



Education  
Funding  
Agency

# **School Sixth Form Student Number Statement for Academic Year 2014 to 2015**

**Explanatory Note for school sixth forms and  
academies**

**January 2014**

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# Explanatory Note

## Introduction

This explanatory note sets out the background details behind the figures in your Student Number statement for the 2014 to 2015 academic year (2014/15). This is the first step towards calculating your 2014/15 funding allocation, which we will communicate to you by the end of March.

## Purpose

We are providing this information now so that you have an opportunity to check and comment on it, or raise any queries you may have. Any cases you wish to make for significant data corrections/changes to the numbers in this statement should be raised with us as soon as possible and at the latest by 14 February 2014. The information in the statement will also give you an initial basis on which to plan.

## Policy Implementation

Peter Mucklow's letter of 10 December 2013 sets out the main policy changes for 2014/15. The main change reflected in this statement is the funding for 18-year olds, and more detail is given on this below. The letter can be found [on our website](#).

## Funding Formula Changes

As in previous years, your funding allocation for 2014/15 will be based on lagged student numbers. This statement includes student numbers and the other factors which affect your funding for 2014/15. A box-by-box explanation of the numbers in the statement is given in annex A.

### 18-year olds

Ministers have decided to make the savings required in 2014/15 by reducing the participation requirements for full-time 18-year-olds, as defined by their age at the start of the academic year. From 2014/15, we will return to the previous definition of full-time for these students as was applied in 2012/13 and fund them in funding band 4 (450-539 hours) as a maximum. This means the funding rate for full-time 18-year-old students in 2014/15 will be 17.5% below the rate for full-time 16- and 17-year-olds.

The data on programme size on the funding factor statements shows the split between 16 and 17 year olds and students aged 18 or over. For the allocation for 2014/15 students aged 18+ will be funded at a maximum of band 4 (450-539 hours) – that is any students in band 5 in the data for 2012/13 will be treated as in band 4 for the purposes of

the allocation. Conversely, students aged 16 or 17 in band 4 will be treated as the equivalent of band 5 for the purposes of the 2014/15 allocation, as was done in 2013/14. Explanatory diagrams showing how the bandings for 450-539 hours and 540+ hours will work are included in Annex B.

## Programme cost weightings

Following the review earlier this year, we have now confirmed that the programme cost weightings for 2014/15 are unchanged from those used in allocations for 2013/14. A [list of programme cost weightings](#) is given in the funding guidance for 2013/14 on our website.

## Allocations Calculation Toolkit (ACT)

This year student number statements also include a comparison with the equivalent funding factor figures for 2013/14, to allow you to see whether there are any significant changes year-on-year. As for 2013/14, we have produced an allocations calculation toolkit (ACT). This explains how we have used your data to arrive at some of the key figures in the statement. It does not include data on area cost, care leavers or disadvantage block 2. We are not distributing the toolkit routinely, as it is unlikely to be of interest to every institution particularly where factors are very similar year-on-year, but we will provide it on request through the contact details listed below.

## Next Steps

If you have any queries or wish to raise any issues with the figures in your student number statement, please raise them with us as soon as possible and at the latest by 14 February 2014. This will allow us to take into account any issues you raise as we finalise your 16-19 allocation. Issues raised after this date may not be taken into account for your 2014/15 allocation.

Academies should send queries to the academies team mailbox:

- [Academy.QUESTIONS@education.gsi.gov.uk](mailto:Academy.QUESTIONS@education.gsi.gov.uk)

All other institutions should send queries to the relevant EFA 16-19 territory mailbox:

- Northern territory (North West, North East and Yorkshire and Humberside)  
[YPNorthern.ef@education.gsi.gov.uk](mailto:YPNorthern.ef@education.gsi.gov.uk)
- Southern territory (South East, East of England and London)  
[YPSouthern.EFA@education.gsi.gov.uk](mailto:YPSouthern.EFA@education.gsi.gov.uk)
- Central & south west territory (South West, West Midlands and East Midlands)  
[YPCentralSW.EFA@education.gsi.gov.uk](mailto:YPCentralSW.EFA@education.gsi.gov.uk)

The next steps in the allocation process and the procedures for handling business cases will follow the timeline set out in Peter Mucklow's letter of 10 December.

We will confirm your funding allocation to you by the end of March 2014.

## Annex A: Student Number Statement Detailed Notes

**Table 1: Student Numbers**

| Title   | Comments  |
|---|---|
| 1.1 Lagged student number                           | From autumn census for 2013/14.   |
| 1.2 Exceptional variations to lagged student number | An increase or decrease to be applied to the lagged student numbers. This is where an exceptional case has been agreed.<br><br>This includes, where appropriate, an adjustment to reflect the current position where an academy is funded on its estimates. |
| 1.3 Total student numbers for 2014/15               | This is the total of lagged students plus exceptional variations (box 1.1 + 1.2).   |

**Table 2: Distribution of Students by Funding Band**

| Title                                     | Comments   |
|---|--|
| Number of students allocated in 2013/14   | The number of students in each band in the 2013/14 allocation. This will include any agreed business cases, where applicable.<br><br>Row 2.6 shows the number of students in that band as full time equivalents (FTEs), which is calculated by:<br><i>Aggregated hours for students in band ÷ 600 = FTEs</i> |
| Student number data 2012/13               | The number of students in each band in 2012/13 split into students aged 16 and 17 and those aged 18+.<br><br>Calculated from autumn census 2013 (whole year 2012/13 data).   |
| 2012/13 numbers as used for 2014/15 bands | The above numbers by band adjusted to reflect 2014/15 bands.<br><br>Any students aged 16 and 17 in band 4 will be moved to band 5 and any students aged 18+ in band 5 will be moved to band 4.   |
| Proportions used for 2014/15 allocation   | The proportion of students in each band from previous column expressed as a percentage of the total number of students.  |

| Title                                   | Comments  |
|---|---|
| Number of students allocated in 2014/15 | <p>The percentages in each band applied to the total student numbers for 2014/15 (box 1.3).</p> <p>The values in this column have been rounded to whole numbers and this may result in a slight difference to the total in box 2.7.</p> |

### Table 3: Funding factors

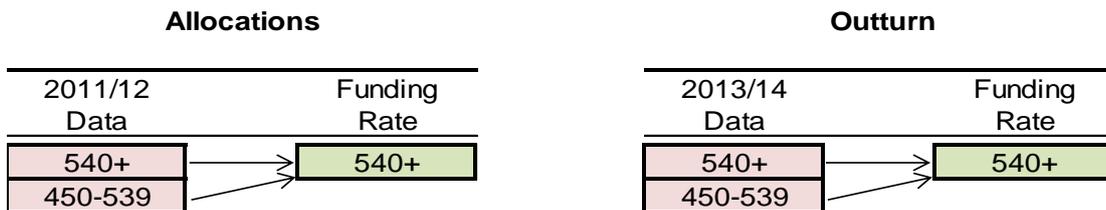
In each case, the table shows the value used in the 2013/14 allocation (including any business cases, where applicable) and the value calculated from historical data that will be used for the 2014/15 allocation.

| Title   | Comments  |
|---|---|
| 3.1 Retention factor                                  | <p>Retention rate = retained students ÷ all students</p> <p>Retention factor = (retention rate ÷ 2) + 0.5</p> <p>Calculated from autumn census 2013 (whole year 2012/13 data).</p>  |
| 3.2 Programme cost weighting                          | <p>The programme cost weighting used is the average for your institution, and has been weighted by the hours for each student.</p> <p>Programme cost weighting is based on the sector subject area (SSA) classification for each student's core aim.</p> <p>Calculated from autumn census 2013 (whole year 2012/13 data).</p>   |
| 3.3 Area cost   | <p>Some areas of the country are more expensive to teach in than others, and the area cost weights the allocation to reflect this.</p> <p>The area cost is normally based on your institution's address, except for a small number of institutions which deliver provision in different locations where it is based on the delivery postcodes for that provision.</p> |
| 3.4 Disadvantage block 1: Economic deprivation factor | <p>The student's home postcode and the Index of Multiple Deprivation (IMD) 2010 are used.</p> <p>The factor is an average across the whole institution, weighted by the hours for each student.</p> <p>Calculated from autumn census 2013 (whole year 2012/13 data).</p>  |

| Title   | Comments   |
|---|--|
| 3.5 Disadvantage block 1:<br>Care leavers                             | The number of eligible students based on the 2012/13 end of year 16-19 Bursary Fund data return in autumn 2013.  |
| 3.6 Disadvantage block 2:<br>Instances attracting funding per student | <p>The ratio of students that did not have GCSE maths and/or English based on the Young People's Matched Administrative Database (YPMAD) for 2011/12.</p> <p>The factor is based on the number of instances when a student does not have at least a C grade in GCSE maths or English at the end of year 11. A student without a C in maths and English counts as 2 instances, a student without a C in either maths or English counts as 1 instance and a student with Cs in both counts as 0 instances.</p> |
| 3.7 Total number of disadvantage block 2 instances                    | The factor in 3.6 is multiplied by the total student numbers (box 2.7 for 2013/14 and box 1.3 for 2014/15). This gives the total number of instances attracting funding.   |
| 3.8 Instances attracting high rate                                    | <p>The total number of instances in box 3.7 are then split between the bands according to the proportions in table 2.</p> <p>Bands 4 and 5 attract the higher rate, bands 2 and 3 attract the lower rate and band 1 attracts the FTE rate.</p>   |
| 3.9 Instances attracting lower rate                                   |  |
| 3.10 Instances attracting FTE rate                                    |  |

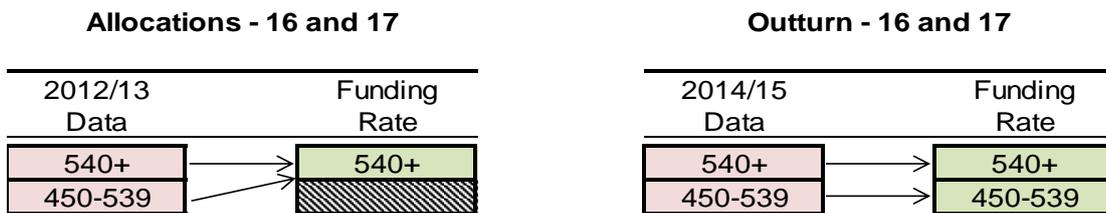
# Annex B: Explanatory Diagrams on Programme Size

## 2013/14 Funding

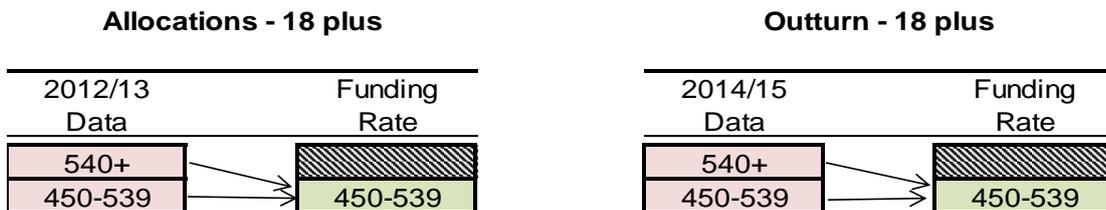


The 450-539 band is treated as 540+ in both allocations and outturn - transitional year

## 2014/15 Funding

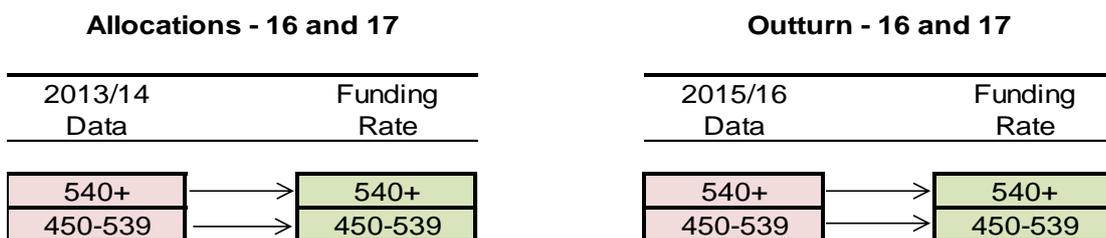


Students with 450-539 hours in 2012/13 are treated as 540+ in **allocations** as these are based on historical data, but will need to increase programme size to 540+ in 2014/15 to generate funding at that level in-year

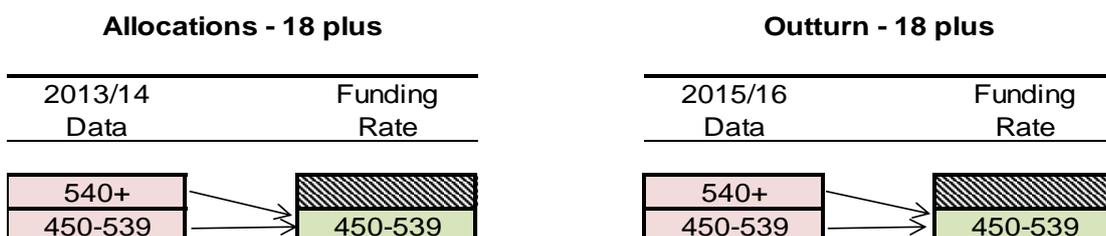


Single rate for all students with 450+ hours in both allocations and outturn

## 2015/16 Funding



New system fully in place. Now using 2013/14 data in allocations, so no longer make an assumption about increased programme size for 450-539 band





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