

# Open General Export Licence

Export After Exhibition: Dual-Use Items

August 2021

## **Open General Export Licence**

(Export After Exhibition: Dual-Use Items) dated 18 August 2021, granted by the Secretary of State.

The Secretary of State hereby grants the following Open General Export Licence under article 26(4) of the Export Control Order 2008 (S.I. 2008/3231, as amended) and Article 9(2) and (4) of,—

- (a) in relation to England and Wales and Scotland, Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (EUR 2009/428, as amended).
- (b) in relation to Northern Ireland, Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (OJ No. L 134, 29.5.2009, p. 1, as amended) as it has effect by virtue of the Protocol on Ireland/Northern Ireland in the EU withdrawal agreement.

In this licence where there is reference to "the Regulation", in respect of items located in England, Wales or Scotland it means (a) above and in respect of items located in Northern Ireland it means (b) above.

#### Licence

- 1. Subject to the following provisions of this licence, any items specified in Part A of Schedule 1 hereto, other than any specified in Part B of that Schedule, which have been temporarily imported into the United Kingdom for the purpose of exhibition may be subsequently exported from the United Kingdom, to any destination except a destination in a country specified in Schedule 2 provided the item is being exported:
  - (1) for delivery to the person who sent them to the United Kingdom and to the country from which they were imported; or
    - to the country from where they were originally exported for the purpose exhibition or demonstration, if they have arrived in the United Kingdom from an exhibition or demonstration in a third country; or
  - (3) For subsequent exhibition or demonstration in a third country, except a destination in a country specified in Schedule 2.

#### **Exclusions**

- 2. This licence does not authorise the export of items:
  - (1) if the exporter has been informed by a competent authority of the Member State where they are established that they are or may be intended, in their entirety or in part;
    - (a) for use in connection with the development, production, handling, operation, maintenance, storage, detection, identification or dissemination of chemical, biological or nuclear weapons, or other nuclear explosive devices or the development, production, maintenance or storage of missiles capable of delivering such weapons,
    - (b) for a military end use and the purchasing country or country of destination is subject to an arms embargo imposed through its listing as an embargoed destination in Part 1 or 2 of Schedule 4 to the Export Control Order 2008 as amended from time to time, or by a decision of the OSCE or an arms embargo imposed by a binding resolution of the Security Council of the United Nations, and additionally in the case of goods being exported from NI an arms embargo imposed by a decision or a common position adopted by the Council of the European Union, or
    - (c) for use as parts or components of military items listed in Schedule 2 to the Export Control Order 2008 that have been exported from the United Kingdom without authorisation or in violation of an authorisation;
  - (2) if the exporter is aware that the items in question are intended, in their entirety or in part, for any of the uses referred to in subparagraph (1);
  - if the exporter has grounds for suspecting that the items in question are or may be intended, in their entirety or in part, for any of the uses referred to in sub-paragraph (1)(a), unless the exporter has made all reasonable enquiries as to their proposed use and is satisfied that the items will not be so used:
  - (4) to a destination within a Customs Free Zone.
  - (5) if their export is controlled by virtue of any entry in Annex I to the Regulation not specified in Schedule 1.

- 3. The authorisation in paragraph 1 is subject to the following conditions:
  - (1) any items imported into the United Kingdom pursuant to this Licence shall have been;
    - (a) imported under an appropriate Customs temporary Procedure; or
    - (b) imported under an ATA CARNET.
  - (2) items exported pursuant to this licence shall have been imported into the United Kingdom no earlier than two years prior to the date of exportation;
  - on exportation of any items pursuant to this licence, the exporter shall produce to an officer of HM Border Force, if so requested, documentary evidence of the date of their importation into the United Kingdom, and that the items
    - (a) are being returned to the person and country from which they were imported into the United Kingdom; or
    - (b) are being returned to the country from where they were originally exported for the purpose exhibition or demonstration; or
    - (c) are being exported to a third country for further demonstration or exhibition.
  - (4) except in the case of an export of technology by telephone, fax or other electronic media, official and commercial export documentation accompanying the items shall include a note stating that "These items are being exported under the Open General Export Licence (Export After Exhibition: Dual-Use Items)" and shall be presented to an officer of HM Border Force if so requested;
  - (5) The Secretary of State has the power to vary or withdraw export licences at any time. If you do not use this licence within any 24-month period for an export allowed by this licence, your entitlement to use it will **automatically run out** at the end of that 24-month period and your registration details will be removed from SPIRE. However, you can register for this licence again if you want to use it after your registration has ended.
  - (6) Movement of any goods or technology having a security classification of CONFIDENTIAL for material classified by the UK prior to 2 April 2014 or internationally security classified CONFIDENTIAL-equivalent material or SECRET or above must be undertaken in accordance with the national security requirements of the originating country.

#### Registration

# The requirements of Article 28 of the Order shall not apply to this Licence

Prohibitions not affected by this Licence

4. Nothing in this licence affects any prohibition or restriction on the export of any items other than under the Regulation or the Order, and this licence does not confer any licence or permission under, or for the purposes of, any enactment other than the Regulation and the Order.

#### Interpretation

- 5. For the purpose of this Licence:
  - (1) "Customs Free Zone" means a part of the territory of a country where any goods introduced are generally regarded, insofar as import duties and taxes are concerned, as being outside the customs territory of that country, and are not subject to the customs controls that would otherwise apply;
  - (2) "entry" includes part of an entry;
  - (3) "exhibition" includes demonstration and/or evaluation; and
  - (4) unless the context otherwise requires, any other expression used in this Licence has the same meaning as in the Regulation or the Order as appropriate.

#### Entry into Force

- 6. This Licence comes into force on 18 August 2021.
- 7. The Open General Export Licence (Export After Exhibition: Dual-Use Items) dated 31 December 2020 is revoked.

An Official of the Department for International Trade authorised to act on behalf of the Secretary of State

### **SCHEDULE 1 ITEMS CONCERNED**

#### **PART A**

Items specified in any entry in Annex I to the Regulation.

#### **PART B**

1E001

1E002.g

1E101

1E102

1E201

2B352.h

2B352.i.

2D003

3A001.a.13

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3A001.b.3.f
3A001.b.10
3A001.b.12
3A001.h
                        'evelopr
3A001.i.
3A002.d.5
3A002.g.1
3A201
3A228
3A229
3A231
3A232
3A234
3B001.j.
3C005.b.
3D005
3D225
3E004
3E201
3E225
4A005
4D004
4E001c
5A001.h
5A001.j
5D001.e
         only technology for the development, production, or use of goods
5E001.a
         specified in 5D001.e.
5E001.c.6
5E001.d
5E001.e
5A004
5D002.c.3
        only technology for the development, production, or use of goods
5E002
        specified in 5A004 or 5D002.c.3
6A001.a.1.a
6A001.a.1.b
6A001.a.2.a.1
6A001.a.2.a.2
6A001.a.2.a.3.
6A001.a.2.a.5
6A001.a.2.a.6.
6A001.a.2.b
6A001.a.2.c.
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6A001.a.2.e.
6A001.a.2.f
6A001.a.2.g.
6A002.f.
6A005.b.4.a
6A005.b.5.a
                        ment c
6A005.b.6.a
6A005.e.3
6A005.g
6A008.j.3
6A203
6A225
6A226
6B002
6B008
6B108
6C004.b
6C004.c
6D003.a
6D003.c
6D003.d
6D203
6E201
6E203
7E004.b.7
7E004.b.8
7A117
        Test, calibration or alignment equipment specially designed for
7B001
        equipment specified in 7A117.
        Equipment specially designed for the production of equipment specified
7B003
        in 7A117.
7B103
        Production facilities specially designed for equipment specified in 7A117.
7D005
        Software specially designed for use of equipment specified in 7B003 or
7D101
        7B103.
        Technology for the development of equipment or software specified in
7E001
        7A117, 7B003, 7B103, 7D005 or 7D101.
7E001
        Technology for the production of software specified in 7D005.
7E002
        Technology for the production of equipment specified in 7A117,
        7B003 and 7B103.
        Technology for the use of equipment specified in 7A117, 7B003,
7E101
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# 7B103 and 7D101.

9E101

7E104	
8A002.0	
8A002.r 8D002 8E002.a	
9A004 9A005	
9A007.a 9A008.d 9A009.a	
9A104	
9A105.a	
9A106.c 9A108.c	
9A116	
9A117	
9A119	1.0
9A121	
9B001.c	
9B115	Specially designed production equipment and production facilities for
	the systems, sub-systems and components specified in 9A005, 9A007.a., 9A008.d., 9A105.a, 9A106.c., 9A108.c., 9A116 and 9A119.
9B116	Specially designed production facilities for the systems, sub- systems and components specified in 9A004, 9A005, 9A007.a., 9A008.d.,
	9A104, 9A105.a., 9A106.c., 9A108.c.,9A116 and 9A119.
9D004.0	Software specially designed to control directional- solidification or single-crystal material growth in equipment specified by 9B001.c
9D005	
9D101	Software specially designed for the use of items specified in 9B116.
9E001	Technology for the development of equipment or software specified in 9A004, 9A005, 9A007.a., 9A008.d., 9B001.c., 9B115, 9B116, 9D004.c., 9D005. and 9D101.
9E002	Technology for the production of equipment specified in 9A004, 9A005, 9A007.a., 9A008.d., 9B001.c., 9B115 and 9B116.
9E003.j	

Technology for the development or production of equipment specified

in 9A104, 9A105.a., 9A106.c., 9A108.c., 9A116, 9A119 and 9A121.

9E102 Technology for the use of items specified in 9A004, 9A005, 9A007.a., 9A008.d., 9A104, 9A105.a., 9A106.c., 9A108.c., 9A116, 9A119, 9A121, 9B001.c, 9B115, 9B116 or 9D101.

Revoked 28 February 2022

#### SCHEDULE 2 DESTINATIONS CONCERNED

This export authorisation is valid for exports to the following destinations:

#### All destinations other than in:

 Afghanistan, Angola, Egypt, Iran, Iraq, Kuwait, Libya, North Korea, Myanmar (Burma), Pakistan, Saudi Arabia, Sri Lanka, Sudan, Syria, United Arab Emirates, Venezuela and Yemen.

#### NOTE 1:

For exports from Northern Ireland an export licence is NOT required for dualuse goods being exported to EU destinations BUT you must state on export documents that your items require a licence if exported outside the EU and you must keep records (as specified in Articles 22(10) and 22 (8) of the EU Dual Use Regulation).

#### **EXPLANATORY NOTE**

(This note is not part of the licence)

- 1. This Open General Export Licence has been revised to remove Afghanistan as a permitted destination and add EU Member States as permitted destinations.
- 2. This Open General Export Licence permits, without further authority but subject to certain conditions, the export from the United Kingdom to any destination other than one listed in Schedule 2 to the licence of items specified in Part A of Schedule 1 to the licence, other than those specified in Part B of that Schedule, which have been temporarily imported into the United Kingdom for exhibition.
- 3. The Export Control Order 2008 ("the Order") contains certain registration requirements which do not apply to persons using this licence.
- 4. It is condition of this licence that items being exported under the terms of this licence shall have been imported into the United Kingdom no earlier than two years before the date of exportation.
- 5. It is also a requirement of this licence that the exporter shall produce to an officer of HM Border Force, if so requested, documentary evidence of the date of importation of the items into the United Kingdom.
- 6. This licence does not extend to any prohibition under legislation other than the Regulation or the Order. in particular it does not extend to prohibitions in other legislation implementing United Nations sanctions.
- 7. Under para 4(5) of this licence, exporters exporting goods or technology carrying a security grading of CONFIDENTIAL for material classified by the UK prior to 2 April 2014 or internationally security classified CONFIDENTIAL-equivalent material, or SECRET or above must ensure that the national requirements for transportation of goods at that classification are addressed and they are able to provide proof that transportation plans have been approved by the relevant authorities in the originating and receiving country.
- 8. Further advice can be obtained from:

Defence Equipment & Support (DE&S) Principal Security Advisor Security Advice Centre Poplar

MOD Abbey Wood Bristol, BS34 8JH Tel: 030 67934378 Fax: 030 67934925

e-mail: desinfra-securityadvicecentre@mod.uk

Revoked 28 February 2022

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