

Armed Forces Compensation Scheme Statistics:

30 September 2011

8 December 2011

Theme: Other - Defence

Issued By:

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INTRODUCTION

1. The Armed Forces and Reserve Forces Compensation Scheme (AFCS) came into force on 6 April 2005 to pay compensation for injury, illness or death caused by Service that occurred on or after that date. It replaced the previous compensation arrangements provided by the War Pensions Scheme (WPS) and the attributable elements of the Armed Forces Pensions Scheme.
2. Under the AFCS, compensation payments include a tariff-based tax free lump sum for pain and suffering associated with the injury or illness, the size of which reflects the severity of the injury or illness. There are 15 tariff levels with associated lump sums. For more serious injuries, in addition to the lump sum, a tax-free index-linked income stream known as the Guaranteed Income Payment (GIP) is paid from service termination for life to recognise loss of future earnings due to the injury or illness. Under the AFCS, a claim can be made and awarded while still in Service.
3. Where death is caused by Service the AFCS provides an income stream known as the Survivor's Guaranteed Income Payment (SGIP). This is payable to the spouse, civil partner or adult dependant for life. Compensation is also paid to eligible children, known as the Child Payment (CP).
4. In 2010 a review of the AFCS was conducted under the independent chairmanship of former Chief of Defence Staff, Admiral the Lord Boyce. The Review found the Scheme was fundamentally sound but required adjustment in some areas. All recommendations made by the Review have been accepted by the Government and the Ministry of Defence has been working to implement them. Recommendations included:
Multiple injuries: every person who sustained multiple injuries arising from a single incident will receive some compensation for each injury.
GIP calculations: an increase in the GIP to reflect the lasting effect of more serious injuries on likely promotions forgone and on the ability to work to age 65.
Lump sum amounts: all lump sum levels, with the exception of the top amount, are increased (see paragraph 72 for lump sum amounts). There may be cases where the injury may have effect on some aspects of an individual's function, self worth, and confidence. To recognise these circumstances an additional lump sum is paid, known as a supplementary award.
Time limits increase: time limits for making a claim are increased and a new 'fast' payment introduced so those most seriously injured can receive an early payment without having to go through the whole claim process. Exceptionally, these improvements will apply to all previous awards under the scheme and all those who have already received an award from the scheme will benefit from the Review's recommendations.
5. The majority of the improvements to the scheme required detailed legislative amendments which were published in February 2011 and were effective from 9 May 2011. All claims made from 9 May 2011 onwards will have the new scheme rules applied. Further information on the new scheme rules is provided in Section 3.
6. It is expected that the exercise to revisit previous awards and make additional payments will be complete by June 2012. All those who have already received an AFCS award will be contacted once their case has been reviewed. Please note that any changes made to the tariff levels following this exercise are not currently reflected in this publication and therefore the tariff levels provided in Tables 3.2, 3.3 and 3.4 reflect the pre-Review decision. DASA will update this information in future releases.

7. This publication includes the number of claims registered by financial year and the number of claims cleared by financial year, and by quarter. The term “claim” is used to refer to both injury claims raised by a claimant as well as medical discharge and death in-Service cases which are automatically referred to the Service Personnel and Veterans’ Agency (SPVA) for consideration. The term “outcome” is used to refer to claims where the SPVA has determined a decision and recorded this on the Compensation and Pension System (CAPS).
8. As mentioned in previous releases of these statistics, SPVA have been migrating data from their interim system onto CAPS. The interim system contains claims registered under the AFCS at the start of the scheme between 6 April 2005 and 31 October 2005. SPVA have now completed this exercise and all tables in this publication now include these migrated cases. Therefore figures covering the interim system are no longer presented separately in Tables 2.1 and 2.2.
9. Please note that SPVA have only migrated successful interim system claims to CAPS and therefore interim system claims that were rejected or withdrawn are not included in the publication tables. For information, there were 115 injury claims and 25 survivors’ claims rejected on the interim system.
10. Please note that SPVA are still investigating inflow and outflow data used in **Table 4.3: Caseload flows by financial year** and therefore this table has not been updated. Once SPVA have finished investigations, this table will be updated.
11. Due to improvements in data processing and ongoing validation of data held on CAPS, some of the figures reported in this publication have been revised since previous releases. These figures can be identified by a revision marker (*r*). Please note that as CAPS is a live data system and due to ongoing data validation, some figures reported in this publication are marked provisional (*p*) and may be subject to change in future releases.
12. Further information on the AFCS can be found at www.mod.uk/afcs.

KEY POINTS

13. Since the start of the scheme the number of claims registered has increased year on year. Between 6 April 2005 and 30 September 2011:
 - 28,715 claims (28,015 injury claims and 700 survivors’ claims) have been registered (**Table 2.1**);
 - 23,085 injury claims and 665 survivors’ claims have been cleared (**Table 2.2**);
 - 12,345 (53%) injury claims were awarded; 915 (4%) were awarded a GIP and a lump sum payment, 11,430 (50%) were awarded a lump sum only (**Table 2.2**); when withdrawn claims were excluded 58% of injury claims were awarded;
 - 265 (40%) survivors’ claims were awarded (**Table 2.2**).
14. The number of injury claims cleared during the latest five quarters has fluctuated between a low of 1,415 during Q2-2011 and a high of 2,005 during Q1-2011 (**Table 2.2a**).
15. During Q3-2011 (**Table 2.2a**):
 - There were 830 lump sum payments awarded, of which 80 (10%) also attracted an additional GIP. This compares to 795 lump sums awarded during the previous quarter (Apr-Jun 2011), of which 65 (8%) also attracted an additional GIP.
 - 64% (n=725) of in-Service claims were awarded, this compares with 63% (n=700) in the previous quarter (Apr-Jun 2011);
 - 24% (n=30) of medical discharge claims were awarded, this compares with 19% (n=15) during the previous quarter (Apr-Jun 2011). Please note that this is based on small numbers;
 - 33% (n=65) of post Service claims were awarded, this compares with 34% (n=75) during the previous quarter (Apr-Jun 2011);
 - 68% (n=15) of death-in-Service claims resulted in an award which gives entitlement to a Survivor’s Guaranteed Income Payment, this compares with 61% (n=30) during the previous quarter (Apr-Jun 2011);
16. The findings identify sub-groups with higher numbers of awarded lump sum payments. The highest numbers were awarded to those with a tariff of injury of musculoskeletal disorders or fractures and

dislocations, Army personnel, those aged 20-34, and those living in Government Office Regions (GORs) of the South East and South West (**Section 3**).

17. During Q3-2011:

- For claims that were awarded 100% GIP, the highest number of conditions were awarded within the tariff of injury table of injury, wounds and scarring (n=50) (**Table 3.3**);
- For claims where 75%, 50%, 30%, or no GIP was awarded, the highest number of lump sums were awarded within the tariff of injury tables of musculoskeletal disorders (n=365) (**Table 3.4**);
- Serving and ex-Serving Army personnel accounted for 76% (n=630) of the lump sum payments awarded (**Table 3.5**);
- 77% of lump sum payments awarded were to those aged between 20 and 34 (n=640) (**Table 3.6**);
- 39% of lump sum payments awarded were to those living in the GORs of the South East (n=175) and the South West (n=145) (**Table 3.7**).

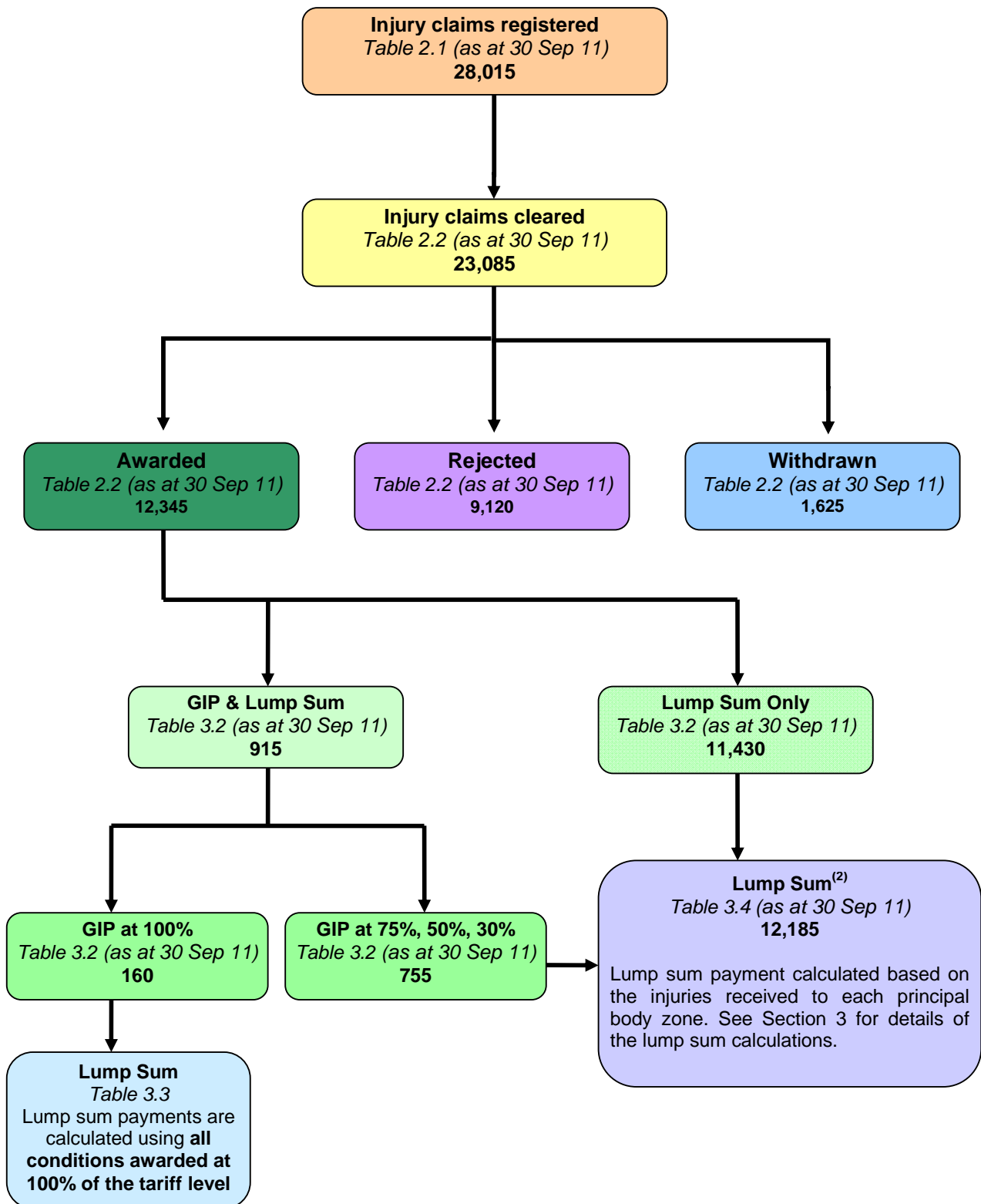
18. As at 30 September 2011, 360 Guaranteed Income Payments were in payment and 485 Survivor's Guaranteed Income Payments were in payment (**Table 4.2**).

19. Since the start of the scheme the numbers of reconsiderations and appeals registered have increased year on year. Between 6 April 2005 and 30 September 2011:

- 3,590 reconsiderations and 1,690 appeals have been registered (**Table 2.1**);
- 3,130 reconsiderations and 805 appeals have been cleared (**Tables 5.1, 5.2**, respectively);
- 2,110 reconsiderations resulted in a new award and 1,020 were maintained (**Table 5.1**);
- 65 appeals resulted in a new award, 290 were maintained, 120 resulted in an increased award and 210 had a favourable reconsideration (**Table 5.2**).

20. **Figure 1** presents a summary of the AFCS claim process for injury claims under the AFCS.

Figure 1: Summary of AFCS claim process for injury claims⁽¹⁾



(1) Numbers presented may not sum to totals due to rounding.

(2) Tariff information for the most severe condition only has been provided in **Table 3.4**

CONVENTIONS

~	Negligible (greater than zero, fewer than 5)
-	Not calculated due to value of zero (Please note that this symbol was used to refer to values of zero in previous publications of these statistics – zero values are now shown as '0')
p	Provisional
r	Revised
Q1	1 January to 31 March
Q2	1 April to 30 June
Q3	1 July to 30 September
Q4	1 October to 31 December

In line with DASA's Rounding Policy, all figures of five or more presented in this publication have been rounded to the nearest 5, and figures fewer than five have been masked as '~', totals may not add due to rounding. Percentages have been rounded to the nearest 1%.

RESULTS

Number of Claims Registered and Outcomes Cleared under the AFCS

21. **Table 2.1** provides a breakdown of registered claims, reconsiderations and appeals, by financial year. Since the AFCS began on 6 April 2005 and up to 30 September 2011 there have been 28,715 claims registered, of which 28,015 were injury claims and 700 were survivors' claims.
22. During the financial year 2010/11 (the last full financial year for which data is available) there were 7,235 injury claims (98% of all claims), 115 survivors' claims (2% of all claims), 955 reconsiderations and 545 appeals registered under the AFCS.
23. Injury claims include in-Service claims, medical discharge claims and post Service claims. Of the 7,235 injury claims registered during the financial year 2010/11, 5,500 (76%) were registered by personnel in-Service, 330 (5%) were generated following a medical discharge, 1,280 (18%) were registered by personnel that had left Service and 125 (2%) were additional claims registered following an initial in-Service, medical discharge or post Service claim.
24. Survivors' claims include death-in-Service claims, death-post-Service claims and additional child claims. Almost all registered Survivors' claims during 2010/11 followed a death-in-Service (n=105). There were fewer than five claims following a post-Service death and five additional child claims registered during 2010/11.

Table 2.1 Claims registered, by claim type and financial year, 2005/06 to 2011/12, numbers⁽¹⁾⁽²⁾⁽³⁾

Claim Type	Claims registered during:							
	All Years (6 Apr 2005 - 30 Sep 2011) <i>p</i>	6 Apr 05 - 31 Mar 06	2006/07	2007/08	2008/09	2009/10 <i>p</i>	2010/11 <i>p</i>	2011/12 ⁽⁴⁾ <i>p</i>
Claims	28,715	365 <i>r</i>	1,665	3,545	5,125	6,180 <i>r</i>	7,350 <i>r</i>	4,485
Injury Claims	28,015	340 <i>r</i>	1,540	3,410	5,010	6,045 <i>r</i>	7,235 <i>r</i>	4,435
In-Service	19,340	210 <i>r</i>	765	1,840	3,210	4,400 <i>r</i>	5,500 <i>r</i>	3,410
Medical Discharge	2,985	120	610	635	745	305	330 <i>r</i>	245
Post Service	5,210	10	165	895	935 <i>r</i>	1,215	1,280 <i>r</i>	705
Additional Claim	480	0	~	40	115 <i>r</i>	125	125	70
Survivors' Claims⁽³⁾	700	25	120	130	120	135	115	50
Death In-Service	675	25	120	130	110	135	105	50
Death Post Service	10	0	0	~	~	~	~	~
Additional Child	15	0	~	0	~	~	5	~
Reconsiderations	3,590	0	125	255 <i>r</i>	625 <i>r</i>	810 <i>r</i>	955 <i>r</i>	815
Appeals	1,690	0	40	125	310	365	545 <i>r</i>	310

(1) These figures exclude all "spanning cases"; claims considered first for entitlement under the Armed Forces Compensation Scheme, but passed to the War Pension Scheme where the cause or injury occurred prior to 6 April 2005. There were 880 spanning cases registered in 2005/06, 2,540 spanning cases registered in 2006/07, 2,570 spanning cases registered in 2007/08, 2,490 spanning cases registered in 2008/09, 2,100 spanning cases registered in 2009/10, and 915 spanning claims registered in 2010/11.

(2) *p* - Claims registered in 2009/10, 2010/11, 2011/12 and All Years are provisional as some claims do not have an outcome and may go on to become spanning cases. The total number of registered claims will not increase but may decrease if any claims become spanning cases, and therefore the number of spanning cases may also increase. For the financial years 2009/10, 2010/11 and 2011/12, there were 315*p*, 1,230*p* and 4,160*p* registered claims respectively, with a pending outcome as at 30 September 2011.

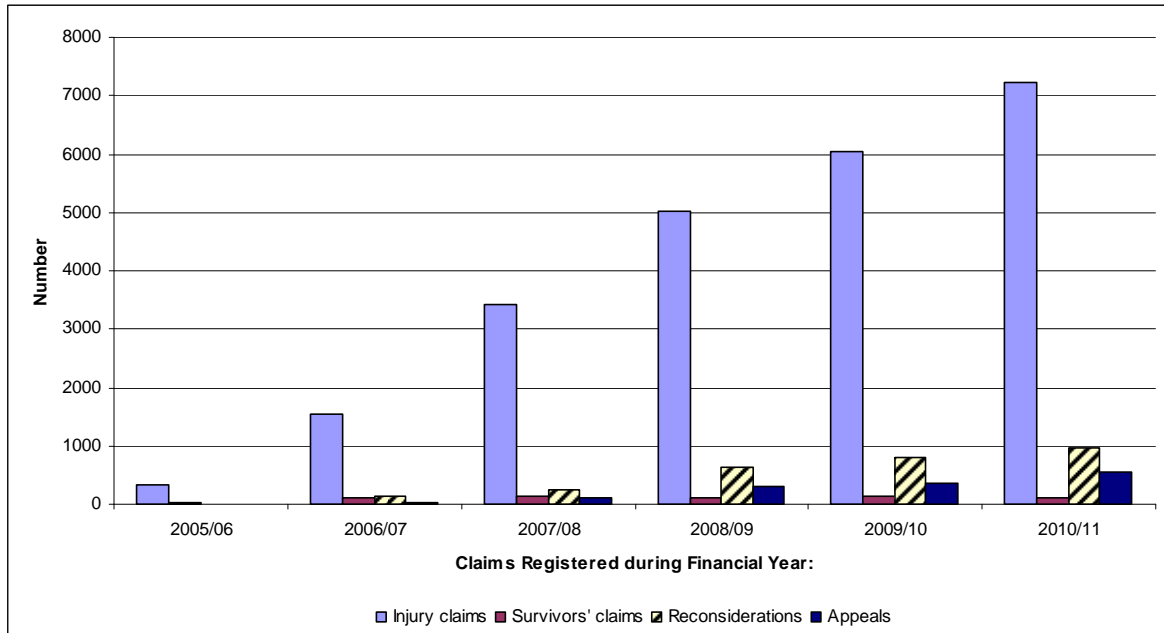
(3) A single survivor's claim may result in an award which gives entitlement to one or more Survivors' Guaranteed Income Payments.

(4) Includes data up to 30 September 2011

25. **Figure 2** illustrates that the number of injury claims, reconsiderations and appeals registered have continued to increase year on year. Injury claims increased by 21% between 2008/09 and 2009/10 (from 5,010 to 6,045), with a further increase of 20% in 2010/11 to 7,235.

26. The increasing numbers of claims are due to a raised awareness of the scheme, as well as larger numbers who are eligible to claim, i.e. Service related injury/illness with an incident/onset date on or after 6 April 2005. Any claim related to injury or illness with an onset prior to 6 April 2005 will be eligible under the WPS.

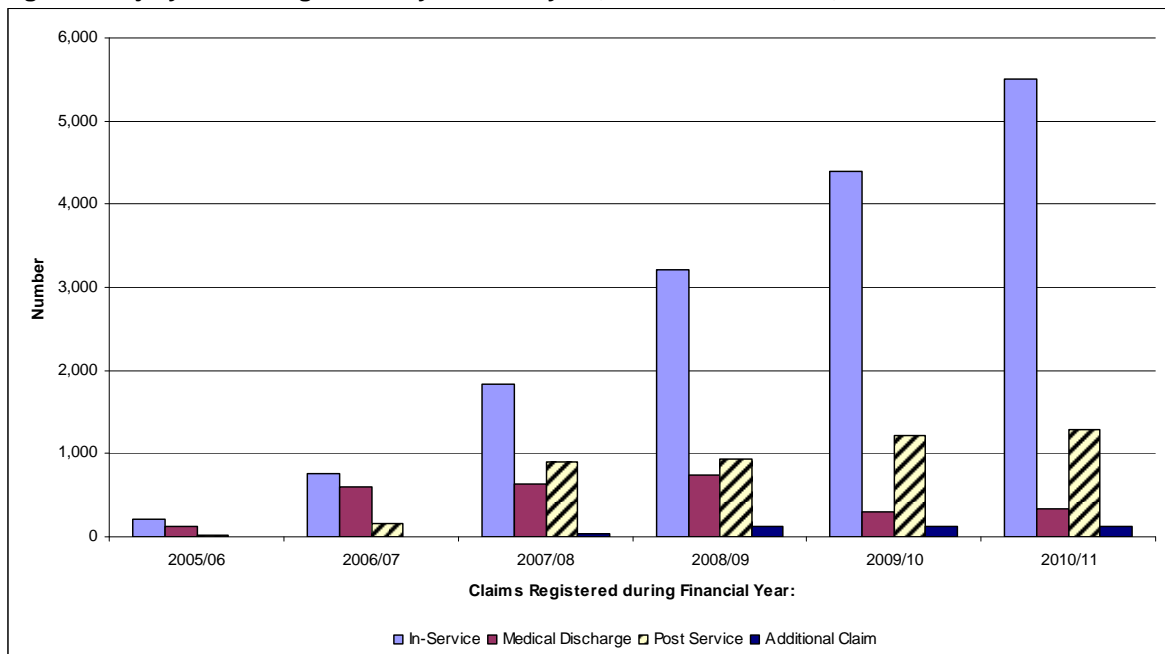
Figure 2: Claims registered, by claim type and financial year, 2005/06 to 2010/11, numbers



27. **Figure 3** illustrates that the number of in-Service claims registered have continued to increase year on year, by 37% between 2008/09 and 2009/10 (from 3,210 to 4,400) and by 25% between 2009/10 and 2010/11 (to 5,500). This increase is primarily due to the fact that the AFCS is a new scheme, so while numbers continue to fall under the WPS, numbers continue to increase under the AFCS. Also, under the AFCS an in-Service claim can be made, as opposed to the WPS where claimants have to wait until they leave Service. Therefore, there will be increasing numbers eligible to claim post April 2005 and increasing awareness of the ability to make an in-Service claim.

28. This is in contrast to the number of claims generated following a medical discharge, which have not increased at the rate of in-Service claims. Between 2008/09 and 2009/10 medical discharge claims decreased by 59% from 745 in 2008/09 to 305 in 2009/10. They increased by 10% to 330 in 2010/11. As medical discharge claims are automatically referred to the SPVA, it is likely that there is less variation from year to year on the number of medical discharge claims because it is dependent on the number of medical discharges from the Armed Forces.

Figure 3: Injury claims registered by financial year, 2005/06 to 2010/11, numbers



29. **Table 2.2** provides a summary of cleared claims by claim type, outcome, and financial year. Since the AFCS began on 6 April 2005 and up to 30 September 2011 23,085 injury claims and 665 survivors' claims have been cleared. Of the 23,085 cleared injury claims, 12,345 (53%) were awarded; 915 (4%) were awarded a GIP and a lump sum payment, 11,430 (50%) were awarded a lump sum only. When withdrawn claims were excluded 58% of injury claims were awarded.

30. During the latest full financial year 2010/11, a total of 6,860 injury claims were cleared, of which:
- 3,890 (57%) were awarded and 2,565 (37%) were rejected.
 - 5,205 were in-Service claims of which 3,380 (65%) were awarded and 1,585 (30%) were rejected.
 - 340 were medical discharge claims of which 65 (19%) were awarded and 275 (80%) were rejected.
 - 1,225 were post service claims of which 385 (31%) were awarded and 705 (57%) were rejected.
 - 90 were additional claims of which 60 (66%) were awarded and 5 (7%) were rejected.

31. During the financial year 2010/11, a total of 115 survivors' claims were cleared, of which 50 (41%) were awarded and 65 (57%) were rejected. The majority (96%) were associated with a death in-Service claim.

Table 2.2 Claims cleared, by claim type, outcome, and financial year, 2005/06 to 2011/12, numbers and percentages⁽¹⁾⁽²⁾

Claim Type	Outcome	Claims cleared during:															
		All Years (6 Apr 2005 - 30 Sep 2011)		6 Apr 05 - 31 Mar 06		2006/07		2007/08		2008/09		2009/10		2010/11		2011/12 ⁽⁵⁾	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Injury Claims	All	23,085		135^r		1,330		2,570		4,030^r		5,280		6,860		2,880	
	Awarded GIP & Lump sum	915	4%	~	~%	55 ^r	4%	90	4%	180 ^r	4%	160	3%	285 ^r	4%	145	5%
	Awarded Lump sum only	11,430	50%	85 ^r	61%	520	39%	1,180	46%	1,835	46%	2,725	52%	3,605 ^r	53%	1,485	52%
	Rejected	9,120	39%	50	37%	735	55%	1,120	44%	1,630	40%	1,915	36%	2,565	37%	1,100	38%
	Withdrawn	1,625	7%	~	~%	25 ^r	2%	180	7%	385	10%	480	9%	405 ^r	6%	150	5%
In-Service	All	15,825		85^r		625		1,425		2,365		3,885		5,205^r		2,235	
	Awarded GIP & Lump sum	750	5%	~	~%	50	8%	75	5%	135	6%	125	3%	245 ^r	5%	125	6%
	Awarded Lump sum only	9,525	60%	75	90%	380	61%	880	62%	1,365	58%	2,385	61%	3,135 ^r	60%	1,300	58%
	Rejected	4,710	30%	5	7%	185	29%	390	27%	680	29%	1,150	30%	1,585	30%	720	32%
	Withdrawn	840	5%	~	~%	15 ^r	2%	85 ^r	6%	185 ^r	8%	225	6%	240 ^r	5%	90	4%
Medical Discharge⁽³⁾	All	2,875		50		600		615		735		345		340		195	
	Awarded GIP & Lump sum	40	1%	0	0%	~	~%	~	~%	15	2%	5	2%	10	2%	5	3%
	Awarded Lump sum only	710	25%	5	13%	105	18%	170	27%	255	35%	80	23%	60	17%	40	20%
	Rejected	2,095	73%	40	88%	485	81%	440	71%	465	63%	245	71%	275	80%	150	77%
	Withdrawn	25	1%	0	0%	~	~%	~	~%	~	~%	15	4%	~	~%	~	~%
Post Service	All	4,025		~		100		495		835		950		1,225^r		410	
	Awarded GIP & Lump sum	25	1%	0	0%	0	0%	~	~%	10	1%	5	1%	5	1%	~	~%
	Awarded Lump sum only	1,065	27%	0 ^r	0%	30	30%	115	23%	175 ^r	21%	230	24%	380 ^r	31%	135	33%
	Rejected	2,280	57%	~	~%	65	64%	290	58%	480	57%	510	54%	705 ^r	57%	230	56%
	Withdrawn	650	16%	0	0%	5	6%	90 ^r	18%	170	21%	205	22%	135 ^r	11%	40	10%
Additional Claim	All	360		0		~		35		95^r		100		90^r		40	
	Awarded GIP & Lump sum	100	28%	0	0%	~ ^r	~%	10	32%	25	27%	25	23%	25	30%	10	30%
	Awarded Lump sum only	130	35%	0	0%	0 ^r	0%	15	44%	40	42%	30	31%	30 ^r	36%	10	25%
	Rejected	30	8%	0	0%	~	~%	~	~%	5	6%	10	11%	5	7%	~	~%
	Withdrawn	105	29%	0	0%	0	0%	5	18%	25	25%	35	35%	25 ^r	28%	15	35%

Table 2.2 (continued) Claims cleared, by claim type, outcome, and financial year, 2005/06 to 2011/12, numbers and percentages⁽¹⁾⁽²⁾

Claim Type	Outcome	Claims cleared during:															
		All Years (6 Apr 2005 - 30 Sep 2011)	6 Apr 05 - 31 Mar 06		2006/07	2007/08	2008/09	2009/10	2010/11	2011/12 ⁽⁵⁾							
Survivors' Claims⁽⁴⁾																	
All	All	665		5		115		135		115		125		115		55	
	Awarded	265	40%	~	~%	45	39%	50	36%	40	35%	50	39%	50	41%	35	63%
	Rejected	395	59%	5	86%	70	61%	85	64%	70	63%	75	61%	65	57%	20	37%
	Withdrawn	~	~%	0	0%	0	0%	0	0%	~	~%	0	0%	~	~%	0	0%
Death In-Service	All	645		5		110		130		110		125		110		55	
	Awarded	255	40%	~	~%	40	38%	45	36%	40	35%	50	39%	45	41%	35	64%
	Rejected	385	60%	5	86%	70	62%	85	64%	70	63%	75	61%	65	58%	20	36%
	Withdrawn	~	~%	0	0%	0	0%	0	0%	~	~%	0	0%	~	~%	0	0%
Death Post Service	All	10		0		0		~		~		~		~		~	
	Awarded	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%
	Rejected	10	100%	0	0%	0	0%	~	100%	~	100%	~	100%	~	100%	~	100%
	Withdrawn	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%
Additional Child	All	10		0		~		~		~		~		~		0	
	Awarded	10	91%	0	0%	~	100%	~	100%	~	100%	~	100%	~	~%	0	0%
	Rejected	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%
	Withdrawn	~	9%	0	0%	0	0%	0	0%	0	0%	0	0%	~	~%	0	0%

(1) These figures exclude all "spanning cases"; claims which are made under the War Pension Scheme but are considered first for entitlement under the Armed Forces Compensation Scheme. They are then passed to the War Pension Scheme where the cause or injury occurred prior to 6 April 2005.

(2) The GIP is not paid while the individual is serving but is deferred until the individual is discharged.

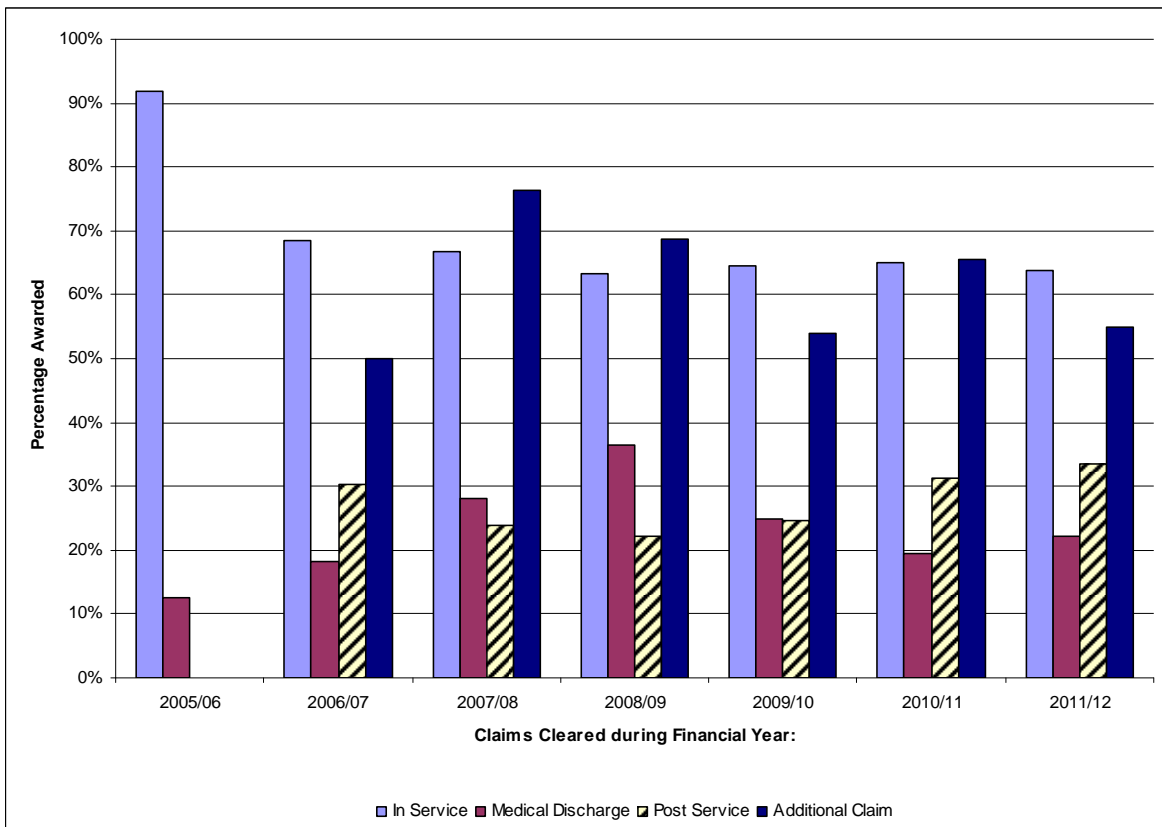
(3) Personnel medically discharged from Service following a successful in-Service claim are automatically reviewed by the SPVA to ensure that the original award is still appropriate.

(4) A single survivor's claim may result in an award which gives entitlement to one or more Survivors' Guaranteed Income Payments.

(5) Includes data up to 30 September 2011

32. **Figure 4** illustrates that the proportion of awarded in-Service claims has remained stable between 2006/07 and 2011/12, ranging from 69% in 2006/07 to 63% in 2008/09. In 2010/11 65% of in-Service claims have been awarded. So far in 2011/12 64% of in-Service claims have been awarded.
33. The proportion of awarded medical discharge claims increased year on year between 2005/06 and 2008/09. The proportion dropped from 37% in 2008/09 to 25% in 2009/10 and to 19% in 2010/11. So far in 2011/12 22% of medical discharge claims have been awarded.
34. The proportion of awarded post Service claims decreased from 30% during 2006/07 to 22% during 2008/09. Awarded post-Service claims rose from 25% (n=235) in 2009/10 to 33% (n=135) so far in 2011/12.
35. The proportion of awarded additional claims has seen the largest variation between 2006/07 and 2011/12, ranging from a low of 50% during 2006/07 and a high of 76% in 2007/08.

Figure 4: Awarded injury claims, by claim type and financial year, 2005/06 to 2011/12, percentages



36. **Table 2.2a** provides a further breakdown of claims cleared between Q3-2010 and Q3-2011 by claim type, claim outcome and quarter. During Q3-2011 a total of 1,465 injury claims and 25 survivors' claims were cleared, compared to 1,730 injury claims and 35 survivors' claims during the same quarter in 2010 (Q3-2010).

Table 2.2a Claims cleared, by claim type, outcome and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾⁽²⁾

Claim Type	Outcome	Claims cleared during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
Injury Claims						
All	All	1,730	1,605	2,005	1,415	1,465
	Awarded GIP & Lump sum	75	85	65	65	80
	Awarded Lump sum only	925 ^r	840 ^r	1,080 ^r	735	750
	Rejected	625	605	765	515	585
	Withdrawn	105	75 ^r	95 ^r	105	45
In-Service	All	1,290	1,205	1,570^r	1,110	1,125
	Awarded GIP & Lump sum	65	75	50	55	70
	Awarded Lump sum only	800	735	940	645	655
	Rejected	375	360	510 ^r	340	375
	Withdrawn	55	40	75 ^r	65	30
Medical Discharge	All	100	85	90	65	125
	Awarded GIP & Lump sum	~	~	~	~	~
	Awarded Lump sum only	30	10	10	10	25
	Rejected	70	70	75	55	95
	Withdrawn	0	0	0	~	~
Post Service	All	315	295^r	315^r	215	190
	Awarded GIP & Lump sum	~	~	~	~	~
	Awarded Lump sum only	90 ^r	90	120	70	65
	Rejected	180	175	175 ^r	115	115
	Withdrawn	45	25	15	30	15
Additional Claim	All	20^r	20	35	20	20
	Awarded GIP & Lump sum	5	5	10	5	5
	Awarded Lump sum only	10	5 ^r	15	~	10
	Rejected	0	~	~	~	~
	Withdrawn	5 ^r	5	5	10	~
Survivors' Claims⁽³⁾						
All	All	35	20	55^r	30	25
	Awarded	15	5	10	20	15
	Rejected	20	15	15	10	10
	Withdrawn	0	~	30 ^r	0	0
Death In-Service	All	35	20	55^r	30	20
	Awarded	15	5	10	20	15
	Rejected	20	15	15	10	5
	Withdrawn	0	~	30 ^r	0	0
Death Post Service	All	~	0	0	0	~
	Awarded	0	0	0	0	0
	Rejected	~	0	0	0	~
	Withdrawn	0	0	0	0	0
Additional Child	All	~	~	0	0	0
	Awarded	~	~	0	0	0
	Rejected	0	0	0	0	0
	Withdrawn	0	~	0	0	0

(1) These figures exclude all "spanning cases"; claims which are made under the WPS but are considered first for entitlement under the AFCS. They are then passed to the WPS where the cause or injury occurred prior to 6 April 2005.

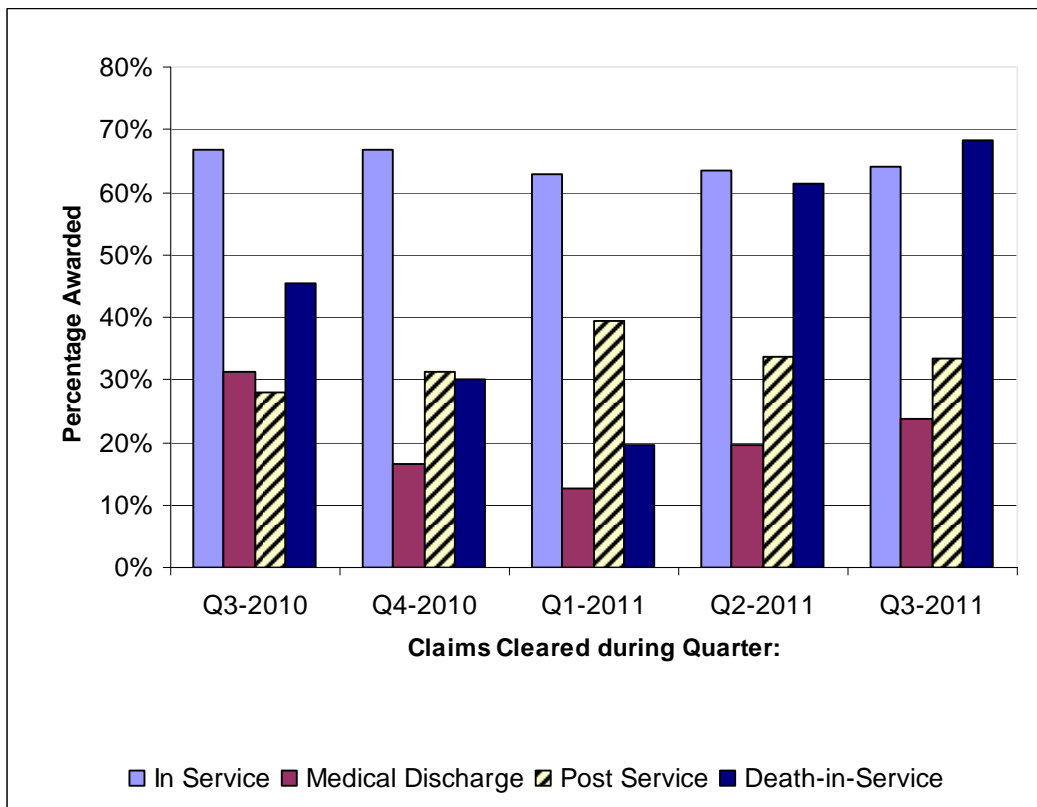
(2) The GIP is not paid while the individual is serving but is deferred until the individual is discharged.

(3) A single survivor's claim may result in an award which gives entitlement to one or more Survivors' Guaranteed Income Payments

37. **Figure 5** illustrates the percentage of awarded claims between Q3-2010 and Q3-2011 by claim type and quarter:

- The proportion of awarded in-Service claims has remained stable during the latest five quarters. The proportion was 67% in Q3-2010 (n=865) and 64% in Q3-2011 (n=725).
- The proportion of awarded medical discharge claims has fluctuated during the latest five quarters between a low of 13% (n=10) during Q1-2011 and a high of 31% (n=30) during Q3-2010. Please note the small numbers involved.
- The proportion of awarded post Service claims over the last five quarters peaked at 39% (n=125) during Q1-2011.
- The proportion of awarded death in Service claims has fluctuated during the latest five quarters between a high of 68% during Q3-2011 (n=15) and a low of 20% (n=10) during Q1-2011. Please note the small numbers involved.

Figure 5: Awarded claims, by claim type and quarter, Q3-2010 to Q3-2011, percentages



Section 3: Recipients of Lump Sum Payments and GIPs under the AFCS

38. Awarded claims under the AFCS can either be awarded a lump sum payment only or a lump sum payment and a Guaranteed Income Payment (GIP), paid for life.
39. When the scheme began, the AFCS paid out 100% of the lump sum value for the most serious injury; 30% for the second most serious injury; 15% for the third most serious injury, and nothing for any other lesser injuries, although the lesser injuries would be accepted as due to service. In 2008 the Scheme was modified for those whose injuries placed them in tariff levels 1-4 (100% GIP band). In those circumstances, the Scheme awards the lump sum elements in full for every injury up to a maximum of the equivalent of a tariff Level 1 award for a single injury (£570,000).
40. As a result of the AFCS Review changes to the way in which compensation is awarded to individuals suffering multiple injuries from a single incident have been introduced to ensure that the most seriously injured individuals receive the most compensation. Every injury sustained in a single incident will now receive some compensation.
41. The AFCS review concluded that the changes made to the multiple injury rules in early 2008 remained appropriate for those with very significant injuries (those in the 100% GIP band). However the Review recommended that a new approach be introduced to compensate for multiple injuries arising from a single incident. These new rules ensure those most seriously injured receive the highest awards and that each injury receives some compensation.
42. The Review enacted the new rules on 9 May 2011. The new scheme rules takes account of the overall impact of all injuries sustained on the individual by looking, in some cases, at the level of injury in separate body zones. The five body zones are:
 - a) Head and neck
 - b) Torso
 - c) Upper and lower limbs
 - d) The senses
 - e) Mental health
43. There are three types of multiple injury case. Firstly each injury is assigned an appropriate tariff descriptor. If one of the injury descriptors falls into tariff level 1-4 or where the two most severe conditions are awarded at tariff levels 5 & 5, 5 & 6, or 6 & 6 individuals will receive the full lump sum amount for every injury along with any supplementary award – subject to a maximum tariff level 1 lump sum value.
44. The next type of case is where at least two body zones contain at least 1 injury at tariff level 1 -11. In these cases body zoning applies. Each injury is allocated to one of the five body zones and the total values of the lump sums in each body zone are added together. The body zones are then ranked in order of monetary value. 100% of the total value of the lump sums for the body zone attracting the greatest amount of compensation is paid. 80% of the value of the lump sum is paid for the body zone attracting the second highest amount of compensation, 60% for the third, 40% for the fourth, and 20% for the fifth – subject to a maximum tariff level 1 lump sum value.
45. In all other cases each injury is ranked in order of lump sum value. 100% of the lump sum is paid for the injury attracting the greatest amount of compensation, 80% of the lump sum is paid for the second highest amount, 60% for the third, 40% for the fourth, 20% for the fifth and all subsequent injuries – subject to a maximum tariff level 1 lump sum value.

46. **Table 3.1** provides a breakdown of lump sum payments awarded under the AFCS by claim type and financial year. Between 6 April 2005 and 30 September 2011 the majority, 85% (n=10,440) of all lump sums were awarded following an in-Service claim.

Table 3.1: Lump sum payments awarded, by claim type and financial year, 2005-06 to 2011-12, numbers⁽¹⁾

Claim Type	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums awarded during:						
		2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12 ⁽²⁾
All	12,345	85^r	570	1,270	2,020	2,885	3,890^r	1,625
In-Service	10,440	80 ^r	430	970	1,545	2,550	3,425 ^r	1,440
Medical Discharge	760	5	110	175	270	85	65	45
Post Service	1,145	0 ^r	30	125	205	250	400 ^r	140

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) Includes data up to 30 September 2011

47. **Table 3.1a** provides a further breakdown of lump sum payments by claim type and quarter. Of the 830 lump sum payments awarded during Q3-2011, 735 (88%) were as the result of an in-Service claim, 30 (4%) were as the result of a medical discharge claim and 65 (8%) were as the result of a post Service claim.

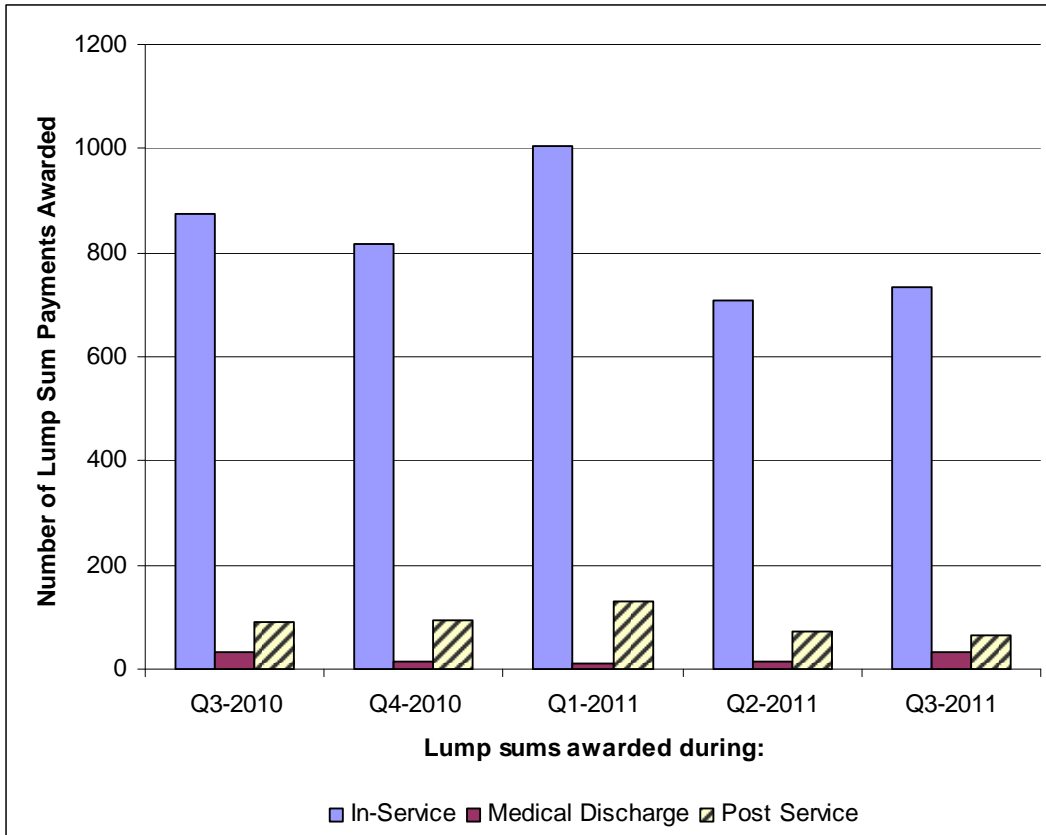
Table 3.1a Lump sum payments awarded, by claim type and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾

Claim Type	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums awarded during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	12,345	1,000	925^r	1,145	795	830
In-Service	10,440	875	820	1,005 ^r	710	735
Medical Discharge	760	30	15	10	15	30
Post Service	1,145	90	95	130 ^r	75	65

(1) Figures for lump sum awards include injury claims and further additional claims.

48. **Figure 7** illustrates that the numbers of lump sum payments awarded for in-Service claims over the last five quarters peaked during Q1-2011 (n=1,005) then dropped during the latest two quarters to 710 during Q2-2011 and 735 during Q3-2011.

Figure 7: Lump sum payments awarded, by claim type and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾



(1) Includes injury claims and further additional claims for all claim types.

49. **Table 3.2** provides a breakdown of lump sum payments awarded by the highest tariff level and quarter. Between 6 April 2005 and 30 September 2011, 12,345 lump sum payments were awarded, of which 915 were also awarded a GIP. Of those awarded a GIP, 160 were awarded a GIP at 100%, 165 were awarded a GIP at 75%, 110 were awarded a GIP at 50%, and 490 were awarded a GIP at 30%.

50. Of the 830 lump sum payments awarded during Q3-2011, 80 (10%) were awarded at tariff levels 1-11, entitling the claimant to a Guaranteed Income Payment in addition to their lump sum award. 750 (90%) were awarded at tariff levels 12-15, receiving a lump sum payment only.

Table 3.2 Lump sum payments awarded, by highest tariff level and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾⁽²⁾⁽³⁾

Tariff Level	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums awarded during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	12,345	1,000	925 ^r	1,145	795	830
All Lump Sum plus GIPs	915	75	85	65	65	80
All Lump Sum plus GIP at 100%	160	15	20	10	15	15
1	~	0	0	0	0	0
2	35	~	5	~	~	5
3	50	10	10	5	5	5
4	35	~	~	~	~	~
5	25	~	~	~	0	0
6	10	0	0	~	0	0
All Lump Sum plus GIP at 75%	160	10	15	10	5	15
5	65	5	5	5	~	5
6	95	5	10	5	~	10
All Lump Sum plus GIP at 50%	110	10	5	10	10	10
7	60	10	5	10	~	5
8	45	~	~	~	~	5
All Lump Sum plus GIP at 30%	490	35	45	30	35	40
9	40	10	5	~	~	~
10	65	~	10	~	5	~
11	385	25	30	25	25	35
All Lump Sum Only	11,430	925 ^r	840 ^r	1,080 ^r	735	750
12	3,005	235	220	245	180	180
13	4,075	340	295	440	345	400
14	3,220	265	230	310	150	120
15	1,125	80	95	85	60	50

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) Conditions are assessed against a tariff of injuries table where the lower numerical values (i.e. 1-4) reflect the more severe conditions that are awarded at the highest tariff level. Full details of the tariff can be found at <http://www.veterans-uk.info/pdfs/afcs/tariff.pdf>.

(3) Where more than one condition is claimed for, the table shows the highest tariff level that a claimant has been awarded for a single condition.

51. **Table 3.3** presents claims awarded a GIP at 100%, showing all conditions that have been awarded at 100% of all the tariff levels. **Table 3.4** presents separately claims awarded a GIP at 75%, 50%, 30%, or nil, showing the most severe condition that has been awarded at 100% of the tariff level only.

52. **Table 3.3** shows that between 6 April 2005 and 30 September 2011 there were a total of 1,305 conditions awarded for 160 claims that have been awarded a GIP at 100% as well as a lump sum payment. The highest numbers of conditions have been awarded under the tariff of injury tables of 'injury, wounds and scarring' and 'amputations'.

Table 3.3 Lump sum payments awarded that were awarded a GIP at 100%, by tariff of injury table, tariff level and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾⁽²⁾⁽³⁾

Tariff of Injury Table	Tariff Level ⁽⁴⁾	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums cleared during:				
			Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All Claims Awarded		160	15	20	10	15	10
All Conditions Awarded	All	1,305	175	210	120	115	110
	1 - 11	420	65	60	30	35	25
	12 - 15	885	110	155	90	80	85
Burns	All	30	0	0	~	0	~
	1 - 11	10	0	0	0	0	~
	12-15	20	0	0	~	0	~
Injury, Wounds and Scarring	All	545	75	95	50	55	50
	1 - 11	120	20	15	5	15	5
	12-15	425	55	80	45	40	40
Mental Disorders	All	10	0	~	~	~	0
	1 - 11	~	0	0	0	0	0
	12-15	10	0	~	~	~	0
Physical disorders including infectious diseases	All	20	5	~	0	0	~
	1 - 11	10	~	~	0	0	0
	12-15	10	~	0	0	0	~
Amputations	All	265	50	50	30	30	25
	1 - 11	125	20	20	15	10	10
	12-15	140	25	30	15	20	15
Neurological disorders (including spinal cord, head or brain injuries)	All	75	10	10	~	5	5
	1 - 11	55	~	10	~	~	~
	12-15	20	~	~	0	~	~
Senses ⁽⁵⁾	All	85	5	15	10	5	~
	1 - 11	25	0	~	~	~	0
	12-15	60	5	10	10	~	~
Fractures and Dislocations	All	210	20	20	20	15	20
	1 - 11	50	5	5	~	~	~
	12-15	160	10	15	15	15	15
Musculoskeletal Disorders	All	55	5	15	5	~	5
	1 - 11	20	~	5	~	0	0
	12-15	35	~	10	~	~	5
Temporary Award ⁽⁶⁾	All	5 p	5 p	0	0	0	0
	1 - 11	5 p	5 p	0	0	0	0
	12-15	~ p	0	0	0	0	0
Condition unknown ⁽⁷⁾	All	~	0	0	0	0	0
	1 - 11	0	0	0	0	0	0
	12-15	~	0	0	0	0	0

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) Conditions are assessed against a tariff of injuries table where the lower numerical values (i.e. 1-4) reflect the more severe conditions that are awarded at the highest tariff level. Full details of the tariff can be found at <http://www.veterans-uk.info/pdfs/afcs/tariff.pdf>.

(3) The table shows all of the conditions that have been awarded for a single claim.

(4) Not all tariff levels (1-15) attract a lump sum payment under each tariff of injury table.

(5) This Tariff of Injury table refers to injuries and conditions relating to eyes and ears.

(6) A temporary award is made where an injury is predominantly caused by Service for which no provision is made in the tariff. Any temporary award will be amended within one year of the decision to award, to include the injury for which the temporary award was made. At this point the temporary award becomes permanent and the award is amended on the Compensation and Pensions System.

(7) There are some claim records where condition information is not available and these records have been assigned to unknown.

53. **Table 3.4** provides a breakdown of the 12,185 claims that have been awarded a GIP at 75%, 50%, 30% as well as a lump sum payment, and claims that have only been awarded a lump sum payment. Where more than one condition was awarded, the table shows the most severe condition awarded at the highest tariff level, and paid at 100% of the tariff.

54. **Table 3.4** shows that the majority of lump sum payments awarded at 100% of the tariff level, overall and during the latest five quarters, were for 'musculoskeletal disorders' and 'fractures and dislocations', with overall figures between 6 April 2005 and 30 September 2011 of 5,265 (43%) and 3,470 (28%) respectively.

Table 3.4 Lump sum payments awarded, for the most severe condition (at 100% of the tariff level) that were awarded a GIP at 75%, 50%, 30% or nil, by tariff of injury table, tariff level and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾⁽²⁾⁽³⁾⁽⁴⁾

Tariff of Injury Table	Tariff Level	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums cleared during:				
			Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	All	12,185	980	910	1,135	780	820
	Lump sum & GIP at 75%, 50%, 30% (1-11)	760	60	70 ^r	50	50	70
	Lump sum only 0% GIP (12-15)	11,425	925 ^r	840 ^r	1,080 ^r	730	750
Burns	All	110	5	~	10	5	5
	Lump sum & GIP at 75%, 50%, 30% (1-11)	15	~	0	0	~	0
	Lump sum only 0% GIP (12-15)	95	5	~	10	5	5
Injury, Wounds and Scarring	All	1,700	130	145	170	125	105
	Lump sum & GIP at 75%, 50%, 30% (1-11)	200	10	25	15	10	15
	Lump sum only 0% GIP (12-15)	1,500	120	120 ^r	155	110	95
Mental Disorders	All	415	30	40	45	20	40
	Lump sum & GIP at 75%, 50%, 30% (1-11)	10	0	~	~	0	~
	Lump sum only 0% GIP (12-15)	405	30	40	45	20	40
Physical disorders including infectious diseases	All	320	25	20	10	15	15
	Lump sum & GIP at 75%, 50%, 30% (1-11)	35	~	~	~	0	~
	Lump sum only 0% GIP (12-15)	285	20	15	10	15	10
Amputations	All	180	15	25	15	5	10
	Lump sum & GIP at 75%, 50%, 30% (1-11)	100	10	10	10	5	10
	Lump sum only 0% GIP (12-15)	75	10	10	5	~	0
Neurological disorders (including spinal cord, head or brain injuries)	All	185	15	15	15	10	10
	Lump sum & GIP at 75%, 50%, 30% (1-11)	80	10	5	5	5	5
	Lump sum only 0% GIP (12-15)	100	~	10	5	5	~
Senses ⁽⁵⁾	All	470	40 ^r	25	60	20	75
	Lump sum & GIP at 75%, 50%, 30% (1-11)	75	5	5	~	~	10
	Lump sum only 0% GIP (12-15)	395	30	20	55	20	60
Fractures and Dislocations	All	3,470	260	220	285	215	185
	Lump sum & GIP at 75%, 50%, 30% (1-11)	175	15	10	15	20	15
	Lump sum only 0% GIP (12-15)	3,300	250 ^r	210	270	195	170
Musculoskeletal Disorders	All	5,265	455 ^r	415	520	365	365
	Lump sum & GIP at 75%, 50%, 30% (1-11)	55	~	5	~	~	5
	Lump sum only 0% GIP (12-15)	5,210	450 ^r	410 ^r	515	360	360
Temporary Award ⁽⁶⁾	All	50 ^p	10 ^p	5 ^p	5 ^p	0	10 ^p
	Lump sum & GIP at 75%, 50%, 30% (1-11)	10 ^p	~ ^p	0	0	0	0
	Lump sum only 0% GIP (12-15)	40 ^p	5 ^p	5 ^p	5 ^p	0	10 ^p
Condition unknown ⁽⁷⁾	All	20	0	0	0	0	0
	Lump sum & GIP at 75%, 50%, 30% (1-11)	~	0	0	0	0	0
	Lump sum only 0% GIP (12-15)	20	0	0	0	0	0

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) Conditions are assessed against a tariff of injuries table where the lower numerical values (i.e. 1-4) reflect the more severe conditions that are awarded at the highest tariff level. Full details of the tariff can be found at <http://www.veterans-uk.info/pdfs/afcs/tariff.pdf>.

(3) Where more than one condition is awarded, table shows the single condition awarded at the highest tariff level.

(4) p - Temporary award figures will remain provisional until they have been made permanent under a Tariff of Injuries table. Lump sum awards may increase under any of the Tariff of Injuries tables once the temporary awards have been made permanent. The total number of awards made in any quarter will remain unchanged.

(5) This Tariff of Injury table refers to injuries and conditions relating to eyes and ears.

- (6) A temporary award is made where an injury is predominantly caused by Service for which no provision is made in the tariff. Any temporary award will be amended within one year of the decision to award, to include the injury for which the temporary award was made. At this point the temporary award becomes permanent and the award is amended on the Compensation and Pensions System.
- (7) There are some claim records where condition information is not available and these records have been assigned to unknown.

55. **Tables 3.5 and 3.6** provide demographic information (Service and age-group) of those awarded lump sum payments between Q3-2010 and Q3-2011.

56. The majority (76%) of lump sum payments awarded during Q3-2011 were to serving and ex-serving Army personnel (n=630). Also, the majority (77%) of lump sum payments awarded during Q3-2011 were to claimants aged 20 to 34 (n=640).

Table 3.5 Lump sum payments awarded, by Service and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾

Service	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums cleared during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	12,345	1,000	925^r	1,145	795	830
Naval Service	1,865	150	155	165	100	115
Army	9,045	755	660 ^r	830	595	630
Royal Air Force	1,435	95	110	150	100	85

(1) Figures for lump sum awards include injury claims and further additional claims.

Table 3.6 Lump sum payments awarded, by age group and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾

Age Group ⁽²⁾	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums cleared during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	12,345	1,000	925^r	1,145	795	830
Under 20	470	25	25	25	20	10
20-24	3,035	240	225 ^r	310	165	175
25-29	3,600	320	290	335	260	255
30-34	2,460	215	190	250	175	205
35-39	1,570	110	105	135	90	105
40-44	845	55	70	70	60	55
45-49	260	20	20	15	25	15
50-54	75	10	~	10	5	5
55-59	30	~	~	~	~	~
Over 60	~	0	0	0	0	0

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) Age at time lump sum was cleared.

57. **Table 3.7** provides a summary of lump sum payments awarded between Q3-2010 and Q3-2011 by Government Office Region (GOR). The highest proportions of lump sum payments were awarded to those in the South West and South East. During Q3-2011, 39% of lump sum payments were awarded to those living in the South East (n=175) or South West (n=145).

Table 3.7 Lump sum payments awarded, by Government Office Region (GOR) and quarter, Q3-2010 to Q3-2011, numbers⁽¹⁾⁽²⁾⁽³⁾

GOR	All Lump Sums (6 Apr 05 - 30 Sep 11)	Lump sums cleared during:				
		Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
All	12,345	1,000	925 ^r	1,145	795	830
North East	225	20	10	15	15	~
North West	495	30	40	35	25	35
Yorkshire and the Humber	1,080	85	95	125	70	70
East Midlands	590	35	40	65	40	55
West Midlands	640	40	40	60	35	40
East	1,230	100	70	115	85	85
London	405	40	25	45	20	30
South East	2,275	200	175	215	140	175
South West	2,405	200	185	220	170	145
Wales	340	20	25	30	25	15
Scotland	665	65	50	55	40	35
N.Ireland	85	10	5	5	~	~
Other UK ⁽⁴⁾	~	0	0	0	0	0
UK Unknown ⁽⁵⁾	900	75	90	70	60	65
Overseas	135	10	10	10	10	10
Not Known ⁽⁶⁾	875	70	55	80	65	65

(1) Figures for lump sum awards include injury claims and further additional claims.

(2) GOR as derived from address information which is recorded on the Compensation and Pension System.

(3) Updated postcode information from CAPS and the Office for National Statistics (ONS) may result in changes to the figures provided.

(4) Other UK includes Isle of Man and Channel Islands.

(5) UK Unknown includes those known to be resident in the UK but a GOR is not available.

(6) Address information is not available.

Section 4: Recipients of Guaranteed Income Payments

58. Please note that there has been a change in source data for this section and data from 1 January 2009 onwards has been supplied by SPVA.
59. The figures in this section prior to 1 January 2009 do not include claims awarded on the SPVA interim system, which covered the period of 6 April 2005 to 31 October 2005. Data from 1 January 2009 onwards includes claims awarded on the interim system. The change in source data is marked on tables in this section that overlap the time periods of both data sources.
60. **Table 4.1** provides the numbers of Guaranteed Income Payments (GIPs) and Survivors' Guaranteed Income Payments (SGIPs) in payment as at the end of each financial year from 31 March 2006 to 31 March 2011. The total number in payment has continued to increase year on year. This is partly due to the increase in the numbers who are eligible to claim, but also due to individuals leaving Service and GIPs coming into payment.

Table 4.1 Guaranteed Income Payments in payment, by gender as at the end of each financial year, 31-Mar-06 to 31-Mar-11, numbers

Gender	In payment as at:					
	31-Mar-06	31-Mar-07	31-Mar-08 ⁽²⁾	31-Mar-09	31-Mar-10	31-Mar-11
All in payment	15	110	210	335	480	705
Male	~	25	80	160	240	405
Female	10	80	130	175	240	300
Guaranteed Income Payment⁽¹⁾	0	~	35	85	145	280
Male	0	~	30	85	140	270
Female	0	0	~	~	5	10
Survivors' Guaranteed Income Payment - Spouses	5	45	80	105	145	185
Male	~	~	~	~	~	~
Female	5	45	80	100	140	180
Survivors' Guaranteed Income Payment - Children	10	60	95	140	190	245
Male	~	20	45	70	95	135
Female	5	35	45	70	95	110

(1) This table does not include deferred Guaranteed Income Payments.

(2) From January 2009 there was a change in the source of data regarding Guaranteed Income Payments (GIPs). Data regarding GIPs are now sourced from the Service Personnel & Veterans Agency rather than from Paymaster.

61. **Table 4.2** provides the numbers of GIPs and SGIPs in payment and deferred as at the end of each quarter from 30 September 2010 to 30 September 2011. As at 30 September 2011 there were 360 GIPs and 485 SGIPs in payment and 550 GIPs deferred.

Table 4.2 Guaranteed Income Payments, either in payment or deferred, by payment type as at the end of each quarter, 30-Sep-10 to 30-Sep-11, numbers⁽¹⁾⁽²⁾

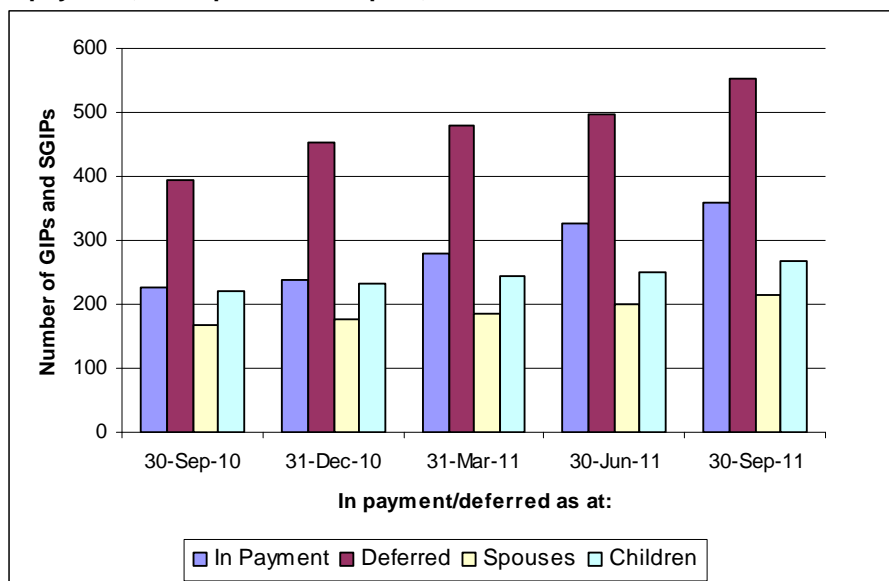
Payment Type	In payment as at:				
	30-Sep-10	31-Dec-10	31-Mar-11	30-Jun-11	30-Sep-11
All	1,010	1,100	1,185	1,270	1,395
Guaranteed Income					
Payment	620	690	755	820	910
In Payment	225	235	280	325	360
Deferred ⁽³⁾	395	455	480	495	550
Survivors' Guaranteed					
Income Payment	390	410	425	450	485
Spouses	170	175	185	200	215
Children	220	230	245	250	270

- (1) Figures presented in this table are cumulative, taking into account intakes and outflows from the previous quarter.
 (2) From January 2009 there was a change in the source of data regarding Guaranteed Income Payments (GIPs). Data regarding GIPs are now sourced from the Service Personnel & Veterans Agency.
 (3) These are cases where a Guaranteed Income Payment has been awarded, but payment has been deferred until the claimant leaves Service. These cases will later be included as 'In Payment' upon exit from Service.

62. **Figure 6** illustrates that the number of GIPs in payment has continued to increase during the latest five quarters from 225 in payment as at 30 September 2010 to 360 in payment as at 30 September 2011.

63. The numbers of SGIPs awarded following a death-in-Service claim have also continued to increase. As at 30 September 2011 there were 215 and 270 SGIPs in payment for spouses and children respectively. This compares with 170 and 220 SGIPs in payment for spouses and children respectively, as at 30 September 2010.

Figure 6: Guaranteed Income Payments (GIP) and Survivors' Guaranteed Income Payments (SGIP) in payment, 30-Sep-10 to 30-Sep-11, numbers.



64. SPVA are still investigating inflow and outflow data used in **Table 4.3** and therefore this table has not been updated. Once SPVA have finished investigations, this table will be updated.

Table 4.3 Caseload flows by financial year, 2005/06 to 2008/09, numbers⁽¹⁾

Flow Type	Financial year:			
	2005/06	2006/07	2007/08	2008/09 p
Total in payment at 31 March	15	110	210	320
Total intake in financial year	15	90	110	15
Total outflow in financial year	0	0	10	20
Guaranteed Income Payment⁽²⁾	0	~	35	65
Intake during financial year	0	~	30	~
Outflow during financial year	0	0	0	15
Survivors' Guaranteed Income Payment - Spouses	5	45	80	115
Intake during financial year	5	40	35	5
Outflow during financial year	0	0	~	~
Survivors' Guaranteed Income Payment - Children	10	60	95	140
Intake during financial year	10	50	40	5
Outflow during financial year	0	0	10	~

(1) As at 31 March each year.

(2) This table does not include deferred Guaranteed Income Payments.

p – Data for 2008//09 is provisional due to changes in the supply of inflow and outflow data. Once investigations are complete these figures may be subject to change.

65. **Table 4.4** provides the numbers of GIPs and SGIPs in payment as at 30 September 2011 by Government Office Region (GOR). As at 30 September 2011 the highest number of GIPs and SGIPs were being paid to those with a GOR of the South West (n=115) and the South East (n=105).

Table 4.4: Guaranteed Income Payments (GIP) and Survivors' Guaranteed Income Payments (SGIP) in payment, by Government Office Region (GOR), as at 30 September 2011, numbers⁽¹⁾⁽²⁾⁽³⁾

In payment as at 30 September 2011				
GOR	All recipients	Guaranteed Income Payment ⁽²⁾	Survivors' Guaranteed Income Payment - Spouses	Survivors' Guaranteed Income Payment - Children
All	845	360	215	270
North East	45	20	10	15
North West	70	30	20	25
Yorkshire and the Humber	70	30	20	20
East Midlands	50	20	15	15
West Midlands	65	35	15	15
East of England	50	30	10	10
London	30	20	5	~
South East	105	50	30	25
South West	115	60	30	25
Wales	35	15	10	10
Scotland	65	20	25	20
N. Ireland	10	5	~	0
Other UK ⁽⁴⁾	10	~	0	5
UK Unknown	~	~	0	0
Overseas	20	~	5	10
Not Known	105	20	15	70

(1) From January 2009 there was a change in the source of data regarding Guaranteed Income Payments (GIPs). Data regarding GIPs are now sourced from the Service Personnel & Veterans Agency.

(2) The change in source data has resulted in a need to link on Service number to the main CAPS dataset to obtain the Government Office Region (GOR). Due to unrecognised Service numbers, some records have not been linked to CAPS and this has increased the number of Guaranteed Income Payments (GIPs) that are being assigned to the category of 'not known'. SPVA have also advised that location information is not available for records held on the Interim system, and therefore these records will also be assigned to 'not known'.

(3) This table does not include deferred Guaranteed Income Payments.

(4) Other UK includes Isle of Man and Channel Islands.

66. **Table 4.5** provides the number of GIPs and SGIPs in payment as at 30 September 2011 by tariff band and age group. As at 30 September 2011 the highest number of GIPs were being paid at tariff band D to the age group 25-29 (n=85). The majority of SGIPs for spouses were paid to those aged 30-34 (n=55).

Table 4.5: Guaranteed Income Payments (GIPs) and Survivors' Guaranteed Income Payments (SGIPs) in payment, by tariff band⁽¹⁾ and age group, as at 30 September 2011, numbers⁽²⁾

In payment as at 30 September 2011								
Age Group ⁽³⁾	All GIPs & SGIPs	All GIPs	GIPs - Tariff Band				SGIPs	
			A	B	C	D	Spouses	Children
All	845	360	35	40	35	245	215	270
Under 20	265	0	0	0	0	0	0	265
20-24	85	55	~	10	10	35	20	5
25-29	170	130	15	15	10	85	45	0
30-34	145	90	10	5	5	65	55	0
35-39	75	35	5	5	~	25	40	0
40-44	65	40	~	~	10	25	25	0
45-49	25	10	~	~	0	5	15	0
50-54	10	~	0	0	0	~	10	0
55-59	~	0	0	0	0	0	~	0
Over 60	~	~	0	0	0	~	~	0
Unknown	0	0	0	0	0	0	0	0

(1) Tariff levels 1-11 are divided into four bands and they refer to the percentage used to calculate the annual amount of the Guaranteed Income Payment; 100% for Band A (Levels 1-4 or a combination of levels 5&6, 5&5, and 6&6), 75% for Band B (Levels 5-6), 50% for Band C (Levels 7-8) and 30% for Band D (Levels 9-11).

(2) This table does not include deferred Guaranteed Income Payments.

(3) Age as at 30 September 2011.

Section 5: Reconsiderations and Appeals cleared under the AFCS

67. **Table 5.1** provides the number of reconsiderations cleared between Q3-2010 and Q3-2011 by claim type and outcome. From 6 April 2005 to 30 September 2011, the majority of reconsiderations relate to injury claims (n=3,085) as opposed to survivors' claims (n=45).

Table 5.1 Reconsiderations cleared by claim type, outcome and quarter, Q3-2010 to Q3-2011, numbers

Claim Type	Outcome	All cleared reconsiderations (6 Apr 05 - 30 Sep 11)	Reconsiderations cleared during:				
			Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
Injury Reconsiderations	All	3,085	135	275	360	260	375
In-Service	All	2,410	115	230	295	220	305
	New	1,735	90	135	210	170	250
	Increased	0	0	0	0	0	0
	Maintained	675	25	95	85	50	55
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Medical Discharge	All	290	10	10	25	15	15
	New	140	~	~	10	~	10
	Increased	0	0	0	0	0	0
	Maintained	150	~	10	15	10	~
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Post Service	All	390	10	35	40	25	55
	New	235	10	20	20	20	35
	Increased	0	0	0	0	0	0
	Maintained	155	~	10	20	5	20
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Survivors' Reconsiderations	All	45	~	5	~	~	0
Death In-Service	All	40	~	5	~	~	0
	New	~	0	~	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	40	~	5	~	~	0
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Death Post Service	All	~	0	0	0	0	0
	New	0	0	0	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	~	0	0	0	0	0
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Additional Child	All	~	0	0	0	0	0
	New	~	0	0	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	0	0	0	0	0	0
	Reduced	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0

68. **Table 5.2** provides the number of appeals cleared between Q3-2010 and Q3-2011 by claim type and outcome.

69. The number of appeals remains low due to the time it takes to process an appeal at the Pensions Appeal Tribunal (PAT). The majority of appeals from 6 April 2005 to 30 September 2011 relate to injury claims (n=790) as opposed to survivors' claims (n=20).

70. Please note that as at 30 September 2011 there were 870 appeals registered with a pending outcome.

Table 5.2 Appeals cleared by claim type, outcome and quarter, Q3-2010 to Q3-2011, numbers

Claim Type	Outcome	All cleared appeals (6 Apr 05 - 30 Sep 11)	Appeals cleared during:				
			Q3-2010	Q4-2010	Q1-2011	Q2-2011	Q3-2011
Injury Appeals	All	790	85	70	85	100	140
In Service	All	560	70	55	65	80	100
	New	45	5	~	10	~	10
	Increased	90	10	5	10	10	10
	Maintained	190	25	15	15	30	35
	Reduced	5	~	0	~	0	~
	Favourable Reconsideration	165	20	25	15	20	40
	Disallowed - Late appeal	5	0	0	0	0	0
	Overturned by Upper Tier Tribunal	~	0	0	0	0	~
	Out of jurisdiction	~	0	0	0	0	~
	Withdrawn	60	5	5	15	10	~
	Medical Discharge	All	110	5	10	5	10
Medical Discharge	New	15	~	~	~	~	~
	Increased	20	0	~	0	0	~
	Maintained	40	~	~	~	5	~
	Reduced	~	0	0	~	0	0
	Favourable Reconsideration	20	~	~	~	~	~
	Disallowed - Late appeal	~	0	0	0	0	0
	Overturned by Upper Tier Tribunal	0	0	0	0	0	0
	Out of jurisdiction	~	0	0	0	0	~
	Withdrawn	10	~	0	~	~	~
	Post Service	All	115	10	5	10	15
Post Service	New	5	0	0	0	~	~
	Increased	10	~	~	~	~	~
	Maintained	50	~	~	10	5	15
	Reduced	~	0	0	0	0	0
	Favourable Reconsideration	25	~	~	~	~	10
	Disallowed - Late appeal	~	0	0	0	0	0
	Overturned by Upper Tier Tribunal	0	0	0	0	0	0
	Out of jurisdiction	0	0	0	0	0	0
	Withdrawn	15	~	~	0	~	0
	Survivors' Appeals	All	20	~	~	~	~
Death-in-Service	All	20	~	~	~	~	~
	New	~	0	0	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	10	0	~	~	~	~
	Reduced	0	0	0	0	0	0
	Favourable Reconsideration	~	0	0	0	0	0
	Disallowed - Late appeal	0	0	0	0	0	0
	Overturned by Upper Tier Tribunal	0	0	0	0	0	0
	Out of jurisdiction	~	0	0	0	0	0
	Withdrawn	5	~	~	~	~	0
Death-post-Service	All	0	0	0	0	0	0
	New	0	0	0	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	0	0	0	0	0	0
	Reduced	0	0	0	0	0	0
	Favourable Reconsideration	0	0	0	0	0	0
	Disallowed - Late appeal	0	0	0	0	0	0
	Overturned by Upper Tier Tribunal	0	0	0	0	0	0
	Out of jurisdiction	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0
Additional Child	All	0	0	0	0	0	0
	New	0	0	0	0	0	0
	Increased	0	0	0	0	0	0
	Maintained	0	0	0	0	0	0
	Reduced	0	0	0	0	0	0
	Favourable Reconsideration	0	0	0	0	0	0
	Disallowed - Late appeal	0	0	0	0	0	0
	Overturned by Upper Tier Tribunal	0	0	0	0	0	0
	Out of jurisdiction	0	0	0	0	0	0
	Withdrawn	0	0	0	0	0	0

Definitions

71. Claims

Claims can be divided into two categories:

- **Injury claims** - made by serving or former members of the Armed Forces for an injury or illness caused by Service on or after 6 April 2005;
- **Survivors' claims** - those made by surviving dependants of former members of the Armed Forces where death was caused by Service on or after 6 April 2005.

Injury claims include:

- In-Service claims - those made by serving members of the Armed Forces;
- Medical discharge claims – when a member of the Armed Forces has served for 2 years or more and are medically discharged from the Services, an automatic claim is generated by the Service Personnel and Veterans Agency for consideration;
- Post Service claims - those made by former Service Personnel;
- Additional claims - those made following in-Service, medical discharge, or post service claims, to include additional information not presented in the initial claim.

Survivors' claims include:

- Death in-Service – entitlement to compensation for surviving eligible partner and/or dependents is considered automatically by the SPVA.
- Death post Service claims - those made by surviving dependants of ex-Service Personnel who died after leaving Service;
- Additional child claims - these claims are made for an additional child who was not included within the initial claim.

72. Lump Sums

- A tax-free lump sum payment is paid to a Service or ex-Service person as compensation for pain and suffering for an injury or illness that is predominantly caused or made worse by Service. The tariff has 15 levels, each with an associated lump sum amount which reflects the severity of the injury or illness. The lower numerical tariff levels (i.e. 1-4) reflect the more severe injuries/illnesses that are eligible for higher monetary awards. The table below shows all 15 lump sum amounts for each tariff level, both pre and post the AFCS Review.

Tariff Level	Award – Pre Lord	Award – Post Lord
	Boyce AFCS Review	Boyce AFCS Review
1	£570,000	£570,000
2	£402,500	£470,000
3	£230,000	£380,000
4	£172,500	£290,000
5	£115,000	£175,000
6	£92,000	£140,000
7	£63,825	£90,000
8	£48,875	£60,000
9	£34,100	£40,000
10	£23,100	£27,000
11	£13,750	£15,500
12	£9,075	£10,000
13	£5,775	£6,000
14	£2,888	£3,000
15	£1,155	£1,200

73. Guaranteed Income Payments

A Guaranteed Income Payment (GIP) is payable when an award has been made and the injury or illness is in tariff levels 1 to 11. A GIP is a tax free, index linked monthly income stream paid to recognise the effect of the injury on the future earnings capacity of the individual once they stop receiving their military salary. Therefore if a GIP is awarded as the result of an in-Service claim it will be deferred until the claimant has left the Services. Once awarded, a GIP is payable for life and updated annually in line with inflation to the Consumer Price Index (CPI).

Tariff levels 1 to 11 are divided into four bands and they refer to the percentage used to calculate the annual amount of the GIP; 100% for Band A (most severe condition awarded at tariff levels 1-4, or where the two most severe conditions are awarded at tariff levels 5 & 5, 5 & 6, or 6 & 6), 75% for Band B (most severe condition awarded at tariff levels 5-6), 50% for Band C (most severe condition awarded at tariff levels 7-8) and 30% for Band D (most severe condition awarded at tariff levels 9-11).

A Survivors' Guaranteed Income Payment (SGIP) is a taxable payment designed to compensate an individual for loss of support provided by their partner where their death is due to Service. It is paid as an ongoing income stream for life and is uprated annually in line with inflation. Surviving dependants include a spouse (civil partner or adult dependant). Compensation is also paid to eligible children.

74. Reconsiderations and Appeals

If a claimant is not satisfied with the outcome of their claim they may ask for a reconsideration. Where the claimant has asked for a reconsideration only, they must provide written reasons why they disagree with the decision. The request must be received within 3 months from the date of notification of the outcome of the original claim.

If a claimant is not satisfied with the outcome of their claim they may lodge an appeal to an appropriate Tribunal. Where an appeal has been lodged and a reconsideration has not already been carried out, the agency must carry out a reconsideration of the original decision and notify both the claimant and the Tribunal of the outcome of the reconsideration. The request must be received within 6 months from the date of notification of the outcome of the reconsideration, or the original claim where no reconsideration has taken place. The Tribunal is totally independent from the SPVA and their decisions are legally binding on both the appellant and the SPVA. The Tribunal is bound by the rules of the scheme.

Glossary

75. Cleared

The time at which the Service Personnel and Veterans Agency (SPVA) issue a letter to the claimant informing them of the outcome of their claim, reconsideration, or appeal.

76. Outcome

The decision made on a claim:

- *Awarded*: Injury/illness accepted as due to Service AND falls under one of the tariff levels (1-15).
- *Rejected*: Injury/illness not accepted as due to Service OR is accepted as due to Service but does not meet the minimum tariff level (15).
- *Withdrawn*: includes:
 - Cases where the claimant fails to respond to letters issued by the SPVA and therefore the claim cannot be progressed.
 - Medical discharge cases that are automatically launched on SPVA's Compensation and Pension System (CAPS) and then subsequently need to be closed down as they are not required.
 - Cases that have been launched in error or cases that are corrupted and need to be removed from the system.
- *New*: The outcome was previously rejected but awarded on reconsideration/appeal.
- *Increased*: The tariff level previously reached is made higher on reconsideration/appeal.
- *Maintained*: The outcome previously reached is the same as the outcome reached on reconsideration/appeal.
- *Reduced*: The tariff level previously reached is made lower on reconsideration/appeal.
- *Favourable Reconsideration*: When a claimant has initiated an appeal before a reconsideration has taken place, the reconsideration will be conducted before notification to the Pension Appeal Tribunal. If the reconsideration is in the claimants favour then the claimant can withdraw their appeal.
- *Disallowed – Late appeal*: Applications to appeal must be received by the SPVA within 6 months of the last date of notification. E.g. Date of notification of an original claim or reconsideration.

77. Registered

The time at which the SPVA begin a workflow on the Compensation and Pension System (CAPS) for a claim.

78. The Tariff (Tariff of Injury Table & Tariff Level)

The tariff is separated into nine tariff of injury tables; injuries/illnesses grouped together by common factors, and each tariff of injury table if separated into tariff levels (1-15), depending on the severity of the injury/illness. Full details of the tariff can be found at <http://www.veterans-uk.info/pdfs/afcs/tariff.pdf>

79. WPS (War Pension Scheme)

The War Pensions Scheme provides no-fault compensation for all ex-Service personnel where illness, injury or death is caused by Service prior to 6 April 2005. For more information please see National Statistic publications at <http://www.dasa.mod.uk>.