



Department
for Education

Children in need census 2014 to 2015

**Business and technical specification,
version 1.1**

January 2014

Contents

1	Introduction	4
1.1	Scope	4
1.2	Audience and references	4
1.3	Changes from the 2013 to 2014 specification	5
1.3.1	Assessment group	5
1.3.2	Target dates	6
1.3.3	RFC 674	6
1.3.4	Validation rules format	6
1.4	Definitions	6
1.5	Important note on this version	6
2	Assumptions	7
3	Coverage and timings	8
4	Data standards	9
5	Structure and guidance	10
5.1	Child level	10
5.2	Child identifiers	12
5.3	Child characteristics	12
5.4	CIN details	13
5.4.1	Assessments group	14
5.4.2	Section 47 enquiries group	15
5.5	Child protection plans	18
5.6	Variations	19
5.7	Summary of cases to be included	22
6	Local authority data returns	23

6.1	Data return formats	23
6.2	Header details	23
6.3	XML schema	25
6.3.1	XML format submission	25
6.3.2	XML header	25
6.3.3	Child XML message structure	27
6.3.4	Special notes for XML returns	33
6.4	Data validation rules	34
6.4.1	Header validation	35
6.4.2	Child Identifiers module	36
6.4.3	Child characteristics module	41
6.4.4	CIN details module	42
6.4.5	Child protection plans module	55
6.5	Re-submission guidance	59
	Version history:	60

1 Introduction

1.1 Scope

This specification describes the children in need (CIN) census 2014 to 2015. This data collection covers all local authorities (LAs) in England, who are expected to provide data on all cases and episodes for the period from 1 April 2014 to 31 March 2015.

The data consist of child-level information for those children in scope, showing their characteristics and information about their assessments. The majority of children in need will be up to 19 years old but there will be a few exceptions where children's social care are providing support to older persons. The collection covers the following groups:

- those children who are deemed to be 'in need' during the data collection period. This will include unborn children who have been identified as potential children requiring services;
- those children for whom there is a child protection plan at any time during the period;
- children who were referred to children's social care but for whom no further action is taken after initial consideration or assessment.

1.2 Audience and references

This document has been developed to support LAs and their suppliers of LA management information systems. It should be read in conjunction with:

- Common Basic Data Set (CBDS)
- [CIN 2014 to 2015 guide v1-0 – when available]
- [CIN-Census2015-v1-0.xsd – when available]
- [CIN 2014 to 2015 example census data v1-0.xml – when available]

The Common Basic Data Set (CBDS) can be accessed via the following link [CBDS](#). This is a database comprising all levels, all modules, all data items and all code-sets within the one spreadsheet. This spreadsheet makes use of filters and hyperlinks to navigate around. The filters make it possible to view extracts of the CBDS for use in specific collections, including CIN 2014 to 2015. All the items relating to this specification can be found by filtering on the column headed CIN census 2014 to 2015 of the data definitions worksheet in the next version.

The rest of these products plus presentations and papers from workshops with LAs and software suppliers, will be available electronically from the Department for Education (DfE) new website at the following address: [CIN census web page 2014 to 2015](#)

Following consultation the government's statutory guidance, [Working Together to Safeguard Children](#), has been revised and was published on 21 March 2013. The guidance came into effect from 15 April 2013.

An XSLT will be provided as a validation tool for use in management information systems. This will be sent to suppliers and is available on the DfE web site at:

[CIN census web page 2014 to 2015](#)

1.3 Changes from the 2013 to 2014 specification

1.3.1 Assessment group

Working Together to Safeguard Children guidance (as referred to above) stated the distinction between initial and core assessment could be removed and one continuous assessment be carried out. Given this change, the CIN census 2014 to 2015 will have only one assessment module. Therefore, there is a change to the data structure for the CIN census 2014 to 2015.

There will no longer be a requirement to conduct separate initial and core assessments. The timeframe for the assessment process is 45 working days from the point of referral. This flexibility came into practice from 15 April 2013. Therefore the CIN census 2014 to 2015 will only have one assessment module called 'assessments'.

The assessments module will contain 3 fields:

- Assessment actual start date
- Internal review point date (optional)
- Assessment authorisation date

LAs carrying out continuous assessments can record their data appropriately in the assessment module.

LAs carrying out initial and core assessments will have to adapt their data to fit the new data structure. If only an initial assessment was conducted, the date the initial assessment started should be entered as the assessment actual start date, and the date the initial assessment was authorised as the assessment authorisation date.

If both an initial and core assessment were completed, the date the initial assessment started should be entered as the assessment actual start date and the date the core assessment was authorised as the assessment authorisation date.

1.3.2 Target dates

The return of assessment target dates is no longer required due to the removal of initial and core assessment modules. The internal review point date in the assessments module remains optional as in 2013-14. ICPC target date also remains optional.

1.3.3 RFC 674

Changes have been made to version 1.1 of this specification in line with RFC 674. For the 2013-14 census, in line with RFC 669 - validation rule 8869 was removed and validation rules 8869a, 8869b, 8869c and 8869d were added. For the 2014-15 census, only one assessment module is present, so rule 8869 has been reinstated. Rules 8869a, 8869b and 8869c were not in version 1.0 of this specification as they had not been included in the 2013-14 specification at the time 2014-15 was baselined. Validation rule 8869d is still relevant for the 2014-15 census – and thus has been included (in a modified form).

1.3.4 Validation rules format

For this version of the 2014-15 specification and onwards, validation rules will be presented in excel format and grouped more tightly in relation to the module they refer to. This is intended to make rules easier to work with (e.g. use of filters) and in a more logical order. Please see Annex A. For 2014-15, rules will be retained in the main body of the specification also.

1.4 Definitions

MIS: Within this document the term MIS (management information systems) is used in a generic sense as the source system, or systems, where the data are normally maintained and from where they are exported. In most cases this will be the authority's social care electronic case management system.

Census year: Within this document census year always refers to the period 1 April 2014 through 31 March 2015, inclusive.

1.5 Important note on this version

This is the base-lined version of the 2014 to 2015 specification.

2 Assumptions

Assumptions made in creating this specification:

- A1 Each LA will provide a single file containing all data.**
- A2 Where appropriate the data specification has used e-Gif data standards**
- A3 The census will use COLLECT as the data collection mechanism**
- A4 DfE will only accept submissions in XML format**
- A5 For most LAs the electronic case management system will be the primary source of data**

3 Coverage and timings

To achieve the above all LAs will be required to capture the data attributes specified for the year from 1 April 2014 until 31 March 2015 inclusive. Submission of data to DfE will occur between 1 April and 31 July 2015. We expect that LAs will have their return loaded into Collect by 30 June 2015, however further cleaning of returns can continue until the end of July 2015.

4 Data standards

It is the long-term strategy of the department to adopt the e-GIF standards to support the transfer of data across other public sector bodies and government departments. This specification has been developed with those standards in mind; where deviations occur explanation notes have been provided.

5 Structure and guidance

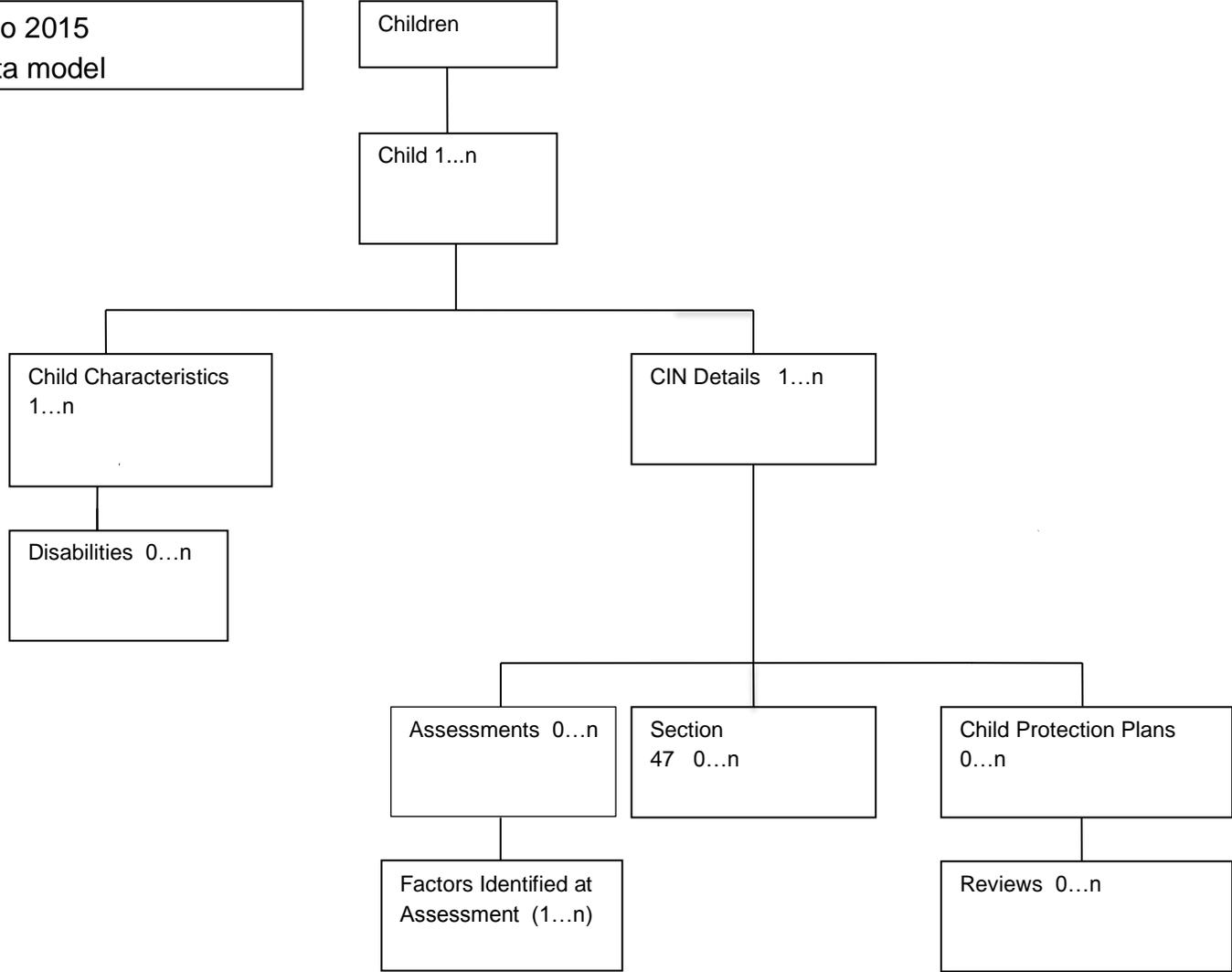
Data items have been included in the same containers (modules) as those used for CBDS and school census for consistency purposes. For example, gender conceptually belongs to the child identifiers module. So gender is one data item (amongst others) within the child identifiers module.

5.1 Child level

The children in need census for 2014 to 2015 will contain the modules: child identifiers, child characteristics, children in need details, and child protection plans. Each module is described in the following paragraphs.

The number of instances of each module per child is shown in the following CIN 2014 to 2015 logical data model. (Please note that the instance numbering does not apply to the exceptions noted at 5.6.)

CIN 2014 to 2015
Logical data model



5.2 Child identifiers

Module description:

A set of standard identifiers, required to identify children and to match children from the CIN Census to the SSDA903 return (on children looked after) and to the National Pupil Database.

Module composition:

This contains the standard identifiers (LA child ID, UPN, DOB and gender). The UPN should be provided as well as the LA child ID to provide a primary and secondary key (as the UPN will not be available for some children). The LA child ID is as defined in SSDA903 (children looked after collection). It is unique within the return from one LA.

Where the child is under 6 years old the UPN is not required (but may be provided if available). However children of school age are expected to have a UPN. The UPN is optional for referral no further action cases. UPN unknown is optional but allows LAs to include a reason for a missing UPN within the return preventing queries after the data has been submitted. The specification includes validation that will show a query for every instance of a missing UPN for a child 6 years old unless a UPN unknown reason is provided. Those LAs not returning UPN unknown reason should provide a return level note to explain why UPNs are missing.

NB: UPN unknown information remains optional on the basis of evidence gathered from the 2010 to 2011 census.

Date of birth is mandatory for all children born before 1 April 2015 while expected date of birth is mandatory for unborn children (see paragraph 5.6 for more details). Please note that users may wish to retain the expected date of birth on their systems, but it must not be included within the census return if the date of birth is present, see also validation rule 8525 in section 6.4 below.

A date of death item is required (where applicable) as it shows where services continue to be provided after a child has died. The final case closure date should also be provided; this may be after the date of death if the case remains open for investigation and review procedures after the child dies.

Cases to include:

Provide one record per child in scope.

5.3 Child characteristics

Module description:

This module includes the child's ethnicity and disability.

Module composition:

The ethnicity data item uses the coding specified in the CBDS.

Children should be reported as disabled if they are disabled under the Disability Discrimination Act 2005. Multiple disabilities should be recorded if applicable. An entry of 'none' should be recorded if the child has no disability.

Feedback in the past has been that some LAs have had difficulty providing the specific disability type, although MI systems should more easily be able to tell whether a child has a disability or not. If this is the case for your authority, as for 2013 to 2014 collection, we will continue to allow LAs to record all children with a disability under the code 'DDA' (other DDA). If you do this, you need to make it clear in your COLLECT notes that this has been done so we can analyse the data appropriately.

Cases to include:

Provide one record per child.

5.4 CIN details

Module description:

This module contains information on all CIN episodes open during the census year including primary need code, referral and closure dates, and source of referral.

A child can have more than one episode of need in the year. This module, therefore, must include details for **each** of the CIN episodes active or reviewed during the period. This includes episodes that started prior to the data collection period, but that remained open at 1 April 2014. The section on 'cases to include' below provides full rules to identify all cases in scope.

Module composition:

For the minimum data required in this module, please see notes at the end of this section.

The data item, referral no further action, is included in this module. Where no action is taken the flag should be set to true or 1, otherwise set the flag to false or 0. Section 5.6 describes what should be included in other modules in this case.

Reason for closure code (RC8) is included for 'case closed after assessment, no further action'. This will allow LAs correctly to categorise a case for children who they assess, but for whom they do not provide services and whose case is closed. (This is different from cases that were closed at the referral stage when the referral no further action flag is used – see previous paragraph. Code RC8 should not be used for cases that were closed prior to assessment).

For each new referral dated 1 April 2013 onwards, the source of referral must be recorded from a 'pick list' of sources codes. Only one category may be picked from the list. Where there is more than one referral for the same child on the same day, the first referral should be recorded and it is this referral source that should be recorded for the census. Sources of subsequent referrals should be recorded separately i.e. in relation to the specific referral. The data should be returned each year the episode remains open. In future censuses we would like to collect this data only in the year the referral was made, however we will need to monitor matching rates between CIN censuses until the matching rate is good enough for this to be done.

5.4.1 Assessments group

The group consists of:

- Assessment actual start date
- Assessment internal review date (optional)
- Assessment authorisation date
- Factors identified at assessment

To reiterate information in paragraph 1.3, LAs carrying out continuous assessments can record their data appropriately in the assessment module. LAs carrying out initial and core assessments will have to adapt their data to fit the new data structure of one assessment module. If only an initial assessment was conducted, the date the initial assessment started should be entered as the assessment actual start date, and the date the initial assessment was authorised as the assessment authorisation date.

If both an initial and core assessment were completed, the date the initial assessment started should be entered as the assessment actual start date and the date the core assessment was authorised as the assessment authorisation date.

The assessment actual start date must be filled in for all assessments groups. If the assessment authorisation date falls after the end of the Census year, it should be left blank.

The 'factors identified at assessment' module within the assessments module, allows for multiple contributing factors to be recorded per assessment. All parental or child factors that are known about at the end of the assessment which compromise the ability to parent, or are potential risk factors, should be recorded. This applies to assessments completed from 1 April 2013 onwards. The information should be recorded at the end of the assessment and all relevant factors should be reported from the list provided.

Note: Assessments should be completed within 45 working days of referral. Internal review dates are optional and will be determined locally by the needs of the child and the nature and level of the harm being suffered.

5.4.2 Section 47 enquiries group

During section 47 enquiries an initial child protection conference, if held, should take place within 15 working days of the start of the section 47 enquiry.

The group consists of:

- Section 47 enquiry actual start date
- Initial child protection conference target date (optional)
- Date of initial child protection conference
- ICPC not required

Each section 47 group will comprise one of the following:

<p>Either type 1:</p> <p>For a section 47 enquiry that does not lead to any further action</p>	<ul style="list-style-type: none"> - the section 47 enquiry actual start date - the ICPC not required flag with a value of "true" or "1"
<p>or type 2:</p> <p>For a section 47 enquiry where the initial child protection conference has taken place</p>	<ul style="list-style-type: none"> - the section 47 enquiry actual start date - the initial child protection conference target date (optional) - the date of initial child protection conference - the ICPC not required flag with a value of "false" or "0"
<p>or type 3:</p> <p>For a section 47 enquiry that the ICPC has not yet taken place</p>	<ul style="list-style-type: none"> - the section 47 enquiry actual start date - the initial child protection conference target date (optional) - the ICPC not required flag with a value of "false" or "0"

Note: The initial child protection conference target date (optional data) should be 15 working days after the section 47 enquiry start date.

Note: In the instance type 2 above, the date of initial child protection conference should only be completed in the section 47 group and not in the instance in the CIN details

module. The instance in the CIN details module should **only** be used when a child who is the subject of an existing child protection plan transfers into your authority (see also section 5.6).

Cases to include:

The principle reason for including an episode is that it is active at some point during the census year.

The return must include at least one CIN details module per child. The following episodes should be included:

- a) if the episode is open at 1 April 2014; or
- b) if the referral date occurs within the census year:

For a) and b) include referrals where no further action was taken.

The items to populate for each episode vary depending on which of the above criteria has resulted in their inclusion, see notes below.

Where criteria a) or b) above mean that an episode is included, then all the dates that allow any relevant key indicators to be calculated must be included. This means that if:

- an assessment starts and/or ends within the census period, the assessments group must be completed
- a section 47 enquiry starts and/or ends within the census period, the section 47 enquiries group must be completed, including any dates prior to 1 April 2014.

Although the above groups may include dates prior to April 2014, they must not include dates after 31 March 2015 apart from target end dates and internal review dates.

Notes:

Primary need code N0 was devised to distinguish children whose case is closed after assessment and no further action taken, from children in need. However, local practitioners may assign a need code to children at the start of assessment and not change it (as the closure itself would indicate the child was not a child in need). For this reason, primary need codes other than N0 (not stated) are possible for RNFA cases and the validation will check for a valid or missing code.

The department's guidance allows for referrals to be followed by more than one assessment, or more than one section 47 enquiry. For this reason, the assessment group may repeat within the census's data structure so that multiple instances can be included. Please note however that these activities should not overlap, i.e. a second assessment should not be started if an extant assessment is in progress. The census will validate the data provided and query any overlaps that are included.

The department’s guidance allows for variations in process. The following is therefore the **minimum** valid content for one instance of the CIN details module.

In each instance, a ‘referral’ is a request for services from someone not currently in receipt of services from the local authority. Do **not** include new information received on already open cases as a referral.

<p>either option A:</p> <p>For a 2014 to 2015 referral that leads to further action</p>	<ul style="list-style-type: none"> - the referral date - the primary need code - one assessment actual start date, - the referral no further action flag with a value of “false” or “0” - source of referral
<p>or option B:</p> <p>For a 2014 to 2015 referral that does not lead to any further action</p>	<ul style="list-style-type: none"> - the referral date - the referral no further action flag with a value of “true” or “1” - source of referral

Note: for option B, a ‘no further action’ case is only where the initial consideration of the referral means that no action is taken. This is not the same as a case that is closed following assessment. These cases should use RC8 and set referral no further action flag to “false”.

General notes on dates:

‘Actual start dates’ are the date the assessment actually started and these can be any day (working or not).

The census only asks for dates, and not times.

Referral dates and CIN closure dates can be any day (working day or not).

Some MI systems allow LAs to record extra statutory days (ESD) as additional holidays. The DfE should be informed of any ESD so that they can be taken into account in calculations and validations. These can be regarded as non-working days and excluded from any working day calculation, as long as the ESD is implemented locally as a non-working day across the authority. In those authorities where one or both of the ESDs are taken at the choice of individual members of staff, ESDs should not be taken into account.

Additional information on target dates:

The return of assessment target dates is no longer required due to the removal of initial and core assessment modules. The internal review point date in the assessments module remains optional as in 2013-14. However, return of ICPC target date also remains optional.

The majority of LAs will expect their MIS to calculate target dates automatically either as part of the system's workflow or as a reporting function when extracting data for the census. In calculating target dates the following points should be taken into account:

- Non-working days such as bank holidays or extra statutory days are treated the same as weekends in any working day calculation;
- Working days are evaluated as whole days. This means that the target date does not take into account the time of day on which the activity started. Close of business on the target day is the time by which the activity would be expected to be completed;
- Some target dates will fall beyond the end of the census year. These should still be included.
- Internal review date on the assessment module should be the LA's own locally set date.

The date of the child protection conference appears twice within the XML file. In most cases the conference will be held as part of a section 47 enquiry and the data should be shown within the section 47 group and should not be included at the CIN details level. For a 'transfer in' case, the date of initial child protection conference should appear at the CIN details level only and not within the section 47 group (see 5.6D note b).

The assessment authorisation date should be the date an assessment was authorised by the team manager and this can be any day (working or not). Assessments are deemed to be completed once the assessment has been discussed with the child's family (or carers) and the team manager has viewed and authorised the assessment.

5.5 Child protection plans

Module description:

This module contains information on child protection plans (CPP). A child can have one, more than one or no child protection plans, but can only have one at a time. A child not in need cannot have a CPP.

Module composition:

a) The CPP start date, the initial and latest category of abuse, the CPP end date, plan review date, seen by social worker, and the number of previous child protection plans.

b) The specification allows more than one plan review date to be provided. All reviews within the year should be included along with, for cases open at 1 April 2014, the last review date in 2013-14. (The final review date in 2013-14 is required to calculate whether all reviews in 2014 to 2015 were carried out within the required timescales.)

c) Initial category of abuse and latest category of abuse. If the case is closed, the latest category of abuse should be taken as when the case closed or if the case is ongoing, it should be as at 31 March 2015. The initial category of abuse records the assessment made at the time the plan commenced, and does not change. Both items use the same code set.

d) Seen social worker: For all child protection plans which were open at 1 April 2014 or which started on or after 1 April 2014, a record should be made of whether the child has been seen in accordance with the timescales specified within their plan, by the lead social worker. This should be recorded only once. Enter 1 (or true) if on all occasions during the year the child was seen in accordance with the timescales specified in the plan. Enter 0 (or false) if on some or all occasions the child was not seen in accordance with the timescales specified in the plan.

e) This module is repeatable.

Cases to include:

This module is required where any of the following conditions apply:

- the child protection plan start date or end date fall within the census year
- the plan was already open at the start of the year, i.e. there is a child protection plan start date, even if it is outside the census year, and no child protection plan end date.
- If neither of the above applies the module may be omitted.

Notes:

A child can have more than one CPP, but these plans should not overlap, i.e. a second CPP should not be started if an extant CPP is ongoing. The census will validate the data provided and query any overlaps that are included.

The CPR3 return included numbers of children by placement code and legal status (part B, tables 3 & 4). These items only apply to looked-after children. DFE will replicate these aggregates by matching CIN data to the SSSDA903 and hence these data items are not included in the CIN census.

5.6 Variations

The paragraphs above describe most records within the return. Providers are asked to note the following four variations in the requirement:

A) For an unborn child the following requirements apply:

- applicable data are LA child ID; expected date of birth; gender; ethnicity; CIN details (all fields); child protection plans (all fields);
- Gender should = 0 (not known/unborn);
- Ethnicity should be NOBT (information not yet obtained);
- Disability information is not required;
- Please note that it is possible that there is more than one unborn child with the same details other than the LA child ID.

B) If a looked after child is adopted during the collection year *and the child remains a child in need*, the LA must submit two separate unlinked records for that child.

- The first (pre-adoption) record should record the child's CIN details as they were when they were a looked after child:
 - CIN closure date will match the date of the court's adoption order;
 - Reason for closure will contain code RC1 (adopted).
- The second (post-adoption) record for that same child must contain new child identifiers:
 - A new LA child ID;
 - A new UPN which should have been assigned to the child by the school. **Do not record** the child's pre-adoption UPN under former UPN;
 - Referral date will match the date of the court's adoption order.

Notes:

a) if a looked after child is adopted and at that point ceases to be a child in need then the second, post-adoption record is not necessary. If a child doesn't remain in need the CIN closure date can be up to a month after the adoption date;

b) the second (post-adoption) record-type could continue to be provided in subsequent years, if the child continues to remain in need.

c) If a referral is received about a child, but no action is taken, then the following requirements apply:

- The Child identifiers module should contain:
 - LA child ID;
 - Date of birth or expected date of birth;
 - Gender

- The child characteristics module should contain:
 - Child ethnicity

- One CIN details module should be supplied containing:
 - Referral date;
 - Referral no further action flag with a value of “true” or “1”.
 - Source of referral

There should be no other activities recorded in the CIN details module.

As with a Referral that leads to action, if a single referral is about more than one child, then a separate record is required for each child.

d) If a child moves permanently into your authority, having been the subject of a child protection plan in another LA (sometimes referred to as transfers in), the data requirements are:

- Apart from CIN details, all modules are required in full including details of the new CPP
- For the CIN details module, the following items only are required:
 - In all cases, referral date, primary need code, referral no further action with the value of “false” or “0”
 - If the case was closed during the census year the CIN closure date and reason for closure are also required
 - The initial ICPC date must be completed within the CIN details module, and not in the S47 module.

Notes:

a) The referral date should be the date on which formal notification is received from the originating LA.

b) The receiving LA should convene a child protection conference within 15 working days of being notified of the move (i.e. referral date). The date of this child protection conference should be recorded within the CIN details group and not within the section 47 group. This is to allow us to distinguish between conferences due to a child moving and other conferences.

c) if notification is received from another LA of a child in need who has **not** been the subject of a child protection plan, then the case is treated as any other referral for the

purposes of the CIN census, and information on assessments and section 47 enquires are required as described in section 5.4.

5.7 Summary of cases to be included

This section provides a full summary of the inclusion criteria described above.

If a child is in scope then the child identifiers, child characteristics and children in need details modules must all be provided. A child is in scope if one or more of the following apply:

a) there are CIN episodes where:

- one or more of the key dates fall within the census year:
- the episode is open at start of year

b) the child is the subject of one or more child protection plans at any point during the year, i.e.

- the child protection plan start date or end date fall within the census year
- the plan is open, i.e. there is a child protection plan start date, even if it is outside the census year, and no child protection plan end date. This will include review dates.

(NB: if a child receives a service or is subject of a child protection plan, they must be in need for the duration of the service or plan)

c) the child was the subject of a referral, but after initial consideration no action was taken.

6 Local authority data returns

6.1 Data return formats

A submission file from an LA should be provided in a XML file structure as described below. Within XML, an item or module that is omitted (e.g. UPN or child protection plan module) should not show any tags.

6.2 Header details

Section 6.3 describes formats for the return of the data in XML format. The data items required within the header are as follows. (The header follows the DFE standard header for data collections, although <Term> and <Estab> are omitted as not relevant to this census.)

Collection Name (<Collection>, 800001) will be 'CIN'; **Description: Name of the data collection, e.g. CIN.**

Year (<Year>, 800003) will be '2015' **Description: The year of the collection.**

Reference Date (<ReferenceDate>, 800004) will be '2015-03-31' **Description: The reference date is normally the day of the census or collection. It is used as the baseline date from which comparisons with other dates in the return can be made.**

Source Level will be 'L'; **Description: Should be set to L for an LA system**

LA (<LEA>, 200001) is the LA Number. **Description: Three digit local authority number as used by education departments.**

Software Code (<SoftwareCode>) suppliers may use their own system name, or used a value of 'Local Authority'; **Description: Software product identification.**

Release (<Release>), optional free-text item which suppliers are requested to use if possible. In some cases it is useful to trace problems with returns back to specific software releases, e.g. where upgrades have been provided but not installed; **Description: Software release number.**

Serial No (<SerialNo>) will start at '001' and then be incremented by 1 each time a new file is prepared for submission; **Description: An incremented number generated by a provider's software, enables data collection systems to identify re-submissions.**

Date/Time (<DateTime>, 800010) is the Date and Time when the file was prepared or generated in CCYY-MM-DDThh:mm:ss format; **Description: Date and time of generation of the return.**

CBDS Level (<CBDSLevel>) will be 'Child' Description: Shows which of the defined CBDS levels are present within a data return.

6.3 XML schema

6.3.1 XML format submission

An XML submission will be a single message consisting of

- a header;
- a series of repeated nodes containing data on one or more funded children

CIN Census 2015 – Overall Message Structure	
	<Message>
	<Header> - see below for details
	<Children>
	</Message>

6.3.2 XML header

A standard XML message header is required for each census file. The data items should be populated according to the guide in 6.2:

Children in Need Census 2015 - Header Structure	
	<Header>
	<CollectionDetails>

800001	<Collection>CIN</Collection>
800003	<Year>2015</Year>
800004	<ReferenceDate>2015-03-31</ReferenceDate>
	</CollectionDetails>
	<Source>
	<SourceLevel>L</SourceLevel>
200001	<LEA>201</LEA>
	<SoftwareCode>Local Authority</SoftwareCode>
	<Release>ver 3.1.15</Release>
	<SerialNo>001</SerialNo>
800010	<DateTime>2015-05-23T11:14:05</DateTime>
	</Source>
	<Content>
	<CBDSLevels>
	<CBDSLevel>Child</CBDSLevel>
	</CBDSLevels>

	</Content>
	</Header>

Standard CBDS header items <Term> and <Estab> are not shown above. These items are not required in this data collection and should be omitted by suppliers.

6.3.3 Child XML message structure

Children in Need Census 2015 – Child XML Message Structure		
CBDS No	XML Element	Cardinality / Notes
		Note: This column contains notes on repetition. Item level elements can be assumed to occur once only, unless otherwise stated.
	<Children>	
	<Child>	Each <Children> group contains 1..n <Child> groups
	<ChildIdentifiers>	Each <Child> group contains one and only one <ChildIdentifiers> group

Children in Need Census 2015 – Child XML Message Structure

CBDS No	XML Element	Cardinality / Notes
100379	<LAchildID>AB2134</LAchildID>	
100001	<UPN>A123981456002</UPN>	
100002	<FormerUPN>X12348764987B</FormerUPN>	
100468	<UPNunknown>UN3</UPNunknown>	
100292	<PersonBirthDate>2007-03-24</PersonBirthDate>	Should complete either Date of Birth or Expected Date of Birth but not both
100380	<ExpectedPersonBirthDate>2014-04-13</ExpectedPersonBirthDate>	Should complete either Date of Birth or Expected Date of Birth but not both
100287	<GenderCurrent>1</GenderCurrent>	
100400	<PersonDeathDate>2014-10-08</PersonDeathDate>	
	</ChildIdentifiers>	
	<ChildCharacteristics>	Each <Child> group contains one and only one <ChildCharacteristics> group

Children in Need Census 2015 – Child XML Message Structure

CBDS No	XML Element	Cardinality / Notes
100319	<Ethnicity>WBRI</Ethnicity>	
	<Disabilities>	Each <ChildCharacteristics> group contains 0..1 <Disabilities > group Note: Only unborn children should have no Disabilities group
100385	<Disability>HAND</Disability>	Each <Disabilities> group contains 1..n <Disability> item. (NB: a single value of NONE appears if the child has no disabilities.
	</Disabilities>	
	</ChildCharacteristics>	
	<CINdetails>	Each <Child> group contains 1..n <CINdetails> group
100386	<CINreferralDate>2014-10-06</CINreferralDate>	
100505	<ReferralSource>1A</ReferralSource>	One and only one category

Children in Need Census 2015 – Child XML Message Structure

CBDS No	XML Element	Cardinality / Notes
100387	<PrimaryNeedCode>N4</PrimaryNeedCode>	
100388	<CINclosureDate>2015-02-27</CINclosureDate>	
100389	<ReasonForClosure>RC1</ReasonForClosure>	
100409	<DateOfInitialCPC>2014-12-06</DateOfInitialCPC>	Only to be used in this module for 'Transfers In'
	<Assessments>	Each <CINDetails> group contains 0...n <Assessments> groups
100529	<AssessmentActualStartDate>2014-06-03</AssessmentActualStartDate>	
100531	<AssessmentInternalReviewDate>2014-06-22</ AssessmentInternalReviewDate>	
100530	<AssessmentAuthorisationDate>2014-07-18</ AssessmentAuthorisationDate>	
	<FactorsIdentifiedAtAssessment>	

Children in Need Census 2015 – Child XML Message Structure

CBDS No	XML Element	Cardinality / Notes
100507	<p style="text-align: center;"><AssessmentFactors>2A </AssessmentFactors></p>	Each <FactorsIdentifiedAtAssessment> group contains 1..n <AssessmentFactors> items.
	<p style="text-align: center;"></FactorsIdentifiedAt Assessment></p>	
	<p style="text-align: center;"><Assessments></p>	
	<p style="text-align: center;"><Section47></p>	Each <CINdetails> group contains 0..n <Section47> groups
100499	<p style="text-align: center;"><S47ActualStartDate>2014-06-02</S47ActualStartDate></p>	
100408	<p style="text-align: center;"><InitialCPCtarget>2014-06-23</InitialCPCtarget></p>	
100409	<p style="text-align: center;"><DateOfInitialCPC>2014-06-17</DateOfInitialCPC></p>	To be used in this module for all cases except 'Transfers In'
100410	<p style="text-align: center;"><ICPCnotRequired>>false</ICPCnotRequired></p>	
	<p style="text-align: center;"></Section47></p>	

Children in Need Census 2015 – Child XML Message Structure

CBDS No	XML Element	Cardinality / Notes
100411	<ReferralNFA>>false</ReferralNFA>	
	<ChildProtectionPlans>	Each <CINdetails> group contains 0..n <ChildProtectionPlans> groups.
100395	<CPPstartDate>2014-02-17</CPPstartDate>	
100414	<CPPendDate>2015-03-14</CPPendDate>	
100412	<InitialCategoryOfAbuse>PHY </InitialCategoryOfAbuse>	
100413	<LatestCategoryOfAbuse>PHY </LatestCategoryOfAbuse>	
100397	<NumberOfPreviousCPP>10 </NumberOfPreviousCPP>	
100506	<SeenSocialWorker>True</Seen SocialWorker>	Must be 1 or 0, True or False

Children in Need Census 2015 – Child XML Message Structure		
CBDS No	XML Element	Cardinality / Notes
	<Reviews>	Each <ChildProtectionPlans> group contains 0..1 <Reviews> group
100415	<CPPreviewDate>2015-02-15</CPPreviewDate>	Each <Reviews> group contains 1..n <CPPreviewDate> items.
	</Reviews>	
	</ChildProtectionPlans>	
	</CINdetails>	
	</Child>	
	</Children>	

6.3.4 Special notes for XML returns

The standard XML entity references should be used for the following special characters:

Character	Entity reference
Ampersand (&)	&

Character	Entity reference
Left Angle Bracket (<)	<
Right Angle Bracket (>)	>
Single Quote / Apostrophe (')	'
Double Quotes (")	"

For special characters such as é use a character reference such as é This produces a generic XML file which can be viewed as such in a suitable browser. Details of all such characters are found within the XML standard documentation; see for example <http://www.w3.org/TR/2004/REC-xml-20040204/>, section 2.2.

There is no naming convention for the XML file; however we recommend that the name should be meaningful to a user attempting to locate the file. Spaces in the file name are not a problem. The file extension should be .xml.

6.4 Data validation rules

This section specifies validation rules that are applied to the data by DFE, using COLLECT. They should also be applied, as far as possible, by LAs prior to loading the data onto the COLLECT system and any issues resolved. Those data items included in the tables but not appearing in the validation rules - that is, those not shown in the validation rules as "must be present" - should be supplied where available (e.g. child's UPN). The table also shows which validations are errors and which ones are queries. An error is a failed validation check that must be corrected. A query is one which must be investigated, and potentially corrected, as it identifies data that would usually be invalid. Where a validation rule is identical to one that is specified for the School Census or School Workforce collections, the same Sequence Number is used. Otherwise, new sequence numbers are used.

Where an item occurs more than once for the same child suppliers must ensure that the validation checks against that item are applied to each instance. This should happen whether the item recurs multiple times within a single module, e.g., <Disability>, or the item is within a module that may occur more than once, e.g., <CINdetails>.

Syntax:

- Validation checks are expressed as conditions that must be true (errors) or that should be true (queries). The error message shows what is displayed if the condition fails
- data items are indicated using the XML tag with the CBDS number in parentheses, for example <LAchildID> (100379)
- data groups are indicated using the XML tag only, for example <Assessments>
- the first occasion on which an item appears, it is shown in bold. This is to aid the eye in navigating the tables only, and has no other significance
- single dates or date ranges are sometimes defined in relation to <ReferenceDate>, which is from the XML header
- date ranges also use [Start_of_Census_Year], which is not an item within the XML, but which has a constant value for this census of 2014-04-01. If this is calculated as (<ReferenceDate> minus one year plus one day) within MIS systems, then this will future proof some of the validation, since the reference date for CIN will always be the 31 March at the end of the census year.
- date ranges also use [Period_of_Census], which is not an item within the XML, but which has a constant value for this census of 2014-04-01 to 2015-03-31 inclusive.
- Notes, in italics, are explanatory and non-normative.

NB: PLEASE NOTE THERE SHOULD BE NO NEGATIVE VALUES ALLOWED

6.4.1 Header validation

Sequence Number	Error/ Query	Validation Check	Error Message
-----------------	--------------	------------------	---------------

100	Error	<ReferenceDate> (800004) must be present and must equal 2015-03-31	Reference Date is incorrect
-----	-------	--	-----------------------------

6.4.2 Child Identifiers module

Sequence Number	Error/ Query	Validation Check	Error Message
8500	Error	<LAchildID> (100379) must be present	LA Child ID missing
8510	Error	Each <LAchildID> (100379) must be unique across all children within the same LA return	More than one child record with the same LA Child ID

Sequence Number	Error/ Query	Validation Check	Error Message
1510	Error	<p data-bbox="533 280 1267 352"><UPN> (100001) if present must contain the correct check letter</p> <p data-bbox="533 400 943 432">To calculate the check letter:</p> <ol data-bbox="533 480 1267 1485" style="list-style-type: none"> <li data-bbox="533 480 1267 807">1. Multiply the individual digits by their weights as follows: digit 2 by weight 2; digit 3 by weight 3; digit 4 by weight 4; digit 5 by weight 5; digit 6 by weight 6; digit 7 by weight 7; digit 8 by weight 8; digit 9 by weight 9; digit 10 by weight 10; digit 11 by weight 11; digit 12 by weight 12; digit 13 by weight 13. <li data-bbox="533 855 1267 927">2. Sum the individual results, divide the total by 23, and take the remainder. <li data-bbox="533 975 1267 1485">3. Calculate the check letter from the result as follows: 0 = A; 1 = B; 2 = C; 3 = D; 4 = E; 5 = F; 6 = G; 7 = H; 8 = J; 9 = K; 10 = L; 11 = M; 12 = N; 13 = P; 14 = Q; 15 = R; 16 = T; 17 = U; 18 = V; 19 = W; 20 = X; 21 = Y; 22 = Z. <p data-bbox="533 1533 1267 1596">For calculating the check letter (see above) any alphabetical character at digit 13 is accorded the</p>	UPN invalid (wrong check letter at character 1)

Sequence Number	Error/ Query	Validation Check	Error Message
1520	Error	Each pupil <UPN> (100001) must be unique across all pupils in the extract	More than one record with the same UPN
1530	Error	If <UPN> (100001) present then characters 2-4 of <UPN> must be a valid post April 1998 LA code or a recognised 'pseudo LA' code (001-005, 201-213, 301-320, 330-336, 340-344, 350-359, 370-373, 380-384, 390-394, 420, 660-681, 701-708, 800-803, 805-808, 810-813, 815, 816, 820- 823, 825, 826, 830, 831, 835-837, 840, 841, 845, 846, 850-852, 855-857, 860, 861, 865-896, 908, 909, 916, 919, 921, 925, 926, 928, 929, 931, 933, 935-38)	UPN invalid (characters 2-4 not a recognised LA code)
1540	Error	If <UPN> (100001) present Characters 5-12 of <UPN> must be numeric	UPN invalid (characters 5-12 not all numeric)
1550	Error	If <UPN> (100001) present Character 13 of <UPN> must be numeric or A-Z omitting I, O and S	UPN invalid (character 13 not a recognised value)
1560Q	Query	<FormerUPN> (100002) where present should be in the correct format, as specified in the data table	Please check: Former UPN wrongly formatted
8520	Error	<PersonBirthDate> (100292) must be on or before <ReferenceDate> (800004) or null	Date of Birth is after data collection period (must be on or before the end of the census period)

Sequence Number	Error/ Query	Validation Check	Error Message
8770Q	Query	If <ReferralNFA> (100411) = 0 or false and <PersonBirthDate> (100292) is earlier than [<ReferenceDate> (800004) minus 6 years] then either <UPN> (100001) or a valid <UPNunknown> (100417) should be present	Please check: UPN or reason UPN missing expected for a child who is more than 5 years old
8772	Error	If <UPNunknown> (100417) is UN7 then all of the CIN details must have <ReferralNFA> (100411) = 1 or true	UPN unknown reason is UN7 (Referral with no further action) but at least one CIN details is a referral going on to further action
8775Q	Query	<PersonBirthDate> (100292) should be after <ReferenceDate> (800004) minus 25 years	Please check: Child is over 25 years old
8525	Error	Either a) <PersonBirthDate> (100292) must be present and <ExpectedPersonBirthDate> (100380) must be omitted; or b) <ExpectedPersonBirthDate> (100380) must be present and <PersonBirthDate> (100292) must be omitted.	Either Date of Birth or Expected Date of Birth must be provided (but not both)

Sequence Number	Error/ Query	Validation Check	Error Message
8530Q	Query	If present <ExpectedPersonBirthDate> (100380) should be between [<ReferenceDate> (800004) minus 30 days] and [<ReferenceDate> (800004) plus 9 months]	Please check: Expected Date of Birth is outside the expected range for this census (March to December of the Census Year end)
4180	Error	<GenderCurrent> (100287) must be provided and a valid code	Gender is missing
8750	Error	If <ExpectedPersonBirthDate> (100380) is present and <PersonBirthDate> (100292) is blank then <GenderCurrent> (100287) must equal "0"	Gender must equal 0 for an unborn child
2886Q	Query	The sum of: the number of child records where <GenderCurrent> (100287) is equal to zero or missing, and the <ExpectedPersonBirthDate> (100380) is equal to missing, divided by the total number of child records should be less than or equal to 0.02. Validation should be triggered at LA level.	Please check: Percentage of children with no gender recorded is more than 2% (excluding unborns)
8535Q	Query	If <PersonDeathDate> (100400) is present, <PersonBirthDate> (100292) must also be present and <PersonDeathDate> (100400) must be on or after <PersonBirthDate> (100292)	Please check: Child's date of death should not be prior to the date of birth

Sequence Number	Error/ Query	Validation Check	Error Message
8545Q	Query	If present, <PersonDeathDate> (100400) must be within [Period_of_Census]	Please check: Child's date of death should be within the census year

6.4.3 Child characteristics module

Sequence Number	Error/ Query	Validation Check	Error Message
4220	Error	<Ethnicity> (100398) must be present and a valid code	Ethnicity is missing or invalid (see Ethnicity table)
8540	Error	If <PersonBirthDate> (100292) is present and at least one <ReferralNFA> (100411) is false or 0, then one or more instances of <Disability> (100385) must be present, and all instances must be valid code values	Child's disability is missing or invalid (see Disability table)
8790	Error	If a <Disability> (100385) value of NONE is present, then there should be no other <Disability> values present for the same child	Disability information includes both None and other values
8794	Error	If there is more than one <Disability> (100385) for a child, then none of the values should appear more than once	Child has two or more disabilities with the same code

Sequence Number	Error/ Query	Validation Check	Error Message
2887Q	Query	<p>Excluding children where <Disability> (100385) is 'NONE', the number of different disability codes used by a LA should be more than 7.</p> <p>Validation should be triggered at LA level, not child level, if the LA has only used 7 or fewer different disability codes in their return.</p>	Please check: Less than 8 disability codes have been used in your return
2888Q	Query	<p>Excluding children where <Disability> (100385) is 'NONE', within a local authority, one or more children should have more than one disability recorded.</p> <p>Validation should be triggered at LA level, not child level, if all children who are recorded as having a disability have only 1 disability code recorded.</p>	Please check: Only one disability code is recorded per child and multiple disabilities should be recorded where possible.

6.4.4 CIN details module

Sequence Number	Error/ Query	Validation Check	Error Message
8590	Error	Each child must have at least one <CINdetails> group	Child does not have a recorded CIN episode.

Sequence Number	Error/ Query	Validation Check	Error Message
8600	Error	<CINreferralDate> (100386) must be present and must be on or before <ReferenceDate> (800004)	Child referral date missing or after data collection period
8606	Error	<CINreferralDate> (100386) cannot be more than 280 days before <PersonBirthDate> (100292) or <ExpectedPersonBirthDate> (100380)	Child referral date is more than 40 weeks before DOB or expected DOB
8555	Error	If <PersonDeathDate> (100400) is present, then the <CINreferralDate> (100386) must be on or before the <PersonDeathDate> (100400)	Child cannot be referred after its recorded date of death
8610	Error	If <ReferralNFA> (100411) = false or 0 then <PrimaryNeedCode> (100387) must be present	Primary Need code is missing for a referral which led to further action
8650	Error	If present <PrimaryNeedCode> (100387) must be a valid primary need code value	Primary Need Code invalid (see Primary Need table in CIN census code set)
8866	Error	If <CinReferralDate> (100386) is on or after 1 April 2013 then <ReferralSource> (100505) must be present and must be a valid code	Source of Referral is missing or an invalid code
8569	Error	If <CINreferralDate> (100386) is before [Start_of_Census_Year], <ReferralNFA> must be false	A case with referral date before collection start date must not be flagged as a no further action case

Sequence Number	Error/ Query	Validation Check	Error Message
1103	Error	Where present, the <AssessmentActualStartDate> (100529) should be on or after the <CINReferralDate> (100386)	The assessment start date cannot be before the referral date
1104	Error	Where present, the <DateOfInitialCPC> (100409) should be on or after <CINReferralDate> (100386)	The date of the initial child protection conference cannot be before the referral date
1105	Error	Where present, the <CPPStartDate> (100395) should be on or after the <CINReferralDate> (100386)	The child protection plan start date cannot be before the referral date
8620	Error	If <CINclosureDate> (100388) is present, it must be within [Period_of_Census]	CIN Closure Date present and does not fall within the Census year
8630	Error	If present <CINclosureDate> (100388) must be on or after <CINreferralDate> (100386) in the same <CINdetails> instance	CIN Closure Date is before CIN Referral Date for the same CIN episode
8640	Error	If present <ReasonForClosure> (100389) must be a valid reason for closure code value.	CIN Reason for closure code invalid (see Reason for Closure table in CIN Census code set)
8805	Error	If <CINclosureDate> (100388) is present then <ReasonForClosure> (100389) must also be present	A CIN case cannot have a CIN closure date without a Reason for Closure

Sequence Number	Error/ Query	Validation Check	Error Message
8565	Error	<p>If <CINclosureDate> (100388) is present then it must be on or after all of the following dates that are present:</p> <p style="padding-left: 40px;"><AssessmentActualStartDate> (100529)</p> <p style="padding-left: 40px;"><AssessmentAuthorisationDate>(100530)</p> <p style="padding-left: 40px;"><S47ActualStartDate> (100499)</p> <p style="padding-left: 40px;"><DateOfInitialCPC> (100409)</p> <p style="padding-left: 40px;"><CPPendDate> (100414)</p>	Activity shown after a case has been closed
8575d	Error	If <CINclosureDate> (100388) is present then all instances of the <Assessments> group must include the <AssessmentAuthorisationDate> (100530)	CIN episode is shown as closed, however Assessment is not shown as completed
8575c	Error	If <CINclosureDate> (100388) is present then all instances of the <Section47> group must either i) include the <DateOfInitialCPC> (100409) or ii) include <ICPCnotRequired> (100410) with a value of true or 1	CIN episode is shown as closed, however Section 47 enquiry is not shown as completed by ICPC date or ICPC not required flag
8810	Error	If <ReasonForClosure> (100389) is present then <CINclosureDate> (100388) must also be present	A CIN case cannot have a Reason for Closure without a CIN Closure Date

Sequence Number	Error/ Query	Validation Check	Error Message
8585Q	Query	If <ReasonForClosure> (100389) = RC2 (Died) then a valid <PersonDeathDate> (100400) must be present.	Please check: CIN episode shows Died as the Closure Reason, however child has no recorded Date of Death
2990	Error	If a <CINDetails> module has <ReasonForClosure>(100389) = RC8, then it cannot have any of the following modules: Section 47 Child Protection Plan	Activity is recorded against a case marked as 'Case closed after assessment, no further action'.
8568	Error	<ReferralNFA> (100411) must be present and must be 1 or 0, true or false	RNFA flag is missing or invalid
8608	Error	Where present, within an <Assessments> group, <AssessmentActualStartDate> (100529) must be on or before the <AssessmentAuthorisationDate> (100530)	Assessment Start Date cannot be later than its End Date
8615	Error	Within a <Section47> group, if <DateOfInitialCPC> (100409) is present then <S47ActualStartDate> (100499) must be present and on or before the <DateOfInitialCPC> (100409)	Section 47 Enquiry Start Date must be present and cannot be later than the date of the initial Child Protection Conference

Sequence Number	Error/ Query	Validation Check	Error Message
2889	Error	Where present, the <S47ActualStartDate> (100499) should be on or after the <CINReferralDate> (100386)	The S47 start date cannot be before the referral date.
2884	Error	If present, the CIN Details module <DateOfInitialCPC> (100409) must not be the same as any Section47 module <DateOfInitialCPC>.	An initial child protection conference is recorded at both the S47 and CIN Details level and it should only be recorded in one
		Note: The following rules i.e. 8670Q and 8675Q use working days and allow for bank holidays and LA statutory non-working days (see section 5.4)	
8670Q	Query	Where present, within an <Assessments> group, if <AssessmentAuthorisationDate> (100530) is not present then <AssessmentActualStartDate> (100529) should not be before the <ReferenceDate> (800004) minus 45 working days	Please check: Assessment started more than 45 working days before the end of the census year. However, there is no Assessment end date.
8675Q	Query	If <DateOfInitialCPC> (100409) not present and <ICPCnotRequired> (100410) equals false then <S47ActualStartDate> (100499) should not be before the <ReferenceDate> (800004) minus 15 working days	Please check: S47 Enquiry started more than 15 working days before the end of the census year. However, there is no date of Initial Child Protection Conference.

Sequence Number	Error/ Query	Validation Check	Error Message
8696	Error	If present <AssessmentAuthorisationDate> (100530) must be on or between [Start_Of_Census_Year] and <ReferenceDate> (800004)	Assessment end date must fall within the census year
8715	Error	If present <DateOfInitialCPC> (100409) must be on or between [Start_Of_Census_Year] and <ReferenceDate> (800004)	Date of Initial Child Protection Conference must fall within the census year
8736	Error	Where present, if an <Assessments> group does not contain the <AssessmentAuthorisationDate> (100530) then the <AssessmentActualStartDate> (100529) must be on or between [Start_Of_Census_Year] and <ReferenceDate> (800004)	For an Assessment that has not been completed, the start date must fall within the census year
8740	Error	If a <Section47> group does not contain the <DateOfInitialCPC> (100409) and <ICPCnotRequired> (100410) is false then the <S47ActualStartDate> (100499) must be on or between [Start_Of_Census_Year] and <ReferenceDate>	For a Section 47 Enquiry that has not held the Initial Child Protection Conference by the end of the census year, the start date must fall within the census year
8861Q	Query	Where present, the <AssessmentInternalReviewDate> (100531) should not be a Saturday, Sunday	Please check: The Assessment Internal Review Date is a weekend

Sequence Number	Error/ Query	Validation Check	Error Message
8862Q	Query	Where present, the <AssessmentAuthorisationDate> (100530) should not be a Saturday, Sunday	Please check: The Assessment Authorisation Date is a weekend.
8870Q	Query	Where present, the <InitialCPCtarget> (100408) should not be a Saturday, Sunday	Please check: The Target Date for Initial Child Protection Conference should not be a weekend
8875	Error	Where present, the <DateOfInitialCPC> (100409) must not be a Saturday, Sunday	The Date of Initial Child Protection Conference cannot be a weekend
8863	Error	<p>Within one <CINdetails> group, where present each <AssessmentActualStartDate> (100529) must not fall on or between:</p> <p>a) the <AssessmentActualStartDate> (100529) and <AssessmentAuthorisation Date (100530) of any other <Assessments> group that has an <AssessmentAuthorisationDate> (100530)</p> <p>or</p> <p>b) the <AssessmentActualStartDate> (100529) and the <ReferenceDate> (800004) where the <AssessmentAuthorisationDate> (100530) is missing</p>	An Assessment is shown as starting when there is another Assessment ongoing

Sequence Number	Error/ Query	Validation Check	Error Message
8890	Error	Within one <CINdetails> group, each <S47ActualStartDate> (100499) must not fall on or between a) the <S47ActualStartDate> (100499) and <DateOfInitialCPC> (100409) of any other <Section47> group that has a <DateOfInitialCPC> (100409) or b) the <S47ActualStartDate> (100499) and the <ReferenceDate> (800004) of any other <Section47> group that has a missing <DateOfInitialCPC> (100409) and the <ICPCnotRequired> flag is not true	A Section 47 enquiry is shown as starting when there is another Section 47 Enquiry ongoing
8896	Error	Within one <CINdetails> group, there must not be more than one <Assessments> group that has no <AssessmentAuthorisationDate> (100530) recorded	Within one CINDetails group there are 2 or more open Assessments groups
8839	Error	Within one <CINdetails> group, there must be only one <Section47> group that has no <DateOfInitialCPC> (100409) recorded OR <Section47> group that has a missing <DateOfInitialCPC> (100409) and the <ICPCnotRequired> flag is not true	Within one CINDetails group there are 2 or more open S47 Assessments

Sequence Number	Error/ Query	Validation Check	Error Message
		<p>Note: The following three checks i.e. 8815, 8816 and 8620 are module-level checks, i.e., they are checks made across a number of <CINdetails> modules where more than one exists for the same child.</p>	
8815	Error	<p>If more than one <CINdetails> module is present for the same child, then there must only be one where:</p> <p><CINclosureDate> (100388) is missing; and</p> <p><ReferralNFA> (100411) = false or 0</p> <p>Notes: a) <CINclosureDate> (100388) can be present on all modules, b) there may be more than one module with no <CINclosureDate> where <ReferralNFA> = true / 1</p>	<p>More than one open CIN Details episode (a module with no CIN Closure Date) has been provided for this child and case is not a referral with no further action.</p>

Sequence Number	Error/ Query	Validation Check	Error Message
8816	Error	<p>If there is a <CINdetails> module present for the child where:</p> <p><CINclosureDate> (100388) is missing; and</p> <p><ReferralNFA> (100411) = false or 0</p> <p>then the <CINreferralDate> (100386) for this module must be the latest of all Referral Dates for that child</p>	An open CIN episode is shown and case is not a referral with no further action, but it is not the latest episode.
8820	Error	<p>If more than one <CINdetails> module is present for the same child, then for each module the <CINreferralDate> must not fall;</p> <p>a) between the <CINreferralDate> and the <CINclosureDate> (100388) if present; and</p> <p>b) between the <CINreferralDate> and the <ReferenceDate> (800004) if the <CINclosureDate> is not present and <ReferralNFA> = false or 0</p> <p>Note: the effect of this rule is that there cannot be overlapping referrals, although the end date of one referral may be the same as the start date of the following referral</p>	The dates on the CIN episodes for this child overlap

Sequence Number	Error/ Query	Validation Check	Error Message
8831	Error	<p>If a <CINdetails> module has <ReferralNFA> (100411) = true or 1, then it cannot have any of the following:</p> <p><AssessmentActualStartDate> (100529)</p> <p><AssessmentAuthorisationDate> (100530)</p> <p><S47ActualStartDate> (100499)</p> <p><DateOfInitialCPC> (100409)</p>	Activity is recorded against a case marked as a referral with no further action
8897	Error	Where present, if <AssessmentAuthorisationDate> (100530) is on or after [Start_Of_Census_Year] then one or more <AssessmentFactors> (100507) must be present within the same assessment module and must be a valid code	Parental or child factors at assessment information is missing from a completed assessment
8898	Error	If there is more than one <AssessmentFactors> (100507) for an assessment recorded, then none of the values should appear more than once for an assessment.	The assessment has more than one parental or child factors with the same code

Sequence Number	Error/ Query	Validation Check	Error Message
8869	Error	If <AssessmentFactors> (100507) = "21", this must be the only <AssessmentFactors> present, and <ReasonForClosure> (100389) must = RC8.	The assessment factors code "21" can only be used in cases where the assessment ends in no further action, and cannot be used in conjunction with any other assessment factors.
8869d	Error	Within a <CINDetails> group, if <AssessmentFactors> (100507) = "21" on the Assessment module, this must be the only <Assessment> group present	Where assessment factors code "21 No factors identified" has been used for a completed continuous assessment, this must be the only continuous assessment
8614	Error	If <AssessmentAuthorisationDate> (100530) is missing, <AssessmentFactors> (100507) within the same module must also be missing	Parental or child factors at assessment should only be present for a completed assessment.
8899Q	Query	If disability is not `NONE` and <AssessmentAuthorisationDate> is on or after [Start_Of_Census_Year] then <AssessmentFactors> must include one or both of `5A` or `6A`	A child identified as having a disability should have a disability factor recorded at the end of assessment.

6.4.5 Child protection plans module

Sequence Number	Error/ Query	Validation Check	Error Message
8905	Error	Where a Child Protection Plan module is present, <InitialCategoryOfAbuse> (100412) must be present and be a valid code	Initial Category of Abuse code missing or invalid (see Category of Abuse table in CIN Census code set)
8910	Error	Where a Child Protection Plan module is present, <LatestCategoryOfAbuse> (100413) must be present and be a valid code	Latest Category of Abuse code missing or invalid (see Category of Abuse table in CIN Census code set)
8720	Error	Where a CPP module is present, <CPPstartDate> (100395) must be present and on or before the <ReferenceDate> (800004)	Child Protection Plan Start Date missing or out of data collection period
8915	Error	If <PersonDeathDate> (100400) is present, then <CPPstartDate> (100395) must be on or before <PersonDeathDate> (100400)	Child Protection Plan shown as starting after the child's Date of Death
8730	Error	Where a CPP module is present, <NumberOfPreviousCPP> (100397) must be greater than or equal to zero	Total Number of previous Child Protection Plans missing
8920	Error	If <PersonDeathDate> (100400) is present, then <CPPendDate> (100414) must be on or before <PersonDeathDate> (100400)	Child Protection Plan cannot end after the child's Date of Death

Sequence Number	Error/ Query	Validation Check	Error Message
8925	Error	If present <CPPendDate> (100414) must be on or after the <CPPstartDate> (100395)	Child Protection Plan End Date earlier than Start Date
8930	Error	If <CPPendDate> (100414) is present, then<CPPendDate> (100414) must fall within [Period_of_Census] inclusive	Child Protection Plan End Date must fall within the census year
8840	Error	Within a <ChildProtectionPlans> group, <CPPstartDate> (100395) must not be the same as the <CPPendDate> (100414)	Child Protection Plan cannot start and end on the same day
8841	Error	Within a <ChildProtectionPlans> group, there should be no <CPPreviewDate> (100415) that is the same as the <CPPstartDate> (100395)	Child Protection Plan cannot start and be Reviewed on the same day
		Note: The following two checks i.e. 8935 and 8940 are module-level checks, i.e., they are checks made across a number of <ChildProtectionPlans> modules where more than one exists for the same child.	
8935	Error	There must be only one <ChildProtectionPlans> group where the <CPPendDate> (100414) is missing	This child is showing more than one open Child Protection plan, i.e. with no End Date

Sequence Number	Error/ Query	Validation Check	Error Message
8940	Error	<p>Where more than one <ChildProtectionPlans> group is included, the <CPPstartDate> (100395) of each group cannot fall within either:</p> <p>a) <CPPstartDate> (100395) to <CPPendDate> (100414), or</p> <p>b) <CPPstartDate> (100395) and <ReferenceDate> if <CPPendDate> (100414) is not present</p> <p>of any other group</p>	Child Protection Plan data contains overlapping dates
8842Q	Query	Where a <Reviews> group is present, a valid <CPPreviewdate> should be present within the group	Please check: Review Record has a missing date
8832	Error	If a <CINdetails> module has <ReferralNFA> (100411) = true or 1, then there should be no Child Protection module present	Child Protection details provided for a referral with no further action

Sequence Number	Error/ Query	Validation Check	Error Message
2883	Error	<p>Within a Local Authority, count the number of <CPPStartDate> (100395) where a date is present and within [Period_of_Census]. This value should be less than or equal to the sum of:</p> <p>a) the count of <DateOfInitialCPC> (100409) on CIN Details module where a date is present and within [Period_of_Census], plus</p> <p>b) the count of <DateOfInitialCPC> on the S47 module where a date is present and within [Period_of_Census].</p>	There are more child protection plans starting than initial conferences taking place
2885	Error	<p>If present and if <CPPStartDate> (100395) falls within [Period_Of_Census], then the <CPPStartDate> should equal either:</p> <p>a) a Section47 module <DateOfInitialCPC> (100409), or</p> <p>b) a CINDetails module <DateOfInitialCPC>.</p>	Child protection plan shown as starting a different day to the initial child protection conference

Sequence Number	Error/ Query	Validation Check	Error Message
8776	Error	If <CPPstartDate> (100395) is present and earlier than <ReferenceDate> (800004) minus 91 days, then <SeenSocialWorker> (100506) must be present and must be 0 or 1, true or false.	Child Protection Plan started at least 3 months before the end of the census year but no flag for seen by social worker within timescales is present or a valid value
8872Q	Query	If <CPPstartDate> (100395) is present and later than <ReferenceDate> (800004) minus 91 days, then <SeenSocialWorker> (100506) should be present and must be 0 or 1, true or false.	Please check: For a child protection plan that started in the last 3 months of the census year, seen by social worker flag should be present and must be a valid value.

6.5 Re-submission guidance

When re-submissions are required the Serial No in the Header must be incremented by the provider's software. We have also assumed that the whole file will be re-submitted. Note that a re-submission will delete and replace all the data contained in the previous submission.

Version history:

V0-1	<p>Initial QA version. Main changes are:</p> <p>Para 1.3: Main changes: Initial and core assessment modules have been removed.</p> <p>Para 5.1: Logical data model has been amended to show removal of initial and core assessment modules</p> <p>Para 5.4: CIN details: Initial and core assessment modules have been removed.</p> <p>Para 6.3.3: Child message structure (XML): Initial and core assessment modules have been removed.</p> <p>Para 6.4: Data validation rules: References and rules relating to initial and core assessment modules have been removed.</p> <p>Target Dates: Return of assessment target dates is no longer optional. Return of ICPC target dates remains optional. Please see paras 1.3; 5.4.2; 5.5.</p>	Alan Brooks: DDU; DfE – October 2013
V0-2	<p>Main changes are:</p> <p>All through: Remove reference to `continuous assessment` and simply refer to `assessment`.</p> <p>All through: Clarify advice about target dates.</p> <p>Validation Rules: Rules 1101 and 1102 deleted.</p> <p>CBDS: CBDS data item reference numbers added for assessment module.</p>	Alan Brooks: DDU; DfE – October 2013
V1-0	Baselined version	Alan Brooks: DDU; DfE – October 2013
V1-1	<p>Changes as agreed via RFC 674 and format change to validation rules.</p> <p>Validation Rules: Please see para 1.3.3 which</p>	Alan Brooks: DDU; DfE – January 2014

	<p>describes changes made in relation to 2013-14 RFC 669 and 2014-15 RFC 674. Rule 8869 has been reinstated; Rules 8869a, 8869b, 8869c are not included since they are not relevant for 2014-15 census; Rule 8869d has been included but modified for 2014-15 census.</p> <p>Validation Rules: Rules have been transferred to Annex A. For 2014-15, rules will be retained in the main body of the specification also.</p>	
--	---	--



Department
for Education

© Crown copyright 2014

You may re-use this document/publication (not including logos) free of charge in any format or medium, under the terms of the Open Government Licence v2.0. To view this licence, visit www.nationalarchives.gov.uk/doc/open-government-licence/version/2 or email: psi@nationalarchives.gsi.gov.uk.

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

Any enquiries regarding this publication should be sent to us at [data collection helpdesk](#).

This document is available for download at [CIN census web page 2014 to 2015](#)