ANNEX

STANDARD DOCUMENT FOR THE SUPERVISION AND CONTROL OF SHIPMENTS OF RADIOACTIVE WASTE AND SPENT FUEL   
(COUNCIL DIRECTIVE 2006/117/Euratom**)**

**General Notes:**

Sections A-1to A-6: to be completed for shipments of radioactive waste and   
Sections B-1 to B-6: to be completed for shipments of spent fuel (including spent fuel destined for final disposal and as such categorised as waste)

**Section A-1 or B-1** (Application for authorisation of shipments): to be completed **by the applicant**, this is the following, depending on the type of the shipment:

* the holder in case of a shipment between Member States (Type MM) or an export from the Community into a third country (Type ME);
* the consignee in case of an import into the Community from a third country (Type IM);
* the person responsible for the shipment in the Member State by which the radioactive waste or spent fuel enters the Community in case of a transit through the Community (Type TT).

**Section A-2 or B-2** (Acknowledgement of receipt of application): to be completed by the relevant **competent authorities** concerned, which are – depending on the type of the shipment – the competent authorities

* of origin in case of a shipment type MM or ME;
* of destination in case of a shipment type IM;
* where the shipment first enters the Community in case of a shipment type TT

and all competent authorities of the Member States of transit, if any.

**Section A-3 or B-3** (Refusal or consent): to be completed by **all competent authorities concerned**.

**Section A-4a/A-4b or B-4a/B-4b** (Authorisation or refusal of shipment): to be completed **by the competent authority responsible for issuing the authorisation**, which is - depending on the type of the shipment - the competent authority of

* the Member State of origin in case of shipments Type MM and ME shipments,
* the Member State of destination in case of Type IM shipments, or
* the first Member State of transit, where the shipment enters the Community in case of Type TT shipments.

**Section A-5 or B-5** (Description of consignment/List of packages): to be completed **by** **the applicant** as referred to in Section A-1 or B-1.

**Section A-6 or B-6** (Acknowledgement of receipt of shipment): to be completed **by the consignee** (in case of MM and IM shipments) **or the holder** (in case of ME shipments) **or the person responsible for the shipment** (in case of TT shipments)

Registration No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   
(*To be completed by the competent authority responsible   
for issuing the shipments authorisation.)*

**SECTION A-1  
APPLICATION for AUTHORISATION of SHIPMENT(S) of  
RADIOACTIVE WASTE**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (1) | **Type of shipment** *(tick the appropriate box):*  **Type MM:** Shipment between Member States *(via one or more Member States or third countries)*  **Type IM:** Import into the Community   **Type ME:** Export out of the Community   **Type TT:** Transit through the Community | | | |
| (2) | **Application for authorisation for** *(tick the appropriate box*):  a single shipment Planned period of execution:       several shipments: number *(planned)***:**Planned period of execution: | | | |
| (3) | not applicable.(for shipment types IM, ME and TT)  Type MM **shipment(s) via** one or more **third countries**: Frontier post of exit from the Community\*:      Frontier post of entry to third country\* *(first country crossed):*Frontier post of exit from third country\* *(last country crossed):*Frontier post of return to the Community  *(\*)These frontier posts must be identical for all shipments covered by the application unless otherwise agreed by the competent authorities.* | | | |
| (4) | **Applicant** *(trade name):**\_*  Holder (for Types MM, ME),  Consignee (for Type IM)\_ Other (for Type TT), to be specified:  Address:  Post code      Town:      Country:      Tel:      Fax:      E-mail:           Contact person: Mr/Ms | | | |
| (5) | **Location** of the radioactivewaste before shipment  *(trade name):*Address: \_      Post code:  Town:       Country:      Tel:       Fax:  E-mail:  Contact person: Mr/Ms\_ | | | |
| (6) | **Consignee** *(trade name):*      \_ Address:      Post code:       Town:       Country:       Tel:      Fax:       E-Mail:  Contact person: Mr/Ms | | | |
| (7) | **Location** of radioactivewaste after shipment:      Address:      Post code:       Town: Country:       Tel:       Fax:      E-mail:  Contact person:  Mr/Ms:      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | |
| (8) | **Nature of radioactive waste:**Physicochemical characteristics*(tick as appropriate):*  solid,  liquid, gaseous,  other (e.g. fissile, low dispersible,…), to be specified:      Main radionuclides**:**Maximumalpha activity: per shipment (GBq):  per package (GBq):       Maximumbeta/gamma activity: per shipment (GBq):  per package (GBq):  Total alpha activity (GBq):  Total beta/gamma activity (GBq):  *(These values are estimates if the application relates to several shipments)* | | | |
| (9) | Total number of packages:  Total net mass of shipment (kg):     Total gross mass of shipment (kg):      *(These values are estimates if the application relates to several shipments)* Description of consignment :Plastic bags, metal drums (m3):     , ISO transport container (m3):     , other, to be specified:      Type of package[[1]](#footnote-1) (*if known*):      Means of identification of the packages *(if labelling is used, annex examples):* | | | |
| (10) | **Type of activity** giving rise to the radioactive waste *(tick as appropriate)*: medicine,  research,  (non-nuclear) industry,  nuclear industry,  other activity *(to be specified):* | | | |
| (11) | **Purpose of the shipment:**  Return of radioactive waste after (re)treatment or reprocessing of spent fuel  Return of radioactive waste after treatment of radioactive waste   Treatment, e.g. (re)packaging,conditioning, volume reduction   Interim storage   Return after interim storage   Final disposal   Other purpose *(to be specified)*: | | | |
| (12) | Proposed mode of transport (*road, rail, sea, air, inland waterway)* | Point of departure: | Point of arrival: | Proposed Carrier  *(if known):* |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |
| 4. |  |  |  |
| 5. |  |  |  |
| 6. |  |  |  |
| 7. |  |  |  |
| 8. |  |  |  |
| (13) | Sequential list of countries concerned in the shipment *(the first country is that where the radioactive waste is held and the last is the country of destination)* | | | |
| 1. | 3. | 5. | 7. |
| 2. | 4. | 6. | 8. |
| (14) | In accordance with the provisions of Directive 2006/117/Euratom, I, the applicant, hereby:  1. apply for authorisation to make the shipment(s) of radioactive waste described above, and  2. certify that the information provided above is correct to the best of my knowledge and that the shipment(s) will be carried out in accordance with all the relevant statutory provisions, and  3. \*  (**Where the shipment is of** **Type MM or ME**) undertake to take back the radioactive waste if the shipment(s) cannot take place or if the conditions for shipment cannot be fulfilled;  Or \* (**Where the shipment is of type IM or TT**) attach hereto the evidence of the arrangement between the consignee and the holder of the radioactive waste established in the third country, which has been accepted by the competent authority of the third country, stating that the holder in the third country will take back the radioactive waste if the shipment(s) cannot take place or if the conditions for shipment cannot be fulfilled, unless an alternative safe arrangement can be made.  *(\*) Only one of the asterisked statements can apply: mark as applicable*  *Date and place)**(Signature)* *(Stamp)………………………..* | | | |

1. According to Regulations for the Safe Transport of Radioactive Material 2005 Edition, Safety Requirements No TS-R-1 IAEA, Vienna, 2005 [↑](#footnote-ref-1)