the patient.</p> <p>Benefit status is already partially collected in the IAPT Appointment table date item 'Employment Status'.</p> <p>This data item informs the following data items. If the answer is 'N' there is no requirement to flow data for the remaining benefits questions.</p> <p>New benefit status questions will provide greater granularity on patient outcomes. The questions will also provide context to inform the delivery of therapeutic treatment and employment support.</p>														

JOBSEEKER'S ALLOWANCE STATUS	The current Jobseeker's Allowance (JSA), Employment and Support Allowance (ESA) or Universal Credit (UC) Status of the patient.
EMPLOYMENT AND SUPPORT ALLOWANCE STATUS	
UNIVERSAL CREDIT STATUS	<p>These three benefit types are mutually exclusive, i.e. it will only possible to receive one and not two or all of these benefits.</p> <p>Only one of these data items should be recorded as 'Y'.</p> <p>For more information on JSA please follow the link.</p> <p>For more information on ESA please follow the link.</p> <p>For more information on UC please follow the link.</p>
PERSONAL INDEPENDENCE STATUS	<p>The current Personal Independence Payment (PIP) Status of the patient.</p> <p>For more information on PIP please follow the link.</p>
OTHER BENEFIT STATUS	The current Other Benefits Status of the patient.
EMPLOYMENT SUPPORT TYPE	<p>The type of employment support given to a PATIENT or planned to be given to a PATIENT.</p> <p>This data item will identify how a person has been supported while receiving employment support, i.e. supported to remain in work (1), return to work (2), or to find work (3).</p> <p>This will tell us if there has been a change in the type of support a person has received over time.</p> <p>This information can only be determined by an EA.</p>
LAST EMPLOYMENT SUPPORT APPOINTMENT INDICATOR	<p>An indicator to identify whether the employment support appointment is the last appointment.</p> <p>Where the response to this data item is 'Y' it must be recorded and flowed prior</p>

to the end of the refresh submission window for the reporting period. For example: if the appointment is in April, the record must be updated and flowed by the end of June. If the record does not flow on time, the patient will still be considered to be in receipt of Employment Advice for reporting purposes and it will not be possible to change this status.

This information can only be determined by an EA.

Where it is not possible to determine the last employment support appointment during the course of the last employment support appointment, the record must be revisited and identified as such once the last employment support appointment can be reasonably identified.