



## Initial Applications - £2 Million available for investment

You hold money of your own, under your own control, held in a regulated financial institution and disposable in the United Kingdom amounting to no less than £2 million [75 points available].

**2.1 You must provide evidence in the form of original documents from one or more of the sources below to establish the amount of money you have available for investment in the UK. Put a cross (x) in the relevant box(es) below to confirm the documents submitted as evidence for this claim.**

- |   |  |
|---|--|
| <input type="checkbox"/> Portfolio produced by a regulated financial institution covering the three consecutive months from the period immediately before the application. This portfolio must be in your name (or your name and/or the name of your spouse/civil partner/unmarried partner/same sex partner) and confirm that the required amount of money has been held in investments for the full 3 months immediately before this application.   | <input type="checkbox"/> Personal bank statements covering the three consecutive months from the period immediately before the application. These statements must be in your name (or your name and/or the name of your spouse/civil partner/unmarried partner/same sex partner) and confirm that the required amount of cash has been held for the full 3 months immediately before this application. |
| <input type="checkbox"/> Portfolio not managed by a regulated financial institution covering the three consecutive months from the period immediately before the application. This portfolio must be in your name (or your name and/or the name of your spouse/civil partner/unmarried partner/same sex partner) and confirm that the required amount of cash has been held in investments for the full 3 months immediately before this application. | <input type="checkbox"/> A letter from your bank confirming that you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have held the required amount of cash for the full 3 months immediately before this application.   |

**2.2 Is the money shown as being in your portfolio/accounts (or in your name and/or the name of your spouse/civil partner/unmarried partner/same sex partner) consistently for a full 3 months before the application? Put a cross (x) in the relevant box**

- |   |  |
|---|--|
| <input type="checkbox"/> Yes - Go to Question 2.4 | <input type="checkbox"/> No - Go to Question 2.3 |
|---|--|

**2.3 You must provide evidence to show the source of funds. Unless we state otherwise only original documents will be accepted.** Put a cross (x) in all boxes that show the origin of the funds that have been acquired in the last 3 months that you are relying on to claim points. At least one box must be ticked, then go to Question 2.4.

- |   |   |
|---|---|
| <input type="checkbox"/> Irrevocable memorandum of gift and confirmation from a legal adviser that the memorandum is valid (2 pieces of evidence).  | <input type="checkbox"/> Deeds of sale of assets and confirmation from a legal adviser that the sale was genuine and the money is available (2 pieces of evidence).   |
| <input type="checkbox"/> Notarised copy of a divorce settlement/dissolution of civil partnership showing the amount of money awarded to you (or to you and/or your spouse/civil partner/unmarried partner/same sex partner) and a letter from a legal adviser confirming the validity of the settlement (2 pieces of evidence); | <input type="checkbox"/> Business financial accounts that meet statutory requirements covering 3 consecutive months from the period immediately prior to submission of the application, showing the full amount of the available money; plus a letter from your legal adviser stating that you can lawfully extract the money from the business (2 pieces of evidence); |
| <input type="checkbox"/> Notarised copy of a will showing that you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have inherited the funds, and confirmation from a legal adviser that the will is valid (2 pieces of evidence);  | <input type="checkbox"/> A letter from an organisation issuing a financial award or winnings, with details of the amount and date of the winnings, and confirmation from a legal adviser that the award was made (2 pieces of evidence);  |
| <input type="checkbox"/> If you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have received a sum from a source not covered above you must provide evidence of the source of funds, and independent corroboration (2 pieces of evidence).  |   |

**2.4 Are you relying on funds held either jointly with your spouse/civil partner/unmarried partner/same sex partner or solely by your spouse/civil partner/unmarried partner/same sex partner? Put a cross (x) in the relevant box**

- |   |  |
|---|--|
| <input type="checkbox"/> Yes - Go to Question 2.5 | <input type="checkbox"/> No - Go to Question 2.6 |
|---|--|

**2.5 You must establish that you will have control of the funds in the UK.** Put a cross (x) in at least one box from each of the three sections a), b) and c) below to confirm what evidence you have provided to establish that you have control of the money. The evidence must be in the form of original documents.

**a. Evidence of the relationship.**

A marriage certificate or civil partner document to confirm the relationship; or

At least three pieces of evidence of joint commitments from the following list:

- A bank statement or letter from a bank confirming a joint bank account (i.e. in both names).
- Official document such as a mortgage agreement showing a joint mortgage.
- Official documents such as deeds of ownership or a mortgage agreement showing a joint investment, e.g. property, business.
- Joint rent agreement.
- Any other official correspondence linking both partners to the same address e.g. Council Tax and utility bills.
- Life insurance policy naming other party as beneficiary.
- Birth certificates of any children of the relationship, showing both partners as parents.
- We may also consider any other evidence that adequately demonstrates a couple's long term commitment to one another.

**b. Declaration that you (the applicant) have control of the funds**

A declaration from your spouse/civil partner/unmarried or same sex partner that they will permit you to have control of all joint/personal funds used to claim points.

**c. Confirmation from a legal adviser**

Confirmation from a legal adviser that the declaration from your spouse/civil partner/unmarried or same sex partner is valid.

**2.6 You must confirm that all of the £2 million funds you are relying on to claim points for this application can be transferred into the UK within 3 months of the specified date.** Put a cross (x) in the relevant box below to confirm that the evidence has been supplied to establish this claim.

Money already in the UK.

Confirmation from your bank or financial institution that the money can be transferred into the UK and are convertible to Pounds Sterling. The document must be original.

**Part 3** **Extension Applications**  
granted initial Tier 1 (Investor) entry clearance, leave to enter or leave to remain under the Rules in place before 6 November 2014

**3.1 Do you have no less than £1 million under your control in the UK and have invested no less than £750,000 of this money in specified ways?** Put a cross (x) in the relevant box Yes  No

**3.2 Have you invested not less than £750,000 of your money in the UK by way of UK Government Bonds, or share capital or loan capital in active and trading UK registered companies?** Put a cross (x) in the relevant box Yes  No

**3.3 If you have invested between £750,000 and £1million you must provide evidence of the balance of funds. This can be made up of assets, money maintained on deposit or a combination of both.**

If you have purchased assets in the UK please provide details:

Asset purchased in UK	Value of asset (in pounds sterling)	Date of Purchase

If you have maintained money on deposit in the UK please state the value of funds (in pounds sterling)

£

Go to part 5

## Part 4

### Extension Applications

granted initial Tier 1 (Investor) entry clearance, leave to enter or leave to remain under the Rules in place on or after 6 November 2014

4.1 Do you have no less than £2 million under your control in the UK?

Put a cross (x) in the relevant box

Yes  No

4.2 Have you invested not less than £2 million in the UK by way of UK Government Bonds, or share capital or loan capital in active and trading UK registered companies?

Put a cross (x) in the relevant box

Yes  No

Go to Part 5

## Part 5

### All Extension Applications

5.1 Have you made the investment within three months of your specified date?

Put a cross (x) in the relevant box

Yes  No

5.2 Have you maintained your investment for the full period of leave under this category?

Put a cross (x) in the relevant box

Yes  No

5.3 Do you wish to use your date of entry into the UK as your specified date? Put a cross (x) in the relevant box

Yes  No – we will use the date your initial Tier 1 (Investor) application was granted

5.4 Are you relying on joint funding but you did not provide evidence of the relationship and control of the funds on your previous application? Put a cross (x) in the relevant box

Yes – please provide evidenced detailed in question 2.5  No

5.5 Are you receiving any UK public funds? Put a cross (x) in the relevant box

Yes  No – go to Part 6

5.6 The public funds relevant for the purposes of the Immigration Rules are listed below. Please tick the relevant boxes to show which of these are being received.

- |   |  |
|---|--|
| <input type="checkbox"/> Attendance Allowance               | <input type="checkbox"/> Carer's Allowance                   |
| <input type="checkbox"/> Child Benefit                      | <input type="checkbox"/> Council Tax Benefit                 |
| <input type="checkbox"/> Child Tax Credit                   | <input type="checkbox"/> Council Tax Reduction               |
| <input type="checkbox"/> Disability Living Allowance        | <input type="checkbox"/> Housing Benefit                     |
| <input type="checkbox"/> Income-Based Jobseeker's Allowance | <input type="checkbox"/> Income Support                      |
| <input type="checkbox"/> Income Related Employment          | <input type="checkbox"/> Personal Independence Payment       |
| <input type="checkbox"/> Severe Disablement Allowance       | <input type="checkbox"/> Social Fund Payment                 |
| <input type="checkbox"/> State Pension Credit               | <input type="checkbox"/> Universal Credit                    |
| <input type="checkbox"/> Working Tax Credit                 | <input type="checkbox"/> Housing and Homelessness Assistance |

If you have ticked Housing and Homelessness Assistance please go to question 5.7. In all other cases go to question 5.9

5.7 Please provide further details of your 'Housing and Homelessness Assistance'. Include whether your housing provided by the local housing authority, a housing association, or other organisation, the name of the relevant authority and details of the arrangement.

5.8 If the housing is provided by your local council, housing authority or as part of an agreement between your employer and the housing authority, give details below. You must also provide evidence of this from your local housing authority.

5.9 Please provide details to explain why you believe you are able to claim the relevant public fund(s).

[Go to Part 6](#)

## Part 6 Summary Sheet

Please complete the summary sheet below listing the points you have claimed and the documentation provided. You must provide the required evidence as specified in this application form and the Tier 1 (Investor) Policy Guidance. All documents must be original, unless otherwise stated. Failure to submit required evidence is likely to lead to refusal of the application.

Points Scoring Area	Points Claimed	Documents Provided (please list)
£2 million under your control - in a regulated financial institution and disposable in the UK (Part 2)	<input type="text"/>	<input type="text"/>
Extending leave (granted your initial Tier 1 (Investor) leave before 6 November 2014 (Part 3)	<input type="text"/>	<input type="text"/>
Extending leave (granted your initial Tier 1 (Investor) leave on or after 6 November 2014 (Part 4)	<input type="text"/>	<input type="text"/>
<b>Total</b>	<input type="text"/>	

I confirm I have completed the Personal Details separate form and accept the contents of the declaration.

Applicant's signature

Date

D	D	M	M	Y	Y	Y	Y
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**YOU MUST SUBMIT A PAPER COPY OF THIS APPENDIX WITH YOUR SUPPORTING DOCUMENTS. ENSURE YOU ALSO COMPLETE THE PERSONAL DETAILS FORM EITHER ON PAPER OR ON LINE. YOU SHOULD SIGN THE DECLARATION AND SUBMIT THIS WITH YOUR OTHER DOCUMENTS**