

# Open Data Strategy Refresh

## Department for Work and Pensions

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# 1) Introduction

This Transparency strategy builds on the commitments outlined in the Departments original Strategy published in June 2012 [Open Data Strategy](#)

This update

- summarises our transparency / open data achievements so far (section 2)
- explains how we are increasingly engaging with a wide range of our data users (section 3)
- sets out our contribution to the Government's new National Information Infrastructure and our planned new open data releases over the next two years (section 4) and
- describes our wider transparency activities including updates on our Growth Review commitments and cross-government data sharing to support more effective public services (section 5 & 6)

Openness and transparency has the potential to transform Government. It can strengthen people's trust in Government and encourage greater public participation in decision-making. Freeing up public data and putting it in people's hands can help them have more of a say in the reform of public services.

Department for Work and Pensions (DWP) is committed to supporting the Government's transparency agenda and routinely publishes a large amount of data ranging from procurement / spend data to benefit case load statistics. Our mission is to embed a culture of 'open data by default' so that more and more data is released routinely for wider re-use.

Making more public data available for re-use will also help realise social and economic benefits by enabling the growth of new innovative information based businesses

## 2) DWP Open Data – the story so far

**This section summarises the key activities we have already been undertaking to support the Transparency / Open Data agenda :**

- We launched phase 1 of a new on-line data dissemination tool called Stat-Xplore in November 2012 which included the latest Housing Benefit data. Stat-Xplore allows citizens' to create their own statistical tabulations based on DWP's rich sources of customer data whilst protecting claimant's privacy. Phase 1 provided more data at a more granular level with enhanced visualisation (<https://stat-xplore.dwp.gov.uk>)
- The fully functioning Open Data version of Stat-Xplore was launched on 15 May 2103 using updated Housing Benefit data which is downloadable in aggregate form to census output area
- We launched a new Transparency website which provides links to a range of information products. It includes the Department's Open Data Strategy which was published in June 2012. The Strategy sets out a range of Open Data commitments, including new data and statistics planned for release up to March 2014  
<https://www.gov.uk/government/publications/open-data-strategy--3>
- We set up a Welfare Sector Transparency Board (WSTB) which has met regularly since 2012. Membership of the board includes DWP, Cabinet Office and external stakeholders from academia, Citizen's Advice, Local Government and the private sector <https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board>
- We set up the DWP Open Data Group (formerly known as the External Stakeholder Group) which supports the WSTB at a working level with membership consisting of external MI data users <https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board-external-stakeholder-group>

- We received the Demographic User Group's 'Better information in Government' award for proactively collecting, re-using, and publishing more and better data <http://www.demographicsusergroup.co.uk/>
- We supported the Government's [Administrative Data Taskforce](#) to explore how more data sharing can be done for research purposes within existing legislation or would require new legislation. DWP is exploring a 'proof of concept' pilot to link DWP and Department of Health data securely and safely for wider research purposes.
- As a result of feedback from data users the Wealth and Assets survey data now benefits from the addition of a neighbourhood classification. Wave 1 wealth distribution tables have been repeated and published using wave 2 data, providing businesses with a better understanding of their customer base, supporting areas for regeneration, employment etc <http://www.ons.gov.uk/ons/rel/was/wealth-in-great-britain-wave-2/index.html>
- We launched a user consultation on the future of DWP benefit statistics including Personal Independence Payment (PIP) and Universal Credit (UC). The paper outlined proposals for changing the way DWP collects and disseminates its statistics for 2013 to 2017 which ties in with the government's white paper on open data <https://www.gov.uk/government/consultations/changes-to-statistics-showing-claims-for-universal-credit-and-pip>
- We worked with other organisations to look at opportunities to share data, to help deliver wider public policy benefits :
  - Energy Suppliers to Support the Warm Home Discount Scheme, which resulted in DWP being awarded the Civil Service Collaboration Award 2012 <http://network.civilservicelive.com/pages/view/699734/the-collaboration-award-shortlist>
  - The Office for National Statistics (ONS) in support of their population census beyond 2011
  - The Electoral Registration Transformation Programme (ERTP) to assist in the validation of electors and identification of hard to reach persons who may be eligible to vote in the next general election
- We published
  - Official statistics on Work Programme referrals, attachments, job outcome payments and sustainment payments. The DWP Business Plan also includes a related transparency measure - 12 month Job

Outcome rates – this is the proportion of customers for whom providers have achieved a Job Outcome payment at 12 months on the programme <https://www.gov.uk/government/publications/dwp-business-plan-transparency-measures/dwp-business-plan-transparency-measures>. Breakdowns of this indicator are available by payment group and contract. Further breakdowns including ethnicity, age, Local Authority, Parliamentary Constituency, contract and contract package area were made available for this indicator from June 27th 2013 via our on-line data visualisation tool:

[http://research.dwp.gov.uk/asd/asd1/data\\_visualisation/work\\_programme/index.php?page=work\\_programme](http://research.dwp.gov.uk/asd/asd1/data_visualisation/work_programme/index.php?page=work_programme)

- A range of Business Plan transparency measures including key impact indicators such as the rates of people moving from out of work benefits and the proportion of children living in workless households <https://www.gov.uk/government/organisations/department-for-work-pensions/series/business-plan-quarterly-data-summary--2>
  - An Open Data mission statement alongside a range of transparency and data sharing case studies <https://www.gov.uk/government/publications/transparency-and-open-data>
  - A wide range of DWP National and Official Statistical publications <https://www.gov.uk/government/organisations/department-for-work-pensions/about/statistics>
  - Corporate Cross Government transparency commitments including financial, senior staff salaries organogram and contract data etc
  - New datasets including Government Major Projects (GMPP) data in May 2013 and a range of Satisfaction and Experience data on DWP customers to support how we handle our customer insight activities
- We have actively engaged with our Arms Length Bodies (ALBs) to promote the Transparency and Open Data agenda resulting in our ALB's now publishing a range of information and data:

## **Health and Safety Executive (HSE)**

- HSE's model publication scheme details their organisation structure, priorities and the data they routinely publish see <http://www.hse.gov.uk/foi/model-scheme.htm>
- In relation to operational data, routinely publish enforcement information on notices and prosecutions for Great Britain - within its Public Registers, <http://www.hse.gov.uk/enforce/prosecutions.htm>
- HSE has an interactive tabulation (HandS-On) which allows users to view, manipulate, create and export tables from the injury and ill health databases
- They routinely publish statistics at <http://www.hse.gov.uk/statistics/index.htm> which receives 25,000 hits every month.
- They have successfully used a dedicated statistics e-bulletin service with 30,000 registered subscribers to obtain feedback about their statistical products including priorities and value of the datasets published

## **Industrial Injuries Advisory Council (IIAC)**

- Routine publication of advice to Ministers, see <http://iiac.independent.gov.uk/>
- Minutes of public meetings held where they obtain feedback from interested parties

## **The Pensions Regulator (TPR)**

- Published the Statistical Data Publication Strategy confirming their commitment to make the data they collect public thereby supporting government policies on openness and transparency <http://www.thepensionsregulator.gov.uk/docs/statistical-data-publication-strategy.pdf>
- Publish a wide range of information about automatic enrolment including figures on the number of employers that have registered

## **The Pensions Ombudsman (PO)**

- Routinely publishes reports including expenditure over £500, Annual Reports and Accounts.

## **The Pensions Protection Fund (PPF)**

- Publish Annual Report and Accounts and funding strategy see, <http://www.pensionprotectionfund.org.uk/About-Us/Pages/About-Us.aspx>
- Strategic and Business plans which include a view of legislative, economic environment and cultural values
- National Statistic PPF 7800 index on a monthly basis

- Consultations on the Pension Protection Levy

### **The Pensions Advisory Service**

- Publish Annual Accounts including details of Chief Executive and Management Board remuneration. It provides an organisation chart and a wide range of information and advice via its website which is regularly updated see, <http://www.pensionsadvisoryservice.org.uk/>

## (Section 3) Engaging with key Stakeholders and data users

This section illustrates how we are working with key stakeholders including data users to help inform what demand there is for our data and the priority users attach for the timing of its release

Our engagement includes:

- Welfare Sector Transparency Board – Includes members from academia, Local Authorities, Cabinet Office, Information Commissioners Office and the private sector. The board helps the Department identify and prioritise its transparency offerings including new open datasets to be delivered by Stat-Xplore
- DWP Open Data Group (formerly the External Stakeholder Group) - Membership includes data users from academia, Local Authorities, private sector and other bodies with an interest in DWP and the welfare reforms. We have now expanded this group to nearly double the number of external data users from 8 to 15
- Open Data User Group (ODUG) – DWP Data requests received by the ODUG feedback process are used to identify new potential datasets for release
- Open Data Institute immersion events – We proactively engage, where appropriate, with external users and the Open Data Institute (ODI) to test the market / demand for DWP datasets. DWP is reviewing existing datasets on Data.gov.uk to identify those which can be improved to meet the minimum 3 star open data scoring requirement and will explore the potential for obtaining an Open Data Certificate awarded by the ODI for Stat-Xplore
- Liaising with our network Information Asset Owners and sponsored Arms Length Bodies (ALBs) to compile, maintain and update the department's dataset inventory.

We also use feedback from the following sources:

- Stat-Xplore registered users (500 plus and rising)
- Data.gov.uk dataset analytics to assess which DWP datasets are most popular
- On-line claimants about their user experience in the 'My data' space
- Transparency mail box / Freedom of Information requests



### 3.1 Welfare Sector Transparency Board (WSTB)

DWP with Cabinet Office support set up the Welfare Sector Transparency Board to advise on transparency in specific policy areas. The Board consists of members from academia, Local Authorities, Cabinet Office and the private sector. It's role is to:

- Inform and advise development of the government's Right to Data strategy from a welfare perspective
- Help drive transparency and the release of welfare open data in line with policies set out in the Coalition agreement and the Public Data Principles agreed by the Public Sector Transparency Board

Activity	Description	To be first published on	Frequency of Publication	Open Government Licence (Y/N)
Activity 3.1	<p>To continue to use the WSTB to help us identify opportunities for making more public data available for re-use including:</p> <ul style="list-style-type: none"> <li>• prioritising new datasets to be released via Stat-Xplore thereby meeting open standards for format and release</li> <li>• assessing potential interest in ALBs datasets</li> </ul> <p>Full details including minutes and outcomes from the meetings are published at: <a href="https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board">https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board</a></p>	Next meeting being arranged for June 2014	6 monthly	N/A

### 3.2 DWP Open Data Group

The DWP Open Data Group (formerly known as the External Stakeholder Group) supports the Welfare Sector Transparency Board at a working level. It's membership includes external data users whose role is to provide user input and feedback on:

- DWP's future plans and priorities for making welfare benefits statistical information available
- The continuing development of the Department's on-line tabulation tool Stat-Xplore as the key vehicle for launching statistical releases as Open Data.
- The release of further welfare datasets to meet the Cabinet Office requirement for the National Information Infrastructure (NII) emphasising the principle of 'open data by default'

Activity 3.2	At the meeting in March 2013 members of the group agreed to expand the existing membership of external data users from 8 to 15 by including additional membership from academia, Local Authorities and data users in the private sector.	Next meeting being arranged for January	Quarterly	N/A
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	<p>The group has been expanded to include the additional representation and met in their new format on Thursday 26<sup>th</sup> September 2013</p> <p>Full details including minutes and outcomes from the meetings are published at:  <a href="https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board-external-stakeholder-group">https://www.gov.uk/government/policy-advisory-groups/welfare-sector-transparency-board-external-stakeholder-group</a></p>	2014		
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### 3.3 Engagement with external data users and the Open Data Institute (ODI)

The ODI is an independent non profit company which has secured funding over five years from the UK Government. Their role is to:

- *Catalyse an open data culture that has economic, environmental and social benefits by unlocking supply, generating demand, creating and disseminating knowledge to address local and global issues.*

They do this by:

- *Bringing together experts to collaborate, incubate, nurture and mentor new ideas and promote innovation which will be done by the Open Data Immersion Programme <http://www.theodi.org/about>*

Activity 3.3.1	<p><b>DWP dataset owners will proactively engage with external users and the Open Data Institute to test the demand for new DWP datasets.</b></p> <p>In September 2013 DWP attended the Energy and Environment Open Data policy workshop to cover DWP data sharing with the Department of Energy and Climate Change (DECC) / energy providers to tackle fuel poverty. A follow up ' Challenge Definition Day' has been arranged</p>	Ongoing	N/A	N/A
Activity 3.3.2	<p><b>Open Data Certificate for DWP datasets currently released on data.gov.uk</b></p> <p>DWP currently publishes datasets on <a href="http://data.gov.uk">data.gov.uk</a> which meet open standards set out in the five star rating system. We will explore the potential for obtaining an Open Data Certificate awarded by the ODI for Stat-Xplore (see item 4.4.3) and new DWP datasets released on data.gov.uk giving priority to the datasets included in the NII and make the outcome available through data.gov.uk</p>	31.12.14	N/A	Y

### 3.4 Feedback from key stakeholders and data users

Feedback we obtain will be used to help assess what demand there is for DWP data and the priorities users attach to the timing of it's release.

For the National information Infrastructure we worked with the Information Asset Owner (IAO) community using their existing internal Information Asset Inventories as a basis to develop the public facing DWP data inventory. This has enabled staff spread across DWP to gain a greater understanding of the merits of transparency and open data, helping to embed a culture of openness across the department. We aim to build on this by moving to a culture of 'open data by default'

We will generate feedback from both internal and external stakeholders in the following ways:

3.4.1	<p><b>Data. Gov.UK</b> Feedback from data.gov.uk provides a monthly breakdown of which datasets are most popular and broadly who is using them. We will use this information to measure our data usage and provide an indication of which DWP datasets are of most interest <a href="http://data.gov.uk/data/site-usage/dataset/department-for-work-and-pensions">http://data.gov.uk/data/site-usage/dataset/department-for-work-and-pensions</a></p>	Ongoing	N/A	N/A
3.4.2	<p><b>Stat-Xplore registered users</b> Over 500 users have registered since the launch of Stat-Xplore in May 2013. This new user community will be used to assess demand, test and evaluate enhancements we make to Stat-Xplore such as improved mapping, data cubes and new releases.</p> <p>As result of feedback from registered users we have already made further improvements including increasing the size of tables for guest users, introducing a hierarchy for geographical breakdowns and rounding monetary values from the nearest £1 to the nearest pence</p>	Ongoing	N/A	N/A
3.4.3	<p><b>DWP's Transparency Mail Box</b> A general transparency mail box has been set up as part of the DWP Transparency / Open Data webpage. We will continue to use this as a means of feedback from the public on DWP's Transparency / Open Data agenda <a href="mailto:transparency.opendata@dwp.gsi.gov.uk">mailto:transparency.opendata@dwp.gsi.gov.uk</a></p>	Ongoing	N/A	N/A

3.4.4	<p><b>Freedom of Information (Fol) requests</b>  The commencement of a new Fol clause in Sept 2013 requires the release in a reusable format of raw datasets requested under Fol. We will use this process to help inform our understanding of the demand for DWP datasets  <a href="https://www.gov.uk/make-a-freedom-of-information-request">https://www.gov.uk/make-a-freedom-of-information-request</a></p>	Ongoing	N/A	N/A
3.4.5	<p><b>Open Data User (ODUG)</b>  We have received 8 DWP data requests from the ODUG feedback process. Moving forward we will use these data requests to identify potential new DWP releases for publication on data.gov.uk</p>	Ongoing	N/A	N/A
3.4.6	<p><b>Engaging with Information Asset Owners (IAOs) across DWP and ALBs</b>  We will continue to engage with our IAOs and ALBs to ensure the department's dataset inventory (unpublished datasets) continues to be comprehensive and up to date.</p> <p>We will develop business as usual processes which identify unpublished datasets when they are created and ensure they are added to the inventory. DWP will publish details of this process by March 2014. The process will include:</p> <ul style="list-style-type: none"> <li>• Supporting data owners to publish their dataset, and if required, setting up a session with the Information Commissioners Office (ICO) to provide advice on best practice for releasing datasets. Linking the dataset to data.gov.uk and ongoing monitoring of the NII datasets.</li> <li>• Ensuring data holders regularly reconsider the usefulness of their data and assess it on data.gov.uk by April 2014</li> </ul> <p>This will help us to embed the 'open data by default' culture across the department and it's ALBs</p>	31.3.14	N/A	N/A
3.4.7	<p><b>Cabinet Office published progress reports</b>  DWP will continue to contribute to these Government progress summaries each quarter by providing Cabinet Office with updates on:</p> <ul style="list-style-type: none"> <li>• The comprehensiveness of DWP's unpublished dataset inventories starting with the return for QTR 4 2013/14</li> <li>• Routine cross government publications via quarterly written ministerial</li> </ul>	30.6.14	Quarterly	N/A

	<p>statements</p> <ul style="list-style-type: none"> <li>• Other activities including engagement with users to identify further usage of DWP data starting with the QTR 4 2013/14 return</li> </ul>			
3.4.8	<p><b>Feedback from customers on-line access to their own personal data</b>  DWP's digital strategy sets out how the department will become digital by default providing high quality digital services which people prefer. The strategy sets out key actions to achieve this under the following 4 key themes:</p> <ul style="list-style-type: none"> <li>• Delivering high quality digital services</li> <li>• Supporting people to use our services</li> <li>• Changing the way we make policy</li> <li>• Transforming how we work</li> </ul> <p>Over time our major services will be largely accessed on-line, allowing people to access and update their records at a time that suits them whilst offering better value for money.</p> <p>Universal Credit and Personal Independence Payment will be digital by default and will enable people to manage their individual accounts and change their personal details</p> <p>Latest feedback shows that we have achieved the 80 percent Jobseeker's Allowance (JSA) online target one month early. The department has been working to support people to use our on-line services for their JSA claim which has resulted in 82.5 % of JSA claims 'in month' being completed through the on-line service compared to 32.1% a year ago</p>	Ongoing	N/A	N/A

## (Section 4) Open Data / Transparency dataset commitments

This section sets out what DWP is doing to meet its Transparency and Open Data commitments for releasing more datasets in an open and re-usable format and is split into the following headers:

### **National Information Infrastructure**

- In response to the [Shakespeare Review](#) the government made a commitment to improve awareness of key government datasets and to assess demand for their publication. The National Information Infrastructure encompasses an inventory showing government Departments' 'core reference data' and 'other important Departmental data'. DWP will use the inventory as a platform to move to a culture of 'open data by default' in terms of format and release

### **Other key datasets planned for release**

- Feedback received from the DWP consultation exercise on the future of benefit statistics has resulted in the development of plans to move to a unified customer database for future reporting
- Work Programme data (further releases planned) as well as a public consultation exercise seeking views on the overall presentation, content and breakdowns available in the current data.

### **Stat-Xplore**

- The department's shop window for releasing data in an open re-usable format. The fully functioning Stat-Xplore was launched on 15<sup>th</sup> May 2013 with individual level data underpinning it. Through a user-friendly interface, users can construct their own tables of data safely and securely, unrestricted in size or number of breakdowns.

#### 4.1 National Information Infrastructure (NII)

In response to the [Shakespeare Review](#) the government made a commitment to improve awareness of key government datasets and to assess demand for their publication. A National Information Infrastructure encompasses an inventory showing all Departments' 'core reference data' and 'other important Departmental data'

The National Information Infrastructure will make explicit the main data held by government departments, outline the principles of how government determines what data will be released, when and under what terms. There are three key stages to this process:

**Identify** – Departments including their Arms Length Bodies (ALBs) have identified all their key datasets including the raw datasets they hold, regardless of whether it can be published due to privacy or security reasons. The datasets are split across a range of themes including Human Resources, Financial & Commercial, Research, Policy, Business delivery etc. DWP's dataset list (see link below) consists of 270 datasets with a further 65 datasets from ALBs which can be broken down as follows: <http://data.gov.uk/data/search?publisher=department-for-work-and-pensions&unpublished=true>

- DWP – 113 new datasets in addition to the 157 the department already publishes and routinely updates
- Health and Safety Executive – 35 new datasets
- The Pensions Regulator – 14 new datasets
- National Employment Savings Trust – 7 new datasets
- Independent Living Fund – 7 new datasets

**Prioritise** – Using the criteria set out in the Shakespeare Review, Departments and external data users will evaluate the usefulness of the datasets listed in the inventory. The criteria ranges from whether the dataset will help stimulate economic or social growth or improve Departmental accountability.

**Release** – Departments will then be expected to release any such datasets in an open standard format meeting agreed timescales set out in further iterations of the National Information Infrastructure.

The following DWP datasets were identified for the National Information Infrastructure and have already been released on data.gov.uk or have agreed publication dates.

Activity / Dataset	Description of data	To be first published on	Frequency of publication	Open Government License (Y/N)
Dataset 4.1.2	<p><b>National Insurance number registrations to adult overseas nationals entering the UK</b> Data on National Insurance number (NINo) allocations to adult overseas nationals entering the UK. Data is being released via Stat –Xplore</p> <p><b>Data Covers:</b> Parliamentary constituency, Local Authority, region across the UK</p>	30.9.13	Quarterly	Y
Dataset 4.1.3	<p><b>DWP Benefit rates data</b> National benefit and pension rates applicable from April 2013</p>	31.10.13	Annual	Y
Dataset 4.1.4	<p><b>Flight data (2011)</b> Anonymised data providing details of air travel in DWP (all staff) from May to Dec 2011. Includes cost, ticket class, departure date, journey from and to, supplier. DWP will consider improvements to the format of the data for any future publications</p>	31.12.13	To be agreed as part of DWP's internal process being developed for the NII (see item 4.1.17)	Y
Dataset 4.1.5	<p><b>Attitudes to Pensions Survey (2012 data)</b> The survey and accompanying published research reports examine people's attitudes to financial preparations for later life and decisions on leaving paid work. The 2006 and 2009 datasets (anonymised) are already available on the UK Data Archive.</p> <p><b>Data Covers:</b> Quantitative survey of the GB population, aged 18 to 69 in 2006 &amp; 2009 and all adults over 18 (no upper age limit) in 2012</p>	31.12.13	Every 3 years subject to funding approvals	Y



Dataset 4.1.6	<p><b>Health &amp; Wellbeing at Work (survey of employers 2010) (Quality of Working Life)</b> The survey develops the evidence base and explores the links between health and work, as well as providing baseline data so that progress on health and wellbeing can be measured and monitored over time</p> <p><b>Data Covers:</b> Anonymised data from a telephone survey in 2010 of 2,250 employers in Great Britain</p>	31.10.14	To be agreed as part of DWP's internal process being developed for the NII (see item 4.1.17)	Y
Dataset 4.1.7	<p><b>Attitudes to health &amp; work amongst the working age population survey 2009/10</b> The survey covers attitudes to health and work of 29,659 working age adults (16 to 64 year olds) as part of the Office for National Statistics (ONS) Opinions Omnibus individual level anonymised data.</p> <p><b>Data covers::</b> Survey of 29,659 working age adults (16-64 year olds) between November 2009 to March 2010</p>	30.6.14	To be agreed as part of DWP's internal process being developed for the NII (see item 4.1.17)	Y
Dataset 4.1.8	<p><b>Factual Information Network Database (FIND)</b> DWP Jobcentre Plus office data that covers Jobcentre name, address and the postcodes it deals with</p>	30.11.13	Quarterly	Y
Dataset 4.1.9	<p><b>L2 (Lifetime Labour Market database)</b> The L2 database is a 1% sample of the National Insurance Recording system (NIRS 2). The information relates to annualised NI contributions and related items such as personal characteristics, employer information, pay details and awards of NI credits.</p> <p><b>Data Covers:</b> The data is longitudinal, relating to each year from 1975 to the previous tax year. It can be used to track individuals through time, employment patterns, earnings etc. It can be accessed on data.gov.uk via 'Contributions and Qualifying Years' and the other 'Second Tier Pension Provision'. The link to the Tabulation tool is <a href="http://tabulation-tool.dwp.gov.uk/NIRS/live/tabtool.html">http://tabulation-tool.dwp.gov.uk/NIRS/live/tabtool.html</a></p>	Ongoing	Quarterly	Y

Dataset 4.1.10	<p><i>(Welfare Reform changes)</i>  <b>Spare room subsidy</b> (identified as data to be released via Stat-Xplore)  Included within the main Housing Benefit (HB) official statistics, additional breakdowns of the number of HB claimants who have had a reduction in their HB award as a result of the withdrawal of the spare room subsidy for social housing. The statistics include, for those with a reduction, the number of spare bedrooms, and the average reduction amount.</p> <p><b>Data covers:</b> County, Local Authority and tenure</p>	13.11.13	Quarterly	Y
Dataset 4.1.11	<p><i>(Welfare Reform changes)</i>  <b>Benefit sanction regime data for Jobseekers Allowance and Employment Support Allowance</b> (identified as data to be released via Stat-Xplore in the future )</p> <p>The dataset consists of volumes of sanction decisions made for Jobseekers Allowance (JSA) and Employment Support Allowance(ESA) sanctions, including whether the decision was adverse or not. The statistics apply for the new sanctions regime introduced at the end of 2012.</p> <p><b>Data covers:</b> Jobcentre Plus group / district / office; Local Authority and Parliamentary Constituency</p>	6.11.13	Quarterly	Y
Dataset 4.1.12	<p><i>(Welfare Reform changes)</i>  <b>Benefit Cap</b> (identified as data to be released via Stat-Xplore)</p> <p>As part of the government's welfare reform a cap on benefits was introduced in April 2013. This means the amount people can receive in benefits is capped at the median earned income after tax and national insurance for working households of £500 a week for a couple and single parent households – the equivalent of £26,000 per year. This applies to the combined income from the following benefits Jobseekers Allowance, Income Support, Employment and Support Allowance, Child Benefit, Child Tax Credits</p> <p><a href="https://www.gov.uk/government/organisations/department-for-work-pensions/series/benefit-cap-statistics#publications">https://www.gov.uk/government/organisations/department-for-work-pensions/series/benefit-cap-statistics#publications</a></p> <p><b>Data covers:</b> Cumulative statistics on the number of households capped at GB level only (not split by local authority). GB level figures by household type,</p>	7.11.13	Quarterly	Y

	number of children and amount of cap			
Dataset 4.1.13	<p><i>(Welfare Reform changes)</i>  <b>Universal Credit</b> (identified as data to be released via Stat-Xplore in the future)</p> <p>Universal Credit (UC) will replace the six existing working age benefits.</p> <p>Statistics for Pathfinder areas started to be released on 3.12.13. The statistics relate to starters and caseload of UC up to the end of September 2013 and contain a breakdown of Jobcentre Plus office, age group and gender, see <a href="#">here</a></p>	Statistics relating to Pathfinder areas started to be released on 3.12.13	Quarterly	Y
Dataset 4.1.14	<p><i>(Welfare Reform changes)</i>  <b>Personal Independence Payment</b> (identified as data to be released via Stat-Xplore in the future)</p> <p>Personal Independence Payment (PIP) was introduced in April 2013 and has started to replace Disability Living Allowance for disabled people aged 16 to 64. Data will include benefit characteristics</p>	31.5.14 Spring 2014 aligned to the DLA National Statistics	Quarterly	Y
Dataset 4.1.15	<p><i>(Growth Review Measure )</i>  <b>Fit Note Data</b>  DWP are developing proposals to publish anonymised fit note data, using data from 2012 onwards, to drive innovation in the occupational health sector and improve management of sickness absence. DWP is liaising with colleagues in the Health and Social Care Information Centre and Public Health England on affordable options to publish fit note data. At that point the data can be prepared and anonymised to enable publication. Once finalised publication can begin.</p>	Date to be confirmed once data acquired	To be determined	Y
Activity 4.1.16	DWP will evaluate and make public their assessment of the impact of the release of datasets	30.6.14	N/A	N/A
Activity 4.1.17	DWP will set out arrangements they have put in place to describe the provenance of, and ensure the quality and regularity of, the release of data held within the National information Infrastructure by March 2014	31.3.14	N/A	N/A

Activity 4.1.18	All central government departments will highlight those datasets which they must provide (if any) on a statutory basis, followed by their ALBs	30.4.14 (DWP) 30.9.14 (ALBs)	N/A	N/A
Activity 4.1.19	All central government departments will highlight those datasets which it considers fall under their public task, followed by their ALBs	30.4.14 (DWP) 30.9.14 (ALBs)	N/A	N/A
Activity 4.1.20	DWP will provide release dates for datasets included in the first iteration of the NII, where there are no barriers to publication, and, where there are barriers, provide an explanation for non-publication	31.3.14	N/A	N/A

## 4.2 The Work Programme

An integrated package of work focused support for people who are long term unemployed or who are at risk of becoming so. Data we have already published including the proportion of claimants for whom providers were paid a job outcome payment at 12 months on the Work Programme can be found at: <https://www.gov.uk/government/publications/work-programme-statistical-summary-september-2013>.

In addition we also provide, via Google Public Data Explorer, breakdowns of the monthly intakes that achieve a Job Outcome in each subsequent month after Referral, by Payment Group, Contract, Contract Package Area, Country, Region, Local Authority, Parliamentary Constituency.

[http://www.google.com/publicdata/explore?ds=ecf64o0dihuc3\\_&ctype=c&strail=false&bcs=d&nselm=s&met\\_y=TRANIND&scale\\_y=lin&ind\\_y=false&idim=CONTRACT\\_CODE:30:31:32:33:34:24:25:8:9:10:3:4:1:2:26:27:15:16:17:13:14:11:12:39:40:18:19:37:38:22:23:20:21:28:29:5:6:7:36:35&ifdim=CONTRACT\\_CODE&tunit=M&pit=1309129200000&hl=en\\_US&dl=en\\_US&ind=false&icfg#!&ctype=l&strail=false&bcs=d&nselm=h&met\\_y=JOPERC1106&scale\\_y=lin&ind\\_y=false&rdim=GB\\_CODE&idim=GB\\_CODE:GB&ifdim=GB\\_CODE&hl=en\\_US&dl=en\\_US&ind=false](http://www.google.com/publicdata/explore?ds=ecf64o0dihuc3_&ctype=c&strail=false&bcs=d&nselm=s&met_y=TRANIND&scale_y=lin&ind_y=false&idim=CONTRACT_CODE:30:31:32:33:34:24:25:8:9:10:3:4:1:2:26:27:15:16:17:13:14:11:12:39:40:18:19:37:38:22:23:20:21:28:29:5:6:7:36:35&ifdim=CONTRACT_CODE&tunit=M&pit=1309129200000&hl=en_US&dl=en_US&ind=false&icfg#!&ctype=l&strail=false&bcs=d&nselm=h&met_y=JOPERC1106&scale_y=lin&ind_y=false&rdim=GB_CODE&idim=GB_CODE:GB&ifdim=GB_CODE&hl=en_US&dl=en_US&ind=false)

Further work programme data to be released / activities during 2013 /14 are shown below.

Dataset 4.2.1	<b>Proportion of customers for whom providers have received a Job Outcome payment at 24 months on the Work Programme</b>  The indicator published in September 2013 shows the proportion and number of job outcomes achieved at 24 months for those referred to the Work Programme in June 2011. Breakdowns for this indicator are available by payment group and contract.	30.9.13	Quarterly	Y
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	Further breakdowns including ethnicity, age, Local Authority, Parliamentary Constituency, contract and contract package area are available for this indicator from September 2013 via the data visualisation tool: <a href="http://research.dwp.gov.uk/asd/asd1/data_visualisation/work_programme/index.php?page=work_programme">http://research.dwp.gov.uk/asd/asd1/data_visualisation/work_programme/index.php?page=work_programme</a>			
Dataset 4.2.2	<b>Average number of weeks off benefit for people who have been on the Work Programme for 24 months</b>  This additional new data in the next Statistical Summary due on 31 December 2013	31.12.13	Quarterly	Y
Activity 4.2.3	<b>Work Programme Official Statistics public consultation paper</b>  The consultation provides recommendations for an improved method of presenting 'sustainment' payment information and the inclusion of information on participants who 'complete' the programme.  It is also seeking views on the overall presentation, content and breakdowns available in the current official work programme statistics  The consultation closed 22.11.13. Feedback is being assessed and comments published with the DWP response along with any implementation date for changes agreed <a href="https://www.gov.uk/government/consultations/work-programme-official-statistics-after-june-2013-content-and-presentation">https://www.gov.uk/government/consultations/work-programme-official-statistics-after-june-2013-content-and-presentation</a>	Public consultation until 22.11.13	N/A	Y

### 4.3 Unified customer data base reporting

In January 2013 DWP issued a consultation paper outlining its future plans for reporting DWP Official Statistics (including Personal Independence Payment and Universal Credit) from 2013 to 2017

<https://www.gov.uk/government/consultations/changes-to-statistics-showing-claims-for-universal-credit-and-pip>

As a result of the consultation, DWP will be moving to a unified customer database for future reporting. Currently it is expected that DWP will publish provisional experimental statistics from the unified customer database for the first time in Spring 2014. This will initially be a monthly snapshot relating to a period prior to the introduction of Personal Independence Payment (PIP) and Universal Credit (UC).

The unified customer database will bring all relevant claimant records, across multiple benefits, into a single record which will, for the first time allow publication of a unified view of benefit receipt, at assessment unit level as well as individual level, across DWP benefits, Universal Credit, Her Majesty's Revenue and Customs (HMRC) Tax Credits and Housing Benefit. Whilst it will not produce a totally consistent series with Universal Credit, it should provide a helpful indication of the unified view prior to, and during Universal Credit transition.

<p>Dataset 4.3.1</p>	<p><b>Unified data format</b> The unified database is still being designed and built. It will eventually allow a wide range of breakdowns to be published. These will be introduced gradually following the first release of provisional experimental statistics in spring 2014.</p> <p>Publication of statistics from the unified customer database will be on a monthly snapshot basis and will eventually be released quarterly via Stat-Xplore, allowing users to specify their own breakdowns. However the move to quarterly publications may be gradual following the initial Spring 2014 release.</p> <p>The data series is expected to build up gradually, with the intention to eventually provide data from 2010 onwards, subject to the availability of reliable and robust data. DWP are currently investigating what historic information and breakdowns it may be possible to publish back to 2010. As the series develops DWP intend to provide statistics on flows and transitions, UC and PIP, employment programmes such as Work Programme, health and work capability assessment outcomes</p>	<p>31.5. 14</p>	<p>Gradually building up to Quarterly</p>	<p>Y</p>
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#### 4.4 Stat-Xplore

The new on-line software allows citizens' to create their own statistical tabulations based on DWP's rich sources of customer data. Claimants' privacy being protected by sophisticated disclosure control mechanisms. The fully functional on-line tabulation tool, meeting open data requirements, was launched on 15<sup>th</sup> May 2013 with Housing Benefit data, see link:

<http://stat-xplore.dwp.gov.uk>

Summary data drawn from individual level datasets can be visualised within Stat-Xplore, and data and charts easily downloaded into different formats for re-use. Data is made available under the Open Government Licence and is an excellent example of meeting Open Data commitments. To ensure Stat-Xplore does not identify individual claimants, all data within Stat-Xplore is anonymised and all outputs are subject to strong statistical disclosure control procedures, developed specifically for, and built in to the processing of Stat-Xplore. Housing Benefit was the first Stat-Xplore release and over time

it will include other statistics including those resulting from the welfare reform changes, Universal Credit and Personal Independence Payment

As result of feedback from registered users we have already made further improvements including increasing the size of tables for guest users, introducing a hierarchy for geographical breakdowns and rounding monetary values from the nearest £1 to the nearest pence.

Over time existing benefits will migrate to Stat-Xplore as well as the inclusion of new benefits such as Universal Credit and Personal Independence Payment, resulting from the Welfare Reform changes. Migration will be based on priority / demand / value for money. To identify our priorities we will engage with our external stakeholders and data users including the:

- Welfare Sector Transparency Board
- DWP Open Data Group (formerly the External Stakeholder Group)
- Feedback from Stat-Xplore registered users

The following summarises further activities as well as listing the benefit datasets (x ref with section 4.1) which have initially been identified for releasing via Stat-Xplore, thereby meeting open data requirements for re-use and format of data.

Activity / Dataset	Description of data	To be first published on	Frequency of publication	Open Government License (Y/N)
Activity 4.4.1	Stat-Xplore containing Housing Benefit data launched on 15 <sup>th</sup> May 2013 will be updated on a quarterly basis, February / May / August and November.	15.5.13	Quarterly Feb / May / Aug / Nov)	Y
Activity 4.4.2	<b>Mapping Software</b> New mapping software will be added to Stat-Xplore which will allow users to visualise aggregated data in the form of maps.	30.4.14	N/A	N/A
Activity 4.4.3	<b>Open Data Certificate for Stat-Xplore</b> DWP will be exploring the potential for obtaining an Open Data certificate awarded by the Open Data Institute for Stat-Xplore	31.12.14	N/A	Y
Dataset	<b>Raw Aggregate data (Data Cube)</b>	30 10.14	N/A	Y

4.4.4	Stat-Xplore meets the majority of customer's requirements by having the facility for users to create their own tabulations and build tables. However a small number of developers have requested the raw aggregate data that sits behind Stat-Xplore. There are technical challenges to releasing this due to the size of the files and DWP is exploring options for providing this in the form of a "data cube".			
Dataset 4.4.5	<p><b>The following summarises the new datasets to be released via Stat-Xplore as part of the National Information Infrastructure and welfare reform changes. Full details about each of the datasets is shown in section 4.1</b></p> <ul style="list-style-type: none"> <li>• National Insurance number allocations to adult overseas nationals entering the UK ..... 30 9.2013</li> <li>• (New) Spare Room Subsidy data ..... 13.11.13</li> <li>• (New) Benefit Cap data ..... 31.12.13</li> <li>• (New) Benefit sanction regime data for Jobseekers Allowance..... 31.5.14</li> <li>• (New) Personal Independence Payment (PIP)..... 31.5.14</li> <li>• (New) Universal Credit (UC) Pathfinder areas..... 3.12.13</li> </ul>		Quarterly Feb / May / Aug / Nov)	Y



## (Section 5) Wider Activities

This section illustrates the wider activities DWP is undertaking to drive forward the Transparency / Open Data agenda in DWP including the growth review measures which were outlined in the Autumn Statement 2011

### **Growth Review Measures**

#### ***Universal Credit***

(Growth Review Measure) Design the Universal Credit system so that aggregate data can be published during the first year of live running. DWP currently produces an integrated set of statistics on people claiming all benefits and pensions once a quarter, around six months after the reporting period. Statistics for the Pathfinder offices started to be released via Stat-Xplore from December 2013

#### ***Fit Note Data***

Consider responses to the consultation exercise and develop proposals to publish anonymised fit note data (Growth Review Measure)

### **Data Sharing Activities**

Continue to look for opportunities to share data with other organisations to support wider welfare provision. This includes sharing data to support the Warm Home Discount Scheme, the Population Census beyond 2011 and the Electoral Registration Transformation Programme

### **Admin Data Task Force**

Continue to support the Admin Data Task Force, exploring opportunities for linking social security and health data for wider public policy purposes. DWP are exploring a 'proof of concept' pilot to link DWP and Department of Health data securely and safely

### 5.1 Universal Credit (UC)

A public commitment to the growth measures outlined in the Autumn Statement 2011. Universal Credit is an integrated working-age credit that will provide a basic allowance with additional elements for children, disability, housing and caring. It will support people who are out of work and replace a number of tax credit and allowances that are currently available. UC will be designed with open data commitments in mind so that aggregate data can be released for use and re-use by industry and academia during the first year of live running

Activity	Description	To be first published on	Frequency of Publication	Open Government License (Y/N)
Growth Review 5.1.1	DWP currently produces an integrated set of statistics on people claiming all benefits and pensions once a quarter around six months after the reporting period.  Statistics for Pathfinder areas started to be released on 3.12.13 and will build up over time (see section 4.1.13)	Pathfinder statistics started to be released 3.12.13	Quarterly	Y

### 5.2 Fit Note data

A public commitment to the growth measures outlined in the Autumn Statement 2011. It has been estimated that sickness absence costs the UK economy at least £15 billion each year. Current data on causation of sickness absence and sickness certification is scarce. Releasing this new data would have significant enterprise value as a driver of innovation in employee support, including occupational health, by enabling the development of new products and services to improve management of sickness absence outside the welfare system

Growth Review 5.2.1	DWP will consider responses to the consultation and develop proposals to publish anonymised fit note data, using data from 2012 onwards, to drive innovation in the occupational health sector and improve management of sickness absence.  DWP is liaising with colleagues in the Health and Social Care Information Centre and Public Health England on affordable options to publish fit note data. At that point the data can be prepared and anonymised to enable publication. Once finalised publication can begin	Date to be confirmed once we have acquired the data	To be determined	Y
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### 5.3 Linking Welfare Datasets

Supporting the Admin Data Task Force which brings together Government and academia to look at how more data sharing can be done for research purposes within existing legislation. We are exploring a ‘proof of concept’ pilot to link DWP and Department of Health data securely and safely. This could open the door to doing more research with other departments and academia to support the development of public policy.

Activity 5.3.1	<p><b>Proof of concept pilot to securely link DWP and Department of Health data</b> The pilot will link NHS episodes and primary care interventions with DWP data over a six year period. DWP along with DH colleagues are continuing to explore the merits of this proof of concept pilot to securely link this data</p>	Ongoing	N/A	Y
Activity 5.3.2	<p><b>Opening up DWP data for research - Family Resource Survey (FRS) access at secure institutions premises</b></p> <p>DWP is starting a small scale pilot (8 requests) to test a new process for sharing data with third party researchers which is non-personal, but is richer and more sensitive than data we can make freely available. This means, for example, that external researchers and academics unable to travel to the Essex data archive to undertake their research will be able to request access to FRS-based datasets as long as they meet certain security requirements</p> <p>If successful this pilot will lead the approach across the analytical community to identify other survey and potential administrative data which could be shared with external academics. This will contribute to meeting the transparency / open data agenda and wider welfare reform</p>	Ongoing	N/A	Y

### 5.4 Data Sharing

We have already been working closely with other organisations and across government to look at opportunities to share data for example, working with Energy Suppliers to support the Warm Home Discount Scheme which resulted in DWP being awarded the Civil Service collaboration award 2012.

We will continue to look for opportunities to share data with other organisations to support wider welfare provision including sharing data to support the:

5.4.1	<p><b>Population census beyond 2011</b> DWP has been working with the Office for National Statistics (ONS) Beyond 2011 programme to look at making more effective use of administrative data (information held by the Department on its Customer Information System relating to benefit recipients and customers of Her Majesty's Revenue and Customs).</p> <p>This administrative data from DWP, along with data from other sources is being used to validate population statistics being produced from the 2011 census of population. This should increase the accuracy of the statistics and confidence in them. Data will also be used to assess the feasibility of alternative approaches to the census, including producing future population statistics from administrative data. This has the potential to increase their accuracy, timeliness and frequency as well as reducing costs. The 2011 population census cost over £450 million. The next key milestone is the completion of the Beyond 2011 Report due Spring 2014</p>	Beyond 2011 ONS Report due Spring 2014 (31.5.14)	N/A	N/A
5.4.2	<p><b>Warm Home Discount Scheme (WHDS)</b> DWP has used its internal data matching services to share data with Energy Suppliers to support the Department for Energy and Climate Change (DECC) led initiatives.</p> <p>WHDS Core Group is a four year project that provides a rebate to eligible Pension Credit customers. In 2011-2012 there was secure data matching of 13 million Energy Supplier customers against 1.4 million Pension Credit customers. Response rates for discounted tariffs and rebates from suppliers have historically been less than 5%, however under this scheme, in 2011-2012 more than 1.1 million (79%) of rebates have been given with no action required from recipients. A further 300,000 customers received letters stating they may be eligible for the rebate. This generated 78,000 additional rebates. In 2011 - 2012 discounts were provided worth in excess of £159 million.</p> <p>We also provide a secure data verification service for WHDS Broader Group verifying entitlement of customers, who have already received a rebate via the energy supplier, to satisfy OFGEM that they have met their obligation. Broader Group criteria are wider than Core Group to capture a more diverse range of the most vulnerable customers. In 2011-2012 we verified over 90% of records received.</p> <p>The Energy Company Obligation (ECO) provides free home insulation and boiler</p>	Ongoing (Four year project)	N/A	N/A

	replacements for vulnerable customers. We operate a data checking solution which enables ECO referrals to be provided to the Energy Suppliers			
5.4.3	<p><b>Electoral Registration Transformation Programme</b>  The Electoral Registration Transformation Programme (ERTP) is working with DWP, Cabinet Office, Government Digital service, Foreign and Commonwealth Office Services and Electoral Registration Officers in England, Scotland and Wales to share data to assist in validation of electors</p> <p>DWP is providing a data matching service to the Programme that uses DWP data to support Electoral Registration Officers decisions to validate electors and who should move from the existing Household Register onto the new Individual Electoral Register (IER) automatically.</p> <p>In July 2013 DWP took part in a very successful dry run where details of 46m electors held on existing electoral registers were matched against DWP records. The number of records matched increased from 61% in some earlier pilots to 78% in the dry run. This means some 36m electors can be moved to the new register with minimum effort and possible administrative savings to Cabinet Office of £30 million.</p> <p>DWP are also in the process of developing a solution that will support a business as usual approach to validating electors (i.e. one that will run daily rather than all 46m in bulk). This has already successfully passed key testing phases.</p> <p>In June 2014 both DWP solutions will become live as changes to electoral legislation are implemented.</p>	30.6.14	N/A	N/A
5.4.4	<p><b>Benefit Fraud activities</b>  DWP are active participants in the Counter Fraud Checking Service project under the Cabinet Office FED Taskforce. We are looking to share details of known fraudsters across the banking, insurance, policing and welfare sectors to combat the risk that fraudsters will be attacking multiple sectors at the same time. The project is in pilot stage and early indications are that sharing data is proving successful and a formal business case has been produced recommending moving to solution design stage. Participants in the pilot are DWP, HMRC, National Fraud Intelligence Bureau, National Crime Agency, and representatives from the banking and insurance sectors</p>	Ongoing	N/A	N/A

5.4.5	<p><b>MOJ and DWP data sharing to help offenders find work</b></p> <p>In 2010 DWP and Ministry of Justice (MoJ) started a data sharing project which brought together information on 3.6 million offenders in England and Wales and their interactions with the benefit system, employment programmes, work and the justice system. This work improved the insight and understanding of offenders interactions across the two departments.</p> <p>The shared data is being used to inform MoJ's <a href="#">Transforming Rehabilitation</a> strategy which seeks to incentivise providers of rehabilitative services to focus on reforming offenders</p> <p><a href="https://quarterly.blog.gov.uk/2013/07/12/putting-data-to-good-use-helping-offenders-find-work/">https://quarterly.blog.gov.uk/2013/07/12/putting-data-to-good-use-helping-offenders-find-work/</a></p>	Ongoing	N/A	N/A
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## 6) Routine Publications

This section illustrates the routine publications the department will continue to update and publish as part of the cross-government transparency commitments.

DWP will continue to routinely update and publish cross government transparency data relating to HR, spending, and commercial information on <a href="https://data.gov.uk">data.gov.uk</a> in an open re-usable format as follows.				
Spend data	Monthly publication of all expenditure over £25,000 including a plain English description (1 month in arrears)	Routinely published	Monthly	Y
GPC data	Monthly publication of all expenditure over £500 on the Government Procurement Card (2 months in arrears)	Routinely published	Monthly	Y
Organogram	6-monthly publication of posts, salaries and job descriptions within each department. Full disclosure including name of post holder for those at Senior Civil Service payband 2 (or equivalent) and above	Routinely published	6 Monthly	Y
Exceptions to Moratoria (ICT, Property, Recruitment, Marketing & Consultancy)	Quarterly publication of any exceptions to the current government restrictions on spend on ICT, consultancy, recruitment, property, consultancy, advertising and marketing.	Routinely published	Quarterly	Y
Workplace Management Information	Monthly management information on staff numbers and paybill costs in Civil Service departments, their agencies and their executive NDPBs	Routinely published	Monthly	Y
Ministers', Gifts (given and received), hospitality received, overseas , Travel, Meetings with external organisations including senior	Quarterly detail on Ministers travel, hospitality, gifts and meetings with external companies	Routinely published	Quarterly	Y

media executives				
Senior Officials Expenses, Travel and Hospitality	Quarterly detail on expenses, travel and hospitality for Senior Officials	Routinely published	Quarterly	Y
Permanent secretaries meetings with external organisations including senior media executives	Quarterly detail on the Permanent Secretary's meetings with external organisations including senior media executives	Routinely published	Quarterly	Y
Special Advisers Gifts and Hospitality received and meetings with senior media executives	Quarterly detail on hospitality, gifts and meetings with external companies for Special Advisors	Routinely published	Quarterly	Y
Contract Finder	Online publication of all new tenders and contracts awarded over £10,000, including PIN notices	Routinely published	On-line	Y
Real Time Energy User	Central government departments publish online the real-time energy use of their headquarter buildings. Most of the real-time energy displays provide energy data in half hourly intervals, and are published on departmental websites with a delay of no more than 24 hours	Routinely published	On-line	Y