



## Application for a United Kingdom Animal Transporter Authorisation for Type 1 Authorisations (Valid for journeys over 65km and up to 8 hours) for Transport by Road

For Official Use Only

These details are also available in Welsh.

**Please read each section carefully together with the separate guidance notes on transporter authorisations before completing this form.**

1. This application should be completed in BLOCK capitals using black ink.
2. Your application will not be processed if sections marked with an asterisk (\*) are not completed.
3. Only one application to be made per transporter. Any natural or legal person (e.g. company/partnership/unincorporated association) may apply for an authorisation but will be limited to one authorisation per individual or business.
4. You can submit your application form by post, email or fax, however please note that emailed application forms must be signed at Part 3.
5. If you have any queries please contact the Animal and Plant Health Agency (APHA) Welfare in Transport Helpline on: 03000 200 301.

**Please note we will not acknowledge receipt of this form**

### Part 1 - Applicant details

#### Question 1 - This section is mandatory

Please provide the name under which the Transporter Authorisation should be issued.

Name of Transporter  
(see definition below) \*

Legal status of  
Transporter\*

Individual/sole trader

Company/Partnership/Unincorporated association

A transporter is defined in Council Regulation 1/2005 as 'any natural or legal person transporting animals on his own account or for the account of a third party'. You are required to identify the capacity in which you are applying for an authorisation by ticking the appropriate box above.

If you tick 'Individual/sole trader', please provide your own name and address in Part 1.

If you tick 'Company/Partnership/Unincorporated association' and you are acting as an authorised representative of a company/partnership/unincorporated association, please list the organisation as the transporter, and provide details of the organisation's registered address in Part 1.

#### Question 2 – This section is mandatory

Please provide your full correspondence address and contact details

Contact name \*

Address \*

Postcode

Telephone Number \*

Fax number

Email Address

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**Question 3 - This section should be completed if applicable**

Please provide the address and contact details of your main operating address, if different from your correspondence address.

Contact Name			
Main operating address (If different from question 2 above)			
	Postcode		
Telephone Number		Fax Number	
Email address			

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**Question 4 - This section is mandatory**

This application form is intended for applicants wishing to operate means of transport by **road** only. Applicants can, if they wish, be authorised for more than one mode of transport, and should use application form WIT37 to do so.

This authorisation will be limited to:

Mode of Transport \*          road   

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**Question 5 - This section is mandatory**

Please specify which species of animals you wish to be authorised to transport. Applicants can, if they wish, be authorised for more than one species.

Species *	Cattle	<input type="checkbox"/>	Sheep	<input type="checkbox"/>	Goats	<input type="checkbox"/>	Pigs	<input type="checkbox"/>
	Poultry	<input type="checkbox"/>	Registered Horses/Ponies	<input type="checkbox"/>	Unregistered Horses/Ponies	<input type="checkbox"/>		
	Other	<input type="checkbox"/>	If 'Other', please specify	<input type="text"/>				

Possession of a horse passport is not confirmation of a horse's status as a 'registered horse' for the purposes of Regulation EC 1/2005 and this application. A 'registered' horse or pony specifically means one that is registered in studbooks or with international organisations managing competitions (as defined in Directives 90/426/EEC and 90/427/EEC, the Annex to which sets out the minimum information that must be recorded in any identification document accompanying a 'registered horse').

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**Part 2 – Offences and Convictions****Question 6 – This section is mandatory**

In considering applications for Transporter Authorisations any record of a Home Office Simple Caution, Conviction or current ban/disqualification order under the following legislation will generally be taken as grounds for refusing an application, or making an Authorisation conditional:

- Articles 4 or 6 of the Welfare of Animals (Transport) Order 1997
- Articles 4 or 6(c) of the Welfare of Animals (Transport) (England) Order 2006; Article 4 or 6 of the Welfare of Animals (Transport) (Wales) Order 2007; Article 4(c) of the Welfare of Animals (Transport) (Scotland) Regulations 2006
- Articles 5, 6, 7 and 8 of the Welfare of Animals at Markets Order 1990
- The Agricultural (Miscellaneous Provisions) Act 1968
- The Protection of Animals Act 1911 (as amended); The Protection of Animals (Scotland) Act 1912
- The Animal Welfare Act 2006; The Animal Health and Welfare (Scotland) Act 2006

Does anyone who will be involved in transporting animals under this Authorisation, in any capacity, have under the above legislation(s):

- A conviction or Home Office Simple Caution record of any animal welfare offence in the 3 years preceding the date of this application, or
- A current ban or disqualification order

Please indicate:

Yes

No

If 'YES' you must complete the box below (Please attach separate sheets if necessary):

Name	<input type="text"/>	Position	<input type="text"/>
Date(s) of infringements	<input type="text"/>		
Legislation breached	<input type="text"/>		
Penalty imposed, including any restriction/disqualification order with details of its start and end date:	<input type="text"/>		
Date of conviction/ date of issue of Home Office Simple Caution	<input type="text"/>		
Name of court (if there was a conviction)	<input type="text"/>		
Who took the enforcement action (e.g. police, local authority, RSPCA)	<input type="text"/>		
<b>Note:</b> Evidence of action taken to avoid repetition of the offence(s) may be taken into account, as will the seriousness of the offence(s) and any infringement(s) that have not resulted in Convictions or Home Office Simple Cautions (such written and verbal warnings and any Statutory Notice served).			

### Part 3 - Declaration and Signature - This section is mandatory

Please tick 'Yes', 'No' or 'n/a' to the following statements:

A copy of Council Regulation (EC) No 1/2005 can be accessed at the following link:

<http://faolex.fao.org/docs/pdf/eur69655.pdf>

	REQUIREMENT	YES	NO	N/A
1	Are you/is your company based in the UK?	<input type="checkbox"/>	<input type="checkbox"/>	
2	Is this your sole transporter authorisation? (i.e. you do not hold any other authorisations either issued in the UK or by the competent authority in another Member State)	<input type="checkbox"/>	<input type="checkbox"/>	
3	Does your Business have sufficient personnel to enable you/your company to comply with Council Regulation EC 1/2005?	<input type="checkbox"/>	<input type="checkbox"/>	
4	Do all of the drivers and attendants responsible for transporting animals <sup>1</sup> on behalf of your Business hold certificates of competence as required by Article 6(5) and Article 17(2) of the Regulation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Apart from the drivers and attendants referred to in Q.4 have all other staff that are entrusted to handle animals in accordance with the Regulation on your behalf <sup>2</sup> received the necessary training to enable them to comply with the requirements of the Regulation?	<input type="checkbox"/>	<input type="checkbox"/>	
6	Do you have sufficient equipment at your disposal to be able to comply with the provisions of the Regulation? e.g. loading and unloading facilities, feeding and drinking facilities, appropriate and sufficient lighting?	<input type="checkbox"/>	<input type="checkbox"/>	
7	Do you/your staff have access to up-to-date instructions or operating procedures (including Good Practice Guides) to enable compliance with the provisions of the Regulation?	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> Domestic equidae or domestic animals of the bovine, ovine, caprine or porcine species.

<sup>2</sup> Personnel employed either directly or indirectly (e.g. contract personnel) by you.

8	If you use any hired or contract personnel for driving livestock, do you ensure that these personnel are authorised as transporters in their own right and that they hold an authorisation issued under the terms of Article 10 (short journeys) or Article 11 (long journeys) of the Regulation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If you have answered 'Yes' to Q.8 do you also check that these hired or contracted drivers hold certificates of competence as required by Article 6(5) and Article 17 (2)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Do you have the necessary facilities and the trained personnel at your disposal to enable the <u>safe</u> transfer of animals in the event of a vehicle breakdown?	<input type="checkbox"/>	<input type="checkbox"/>	

Your application will not be processed unless you have answered all of the above questions.

**I declare that:**

- A. I am responsible for the validity of all the information that I have given on this form, including information about other people
- B. As the person completing this form I have gained consent of the individual(s) named on this form and they are aware of how their personal data will be processed and for what purpose(s)
- C. If I give information that is false or misleading and I do this knowingly or recklessly, then I understand that this application may be refused, or if granted, subsequently revoked and that I may be prosecuted
- D. I will transport animals in accordance with Council Regulation (EC) No. 1/2005 (the Regulation) on the protection of animals during transport once an Authorisation is issued
- E. I understand that it is an offence to transport any animals which require an Animal Transporter Authorisation, under the Regulation before an Authorisation is issued by APHA
- F. I will inform the office which issued the Authorisation of any changes in the details I have provided on this form within 15 days of those changes occurring and understand that if any information on the Authorisation Certificate changes, the Authorisation Certificate will be revoked and a new one will need to be issued
- G. I have sufficient and appropriate staff, equipment and operational procedures to comply with the Regulation and that I will only entrust the animals transported in the course of my/our business to persons who have demonstrated their competence in accordance with the Regulation
- H. I will ensure a copy of the Authorisation is carried in the vehicle(s) at all times when transporting animals and the Authorisation will be made available to any Enforcement Officer within the United Kingdom or European Union if asked to do so.

Signature of applicant \*  Date\*

Title (Mr, Mrs, Miss etc)\*

First Name(s) \*

Surname of applicant \*

Your date of birth \*

Please indicate position in company (if applicable) \*

Sole trader  Partner  Director  Company Secretary   
 Other  (please specify)

Now send this form to: Welfare in Transport Team  
 Animal and Plant Health Agency  
 Centre for International Trade - Carlisle  
 Eden Bridge House  
 Lowther Street  
 Carlisle  
 CA3 8DX  
 email: [wit@apha.gsi.gov.uk](mailto:wit@apha.gsi.gov.uk)

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**FAIR PROCESSING NOTICE**

Defra, the Scottish Government, the Welsh Government and the Food Standards Agency are Data Controllers in Common in respect of personal data processed by the Animal and Plant Health Agency (APHA). For the purposes and usage of the data by APHA and the data sharing arrangements, please see the Personal Information Charter on GOV.UK. A hard copy of this can be provided if required; please contact your local APHA Field Service office. APHA will not permit any unwarranted breach of confidentiality or act in contravention of their obligations under the Data Protection Act 1998. APHA is an Executive Agency of the Department for Environment, Food and Rural Affairs and also works on behalf of the Scottish Government, Welsh Government and Food Standards Agency.

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**You should keep a copy of this document for your records, and present it to enforcement officers in the event that your application is yet to be processed**

APHA is an Executive Agency of the Department for Environment, Food and Rural Affairs and also works on behalf of the Scottish Government, Welsh Government and Food Standards Agency to safeguard animal and plant health for the benefit of people, the environment and the economy.