



Ministry
of Justice



Proven Re-offending Statistics Quarterly Bulletin

January to December 2011,
England and Wales

Ministry of Justice
Statistics Bulletin

31 October 2013

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Introduction

The Proven Re-offending Statistics Quarterly Bulletin provides key statistics on proven re-offending in England and Wales. It gives proven re-offending figures for adult and juvenile offenders, who were released from custody, received a non-custodial conviction at court, received a caution, reprimand or warning, or tested positive for opiates or cocaine between January and December 2011. A proven re-offence is defined as any offence committed in a one year follow-up period that leads to a court conviction, caution, reprimand or warning in the one year follow-up or within a further six month waiting period to allow the offence to be proven in court.

This quarterly bulletin presents the proportion of offenders who re-offend (proven re-offending rate) and the number of proven re-offences those offenders commit by age group, gender, ethnicity, criminal history and offence type. Also included are proven re-offending rates for serious proven re-offending, different types of offenders (e.g. adult, juvenile, drug-misusing and prolific and other priority offenders); different types of sentence; and for individual prisons, probation trusts and youth offending teams.

Latest figures are provided with comparisons to January to December 2010 and the year 2000 in order to highlight long-term trends; 2000 is the earliest year for which proven re-offending data exist on a comparable basis. The full set of results is provided separately in Excel tables at:

www.gov.uk/government/collections/proven-reoffending-statistics

The accompanying 'Definitions and Measurement' document, which is available at the same link, provides more detailed information.

Users interested in the latest findings from the Peterborough and Doncaster Payment by Results pilots can find the latest interim re-conviction figures in Annex A.

Measuring proven re-offending

There is no agreed international standard for measuring and reporting re-offending. An offender's journey through the criminal justice system can be a complex one; offenders can appear on numerous occasions.

Measuring true re-offending is complex. Official records are taken from either the police or courts, but they will underestimate the true level of re-offending because only a proportion of crime is reported and/or detected and not all crimes are recorded on one central system. Furthermore, other methods for measuring re-offending, such as self-report studies, which do not identify the offender, are likely to be unreliable. Therefore, this report aims to estimate proven re-offending for a specified group of offenders using data mainly from the Police National Computer.

Since this report measures re-offending on a consistent basis across all groups, it is possible to tailor analysis of re-offending to meet specific requirements. This quarterly bulletin and the accompanying 'Early estimates of proven re-offending' present measures on four different levels to meet users' needs:

- The headline measure – this is the overall measure of re-offending and is presented for different demographic groups and by offence. To provide this **overview of proven re-offending**, offenders are tracked and their proven re-offending behaviour is recorded, taking the **first event**¹ in the **relevant period** as the start point and subsequent events as proven re-offences. Users should refer to tables 1 to 11 and 13 to 17 for the headline measure.
- A headline measure where the first event is related to criminal justice and offender management – this provides a realistic and relevant view of proven re-offending by disposal (sentence type), prison and probation trust. Offenders are tracked and their proven re-offending behaviour is recorded **within** each disposal (caution, court order, discharge from prison, etc.) or operational unit (prison or probation trust) taking the first event **within** each as the start point and subsequent events as re-offences. Users should refer to tables 12 and 18 to 25 for this headline measure.
- Early estimates of proven re-offending – these use shorter follow-up and waiting periods, but otherwise measure re-offending in exactly the same way as the headline measure. This is intended to provide offender managers with an earlier indication of proven re-offending trends so they can adjust or build on offender management operational policy. These tables are published as management information alongside the accompanying proven re-offending tables.

¹ An event is one of the following: a release from custody, convicted at court with a non-custodial sentence, received a caution, reprimand, warning or tested positive for opiates or cocaine

- A re-conviction measure for use by payment by results – this is the measure used in the prison pilots where court convictions are more closely associated with costs to the criminal justice system. For more details, please refer to Annex A.

For a more detailed explanation, please see the accompanying ‘Definitions and Measurement’ document at:

www.gov.uk/government/collections/proven-reoffending-statistics

Consultation

This quarterly bulletin was developed in response to a consultation in late 2010 and early 2011 by the Ministry of Justice (MoJ) on “Improvements to Ministry of Justice Statistics”. The main points from the consultation that affect this publication can be found in Annex B.

Users

The contents of this bulletin will be of interest to Government policy makers, the agencies responsible for offender management at both national and local levels, providers, practitioners and others who want to understand more about proven re-offending.

In particular there are two MoJ impact indicators² which will be monitored using results from this bulletin:

- Adult and juvenile re-offending – the percentage of adult and juvenile offenders who re-offend
- The percentage of adults released from custody who re-offend.

Government policy makers also use these statistics to develop, monitor and evaluate key elements of its policies including those on payments by results, legal aid, sentencing guidelines and drug and alcohol policies. Offender management agencies use these statistics to gain a local understanding of the criminal justice system, understand performance and to highlight best practice. Key agencies include: the National Offender Management Service, the Youth Justice Board, private and voluntary sector providers of prison and probation services and local authorities.

As proven re-offending is related to the characteristics of offenders, the actual rate of proven re-offending will depend, in part, on the characteristics of offenders coming into the system. This actual rate provides users with sufficient information on what the level of re-offending is (e.g. in their local area) and how it is changing over time. Some of the tables in this bulletin also present an adjusted proven re-offending rate to control for differences in the composition of the offender group which can be used by those who want to

² www.gov.uk/government/publications?departments%5B%5D=ministry-of-justice

understand how changes in types of offenders coming through the justice system drives re-offending rates.

This bulletin is published alongside three inter-related bulletins:

- **Offender Management Statistics Quarterly Bulletin, April to June 2013, England and Wales:** provides key statistics relating to offenders who are in prison or under Probation Service supervision. It covers flows into these services (receptions into prison or probation starts) and flows out (discharges from prison or probation terminations) as well as the caseload of both services at specific points in time. It also includes information on returns to custody following recall.
- **Safety in Custody Statistics Quarterly update to June 2013, England and Wales:** provides statistics on death, self harm and assault incidents whilst in prison custody.
- **MAPPA (Multi Agency Public Protection Arrangements) Annual Report 2012/13:** this annual publication presents the number of MAPPA eligible offenders in England and Wales, and information related to these offenders, including a summary of the information provided in the MAPPA reports published by each of the areas.

Taken together, these publications present users with a more coherent overview of offender management including MAPPA eligible offenders, re-offending among adults and young people and the safety of offenders whilst in prison custody.

Additional analyses on proven re-offending that are not covered by this bulletin are presented in the 'Compendium of re-offending statistics and analysis'. The 2013 edition of this publication, which was published by the MoJ on 11 July 2013, includes a comprehensive analysis on the impact of sentencing on proven re-offending for adult offenders.

www.gov.uk/government/publications/2013-compendium-of-re-offending-statistics-and-analysis

If you have any feedback, questions or requests for further information about this statistical bulletin, please direct them to the appropriate contact given at the end of this report.

Executive summary

This report provides key statistics on proven re-offending in England and Wales. It gives proven re-offending figures for adult and juvenile offenders³ who were released from custody, received a non-custodial conviction at court, received a caution, reprimand or warning, or tested positive for opiates or cocaine between January and December 2011. A proven re-offence is defined as any offence committed in a one year follow-up period that leads to a court conviction, caution, reprimand or warning in the one year follow-up. Following this one year follow-up, a further six month waiting period is allowed for the offence to be proven in court.

Overall - adult and juvenile offenders

In 2011, around 610,000 adult and juvenile offenders³ were cautioned⁴, convicted (excluding immediate custodial sentences) or released from custody⁵. Around 160,000 of these offenders committed a proven re-offence within a year. This gives an overall proven re-offending rate of 26.8%, representing a very small rise of 0.1 percentage points compared to the previous 12 months and a slight fall of 1.1 percentage points since 2000. Since 2000, the overall proven re-offending rate for adult and juvenile offenders has remained fairly stable, fluctuating between around 26% and 28% (Table 1).

In total, around 480,000 proven re-offences were committed over the one year follow-up period, with those that re-offended committing, on average, 2.9 re-offences each (both adults and juveniles) (Table 1).

Unsurprisingly, offenders with 11 or more previous offences have a higher re-offending rate than those with no previous offences – 48% compared to 11% in the most recent figures and the same pattern can be seen for previous years (Table 6c).

Serious re-offences: less than 1% of all proven re-offences committed over the one year follow-up period were serious violent or sexual offences with very little change since 2000 (Table 8).

³ A certain proportion of offenders who could not be matched to the Police National Computer (PNC) are excluded from the offender cohort. Therefore, this number does not represent all proven offenders. Please refer to the 'Definitions and Measurement' document for more detail at www.gov.uk/government/collections/proven-reoffending-statistics. This means that the number of offenders in this bulletin will be different from the numbers published in the Offender Management Quarterly Statistics Bulletin available at www.gov.uk/government/collections/offender-management-statistics-quarterly and the Criminal Justice Statistics report available at www.gov.uk/government/collections/criminal-justice-statistics-quarterly

⁴ Includes reprimands and warnings for juveniles

⁵ Also includes those who tested positive for opiates or cocaine

Adult offenders

Around 540,000 adult offenders³ were cautioned⁴, convicted or released from custody⁵ in 2011 and around 140,000 of them committed a re-offence. This gives a proven re-offending rate of 25.5%. This represents a small increase of 0.3 percentage points compared to the previous 12 months and a slight fall of 0.7 percentage points since 2000. Proven re-offending rates for adult offenders have remained fairly flat since 2000 and this is consistent with the overall trend shown for all offenders (Table 1).

Adult offenders released from custody

The proven re-offending rate for adult offenders³ released from custody in 2011 was 46.4%, a fall of 1.1 percentage points compared to the previous 12 months and a fall of 3.0 percentage points since 2000. Adults who served sentences of less than 12 months, re-offended at a rate of 58.1% compared to 35.1% for those who served sentences of 12 months or more. Since 2005, the overall rate for those released from custody has remained relatively stable. The trends for those released from short and long sentences have both also remained broadly flat since 2005 and are consistent with the overall trend. Furthermore, the rate for those released from short sentences has been consistently higher compared to those released from long sentences (Table 19a).

Adult offenders starting a court order

The proven re-offending rate for adult offenders³ starting a court order (Community Order or Suspended Sentence Order) was 34.4%, a small rise of 0.2 percentage points compared to the previous 12 months and down 3.5 percentage points since 2000 (Table 18a). The proven re-offending rate for adult offenders³ starting a Community Order was 36.0% while, for a Suspended Sentence Order, the re-offending rate was 30.2% (Tables 20 and 21).

Juvenile offenders

Around 75,000 juvenile offenders³ were cautioned⁴, convicted or released from custody in 2011 and around 27,000 of them committed a re-offence. This gives a proven re-offending rate of 35.9% and, with a slight increase of 0.6 percentage points compared to the previous 12 months and an increase of 2.2 percentage points since 2000, it is now higher than at any other time over the last 11 years. However, the cohort has changed considerably over the period since 2000; it has almost halved in size due to a substantial decrease in the number of first time entrants to the criminal justice system (a first time entrant is an offender who has received their first reprimand, warning, caution or conviction for an offence) and, as such, is comprised of offenders whose characteristics mean that they are more likely to re-offend than those in the 2000 cohort (Table 1).

Juvenile offenders released from custody

The proven re-offending rate for juvenile offenders³ released from custody in 2011 was 70.7%. This represents a fall of 0.3 percentage points compared to the previous 12 months and fall of 6.1 percentage points since 2000 (Table 19b).

Table E1: Overview – latest 12 month period compared to the previous 12 month period and 2000

	2000	2010	2011	Percentage change 2000 to 2011 ¹	Percentage change 2010 to 2011 ¹
All offenders					
Proportion of offenders who re-offend (%)	27.9	26.7	26.8	-1.1pp ↓	0.1pp ↑
Average number of re-offences per re-offender	3.37	2.87	2.91	-13.7% ↓	1.3% ↑
Proportion of offenders who re-offend - Adjusted to baseline ² (%)	25.5	27.1	27.3	-	-
Average number of re-offences per offender	0.94	0.77	0.78	-17.1% ↓	1.5% ↑
Number of re-offences	579,770	497,969	478,033	-17.5% ↓	-4.0% ↓
Number of re-offenders	171,935	173,274	164,281	-4.5% ↓	-5.2% ↓
Number of offenders in cohort	617,024	648,822	613,438	-0.6% ↓	-5.5% ↓
Adult offenders					
Proportion of offenders who re-offend (%)	26.2	25.3	25.5	-0.7pp ↓	0.3pp ↑
Average number of re-offences per re-offender	3.39	2.87	2.91	-14.1% ↓	1.4% ↑
Proportion of offenders who re-offend - Adjusted to baseline ² (%)	23.6	25.8	26.2	-	-
Average number of re-offences per offender	0.89	0.73	0.74	-16.2% ↓	2.4% ↑
Number of re-offences	423,989	403,396	400,629	-5.5% ↓	-0.7% ↓
Number of re-offenders	125,023	140,364	137,462	9.9% ↑	-2.1% ↓
Number of offenders in cohort	477,698	555,656	538,754	12.8% ↑	-3.0% ↓
Juvenile offenders					
Proportion of offenders who re-offend (%)	33.7	35.3	35.9	2.2pp ↑	0.6pp ↑
Average number of re-offences per re-offender	3.32	2.87	2.89	-13.1% ↓	0.4% ↑
Proportion of offenders who re-offend - Adjusted to baseline ² (%)	32.0	35.0	35.5	-	-
Average number of re-offences per offender	1.12	1.02	1.04	-7.3% ↓	2.1% ↑
Number of re-offences	155,781	94,573	77,404	-50.3% ↓	-18.2% ↓
Number of re-offenders	46,912	32,910	26,819	-42.8% ↓	-18.5% ↓
Number of offenders in cohort	139,326	93,166	74,684	-46.4% ↓	-19.8% ↓

1. pp = percentage point and percentage changes may not add up due to rounding of raw figures

2. See the definitions and measurement paper for an explanation on how to use and interpret the baseline rate

Overall - adult and juvenile offenders (*Table 1*)

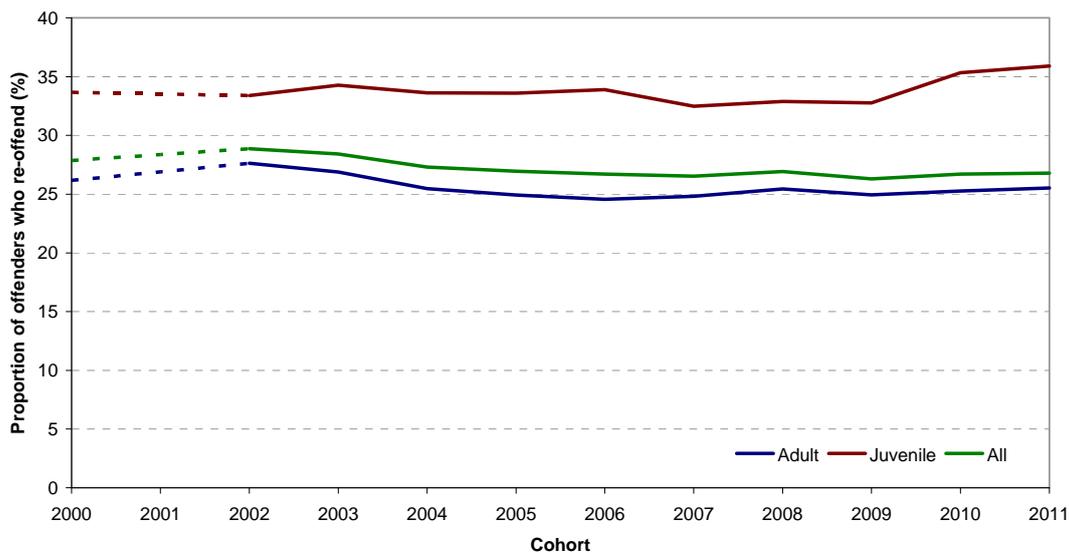
Adult offenders accounted for 88% (around 540,000) of all proven offenders³ in the 2011 offender cohort, and juvenile offenders, 12% (around 75,000).

Around 140,000 of all adult offenders³ were proven to have committed a re-offence within a year. This gives a proven re-offending rate of 25.5% which represents a very slight increase of 0.3 percentage points compared to the previous 12 months. In the last 11 years, there has been little change in this rate, as illustrated in Figure 1. It has ranged from 25 to 28% over this time and has seen a 0.7 percentage point decrease since 2000.

In contrast, at 35.9%, the proven re-offending rate for juvenile offenders³ has increased by 2.2 percentage points since 2000 to reach its highest level in over 10 years. Furthermore, between 2000 and 2009, while the rate has remained fairly flat at around 32 to 34%, it has risen for the last two cohorts. However, it should be noted that the total number of juvenile offenders in the cohort has fallen by 46% since 2000. This is in line with the pattern of first time entrants to the criminal justice system where the number of young people receiving their first reprimand, warning or conviction has also decreased. More information on first time entrants for both adults and juveniles can be found in the 'Criminal Justice Statistics Quarterly Bulletin' at:

www.gov.uk/government/collections/criminal-justice-statistics-quarterly

Figure 1: Proportion of adult and juvenile offenders in England and Wales who commit a proven re-offence, 2000, 2002 to 2011

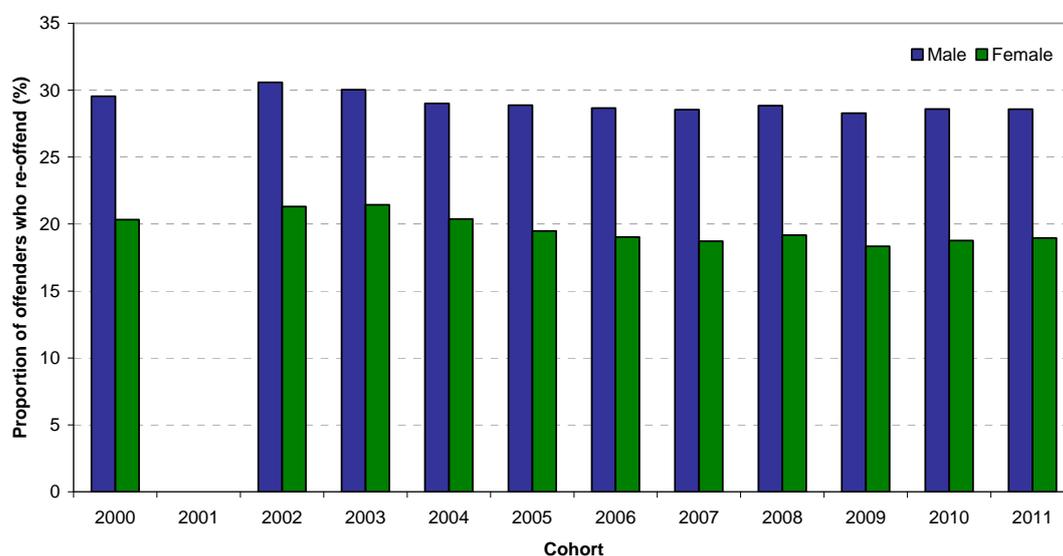


1. Data are not available for 2001 due to a problem with archived data on Court Orders

Gender (Table 2)

In the 2011 cohort, 81% were male and 19% were female – a gender split that has changed little over the years since 2000. Male offenders³ from the 2011 cohort, re-offended at a higher rate of 28.6% compared to female offenders³ who re-offended at a rate of 19.0%, and, as shown in Figure 2, both rates have remained broadly stable since 2000. Between 2000 and 2011, the proven re-offending rate for male offenders decreased by 1.0 percentage point, and, over the same period, the rate for female offenders saw a slightly larger decrease of 1.4 percentage points.

Figure 2: Proportion of adult and juvenile offenders in England and Wales who commit a proven re-offence, by gender, 2000, 2002 to 2011



1. Data are not available for 2001 due to a problem with archived data on Court Orders

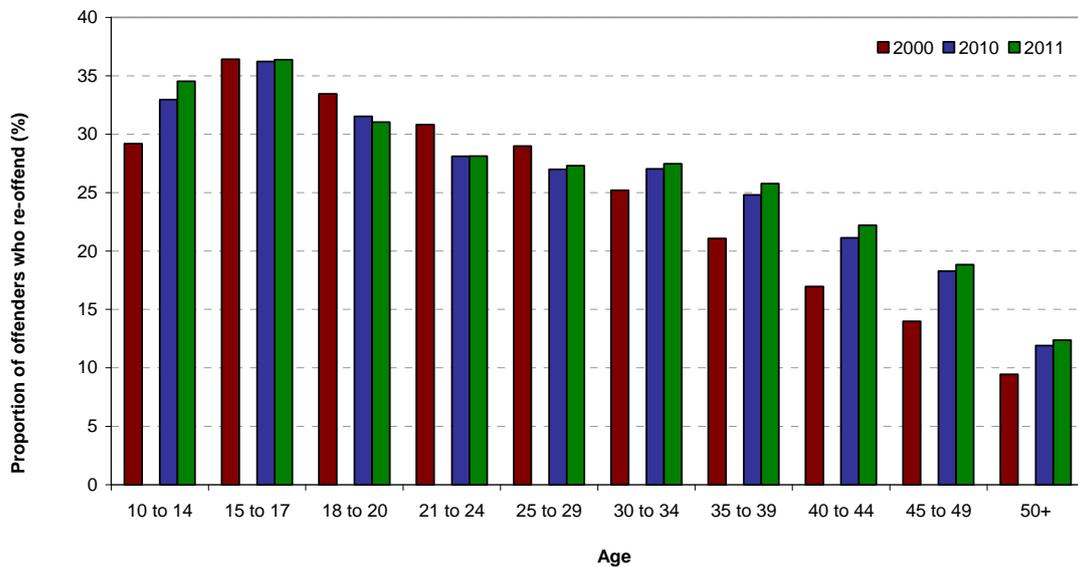
Age (Table 3)

Since 2000, the proven re-offending rates for offenders³ aged 15 to 17 have been consistently higher compared to the rates for offenders in other age groups. Furthermore, in the 2011 cohort, the rate for this age group rose to 36.4%, a level last seen in 2000 and the highest level in over 10 years. At 34.5%, rates for 10 to 14 year olds in the 2011 cohort are the second highest and, along with the rates for those aged 35 and over, they too have reached their highest levels since 2000. The high rates for 10 to 17 year olds can be explained by the decrease in the number of young offenders receiving their first reprimand, warning or conviction. This decrease leaves behind a more prolific group of young offenders who are more likely to re-offend.

Compared to 2000, the proven re-offending rate for 2011 rose for 10 to 14 year olds and for those aged 30 and over, but fell for offenders aged 18 to 29.

Figure 3 shows that the proven re-offending rate for those aged 18 and over generally falls with increasing age.

Figure 3: Proportion of adult and juvenile offenders in England and Wales who commit a proven re-offence, by age, 2000, 2010 and 2011



Disposal (sentence) type

Adult offenders discharged from prison or commencing a court order (Table 18a)

In 2011, around 190,000 adult offenders³ were discharged from prison or commenced a court order. Around 68,000 of these offenders were proven to have committed a re-offence within a year. This gives a proven re-offending rate of 36.0%.

Overall there was a 4.9 percentage point decrease (from 40.9 to 36.0%) in the proven re-offending rate between 2000 and 2011.

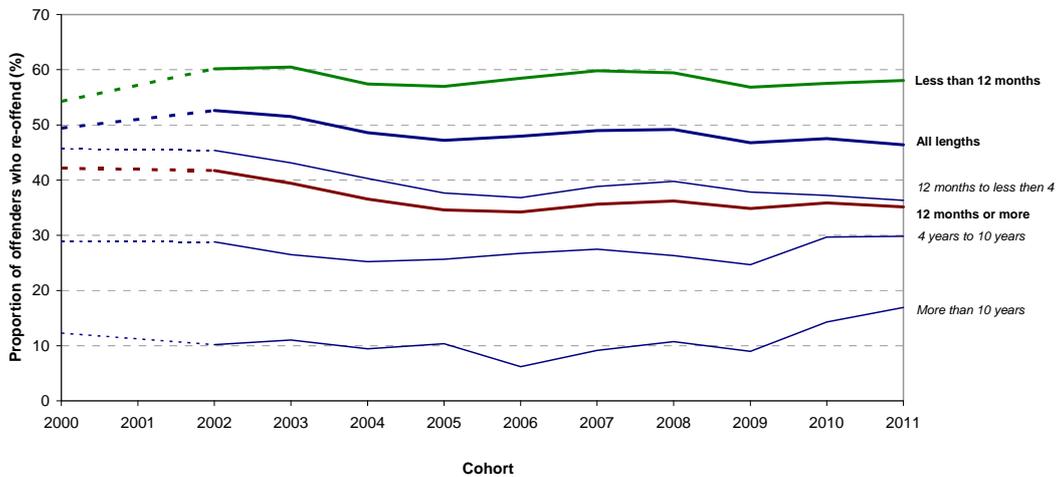
Adult offenders discharged from prison (Table 19a)

In 2011, around 59,000 adult offenders³ were discharged from prison and around 28,000 of these (46.4%) were proven to have committed a re-offence within a year. While the rate has decreased by 3.0 percentage points since 2000, it has remained fairly stable since 2005.

Around half of the adult offenders discharged from prison in 2011 were released from a custodial sentence of less than 12 months. These offenders had a proven re-offending rate of 58.1% compared to 35.1% for those who served sentences of 12 months or more. Furthermore, between 2000 and 2011, the rate for those who served a sentence of less than 12 months increased by 3.8 percentage points, while the rate for those on sentences of 12 months or more decreased by 7.0 percentage points.

Since 2005, the overall rate for those released from custody has remained broadly stable and, while the trends for short and long sentences are consistent with the overall trend, the rate for those released from short sentences has been consistently higher compared to those released from long sentences, as shown in Figure 4.

Figure 4: Proportion of adult offenders discharged from prison who commit a proven re-offence, by custodial sentence length, 2000, 2002 to 2011¹



1. Data are not available for 2001 due to a problem with archived data on Court Orders

Juvenile offenders released from custody (Table 19b)

In 2011, around 2,000 juvenile offenders³ were released from custody and around 1,400 of these (70.7%) were proven to have committed a re-offence within a year. This represents a fall of 0.3 percentage points compared to the previous 12 months and fall of 6.1 percentage points since 2000.

Adult offenders commencing a court order (Tables 20 and 21)

In 2011, around 100,000 adult offenders³ started a community order and around 36,000 of these (36.0%) committed a proven re-offence within a year. Over the same period, around 39,000 adult offenders³ started a suspended sentence order and they re-offended at a lower rate of 30.2%.

Comparing the effectiveness of sentences (disposal comparing)

Proven re-offending rates by index disposal (sentence type) should not be compared to assess the effectiveness of sentences, as there is no control for known differences in offender characteristics and the type of sentence given. The '2013 Compendium of Re-offending Statistics and Analysis' compares like for like offenders which enables a more reliable comparison of proven re-offending rates between offenders receiving different sentences.

The findings from the Compendium show that offenders sentenced to less than 12 months in custody had a higher proven re-offending rate than similar, matched offenders receiving:

- a community order - 6.4 percentage points for 2010;
- a suspended sentence order - 8.6 percentage points for 2010;
- a 'court order' (either a community order or a suspended order) - 6.8 percentage points for 2010.

Non-custodial sentences were also compared:

- Suspended sentence orders had a lower re-offending rate than community orders (3.2 percentage points for 2010);
- Community orders had a higher re-offending rate than fines, though the difference was small (0.9 percentage points in 2010);
- Conditional discharges had a lower re-offending rate than: Community orders (5.1 percentage points for 2010); and Fines (5.5 percentage points for 2010).

www.gov.uk/government/publications/2013-compendium-of-re-offending-statistics-and-analysis

Adult offenders by individual prison (*Tables 22a and b*)

Among prisons which discharged 30 or more offenders in 2011, proven re-offending rates varied considerably from 13.2 to 81.1% for offenders with a sentence of less than 12 months and from 2.4 to 65.5% for offenders with a sentence of 12 months or more. A large part of this variability reflects the mix of offenders who are held in different prisons and, therefore, comparisons between prisons should not be made using these raw re-offending rates.

To account for this variability in the mix of prisoners, a model has been developed to help explain if re-offending rates are affected by the specific prison they are discharged from or if the rate of re-offending reflects the mix of offenders. For example, a group of prisoners with a high number of previous offences is more likely to re-offend than a group with a low number of previous offences.

Less than 12 month sentences

Among prisons discharging offenders serving sentences of less than 12 months, three prisons (Ford, Stafford and Standford Hill) had significantly lower proven re-offending rates than expected and two (Hindley and Wetherby) had significantly higher.

12 month or more sentences

Among prisons discharging offenders serving sentences of 12 months or more, three prisons had significantly lower proven re-offending rates (East Sutton Park, Grendon/Spring Hill and Latchmere House) than expected and none had significantly higher.

Adult offenders by probation trust (*Table 24*)

Offenders given a court order are managed by the Probation Service which comprises 35 probation trusts. Proven re-offending rates for these offenders are presented by probation trust in Table 24. This takes the first court order commencement from within each probation trust as the start point for measuring re-offending and subsequent events as proven re-offences.

Proven re-offending rates varied considerably between probation trusts from 27.2 to 43.6%. A large part of this variability reflects the mix of offenders who are given a court order and, therefore, comparisons between probation trusts should not be made using these raw re-offending rates.

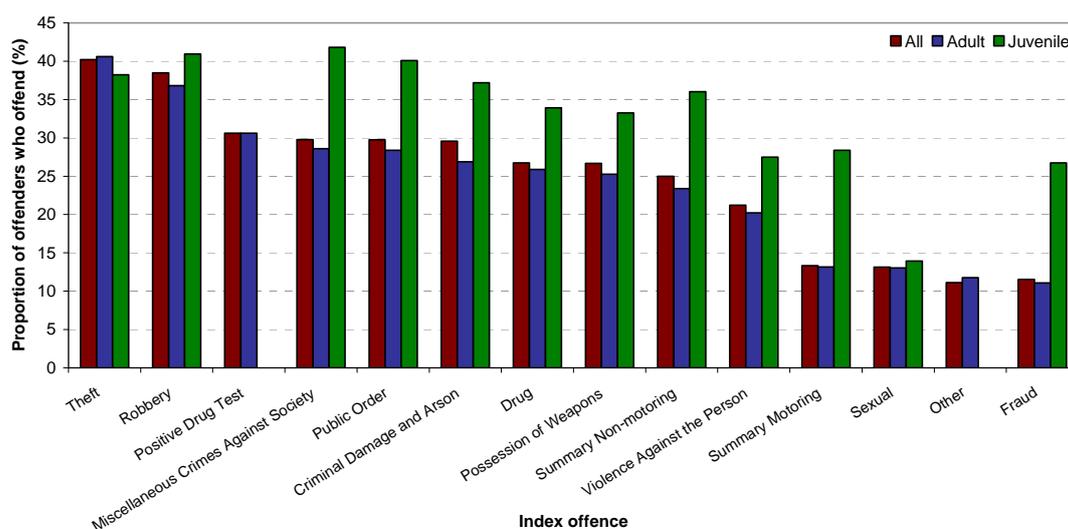
For probation trusts an adjusted proven re-offending rate to control for differences in the composition of the offender group in each trust has been developed from the national model. Six probation trusts showed significantly lower proven re-offending rates than expected. These were Gloucestershire, London, Northamptonshire, South Yorkshire, Staffordshire and West Midlands and York and North Yorkshire. Three (Greater Manchester, Lancashire and

Nottinghamshire) showed significantly higher proven re-offending rates than expected.

Index offence (Table 5f)

The offence that leads to an offender being included in the offender cohort is called the index offence. In the 2011 cohort, offenders with an index offence of 'Theft' had the highest proven re-offending rate of 40.2%. This was closely followed by those with an index offence of 'Robbery' with a rate of 38.5%. Those with the lowest rate (excluding the 'Other' category) had an index offence of 'Fraud' and re-offended at a rate of 11.5%. Additionally, with a fall of 10.1 percentage points, the 'Fraud' index offence category saw the largest decrease between 2000 and 2011. In contrast, the largest increase over the same period occurred for those with an index offence of 'Public Order'.

Figure 5: Proportion of adult and juvenile offenders in England and Wales who commit a proven re-offence, by index offence, 2011

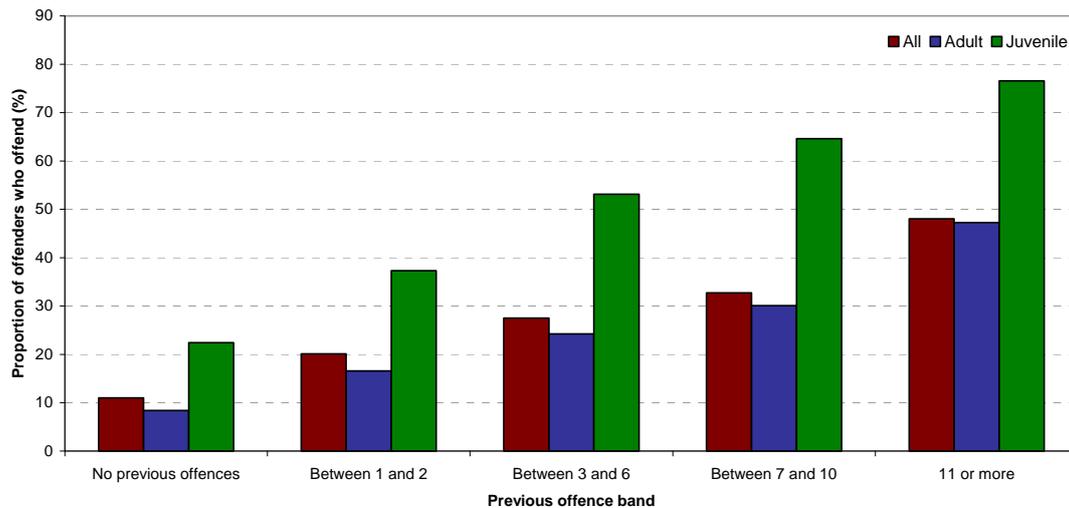


Previous offences (Table 6a, b and c)

Generally, offenders with a large number of previous offences have a higher rate of proven re-offending and this is true for both adults and juveniles. In the 2011 cohort, the proven re-offending rates ranged from 11.0% for offenders with no previous offences to 48.0% for offenders with 11 or more previous offences. Additionally, offenders with one or two previous offences showed the largest decrease in the proven re-offending rate between 2000 and 2011.

Adult offenders with 11 or more previous offences represented 29% of all adult offenders in the 2011 cohort, but committed 66% of all adult proven re-offences. Juvenile offenders with 11 or more previous offences had a proven re-offending rate of 76.6%. This group make up only 6% of all juvenile offenders, but committed almost a fifth (19%) of all juvenile proven re-offences.

Figure 6: Proportion of adult and juvenile offenders in England and Wales who commit a proven re-offence, by previous offence band, 2011



Proven re-offending across the country (Table 13c)

Figure 7 shows proven re-offending rates by upper-tier local authority. This figure is not controlled for the characteristics of offenders and is designed for users to gain an understanding of what the level of proven re-offending is within their area.

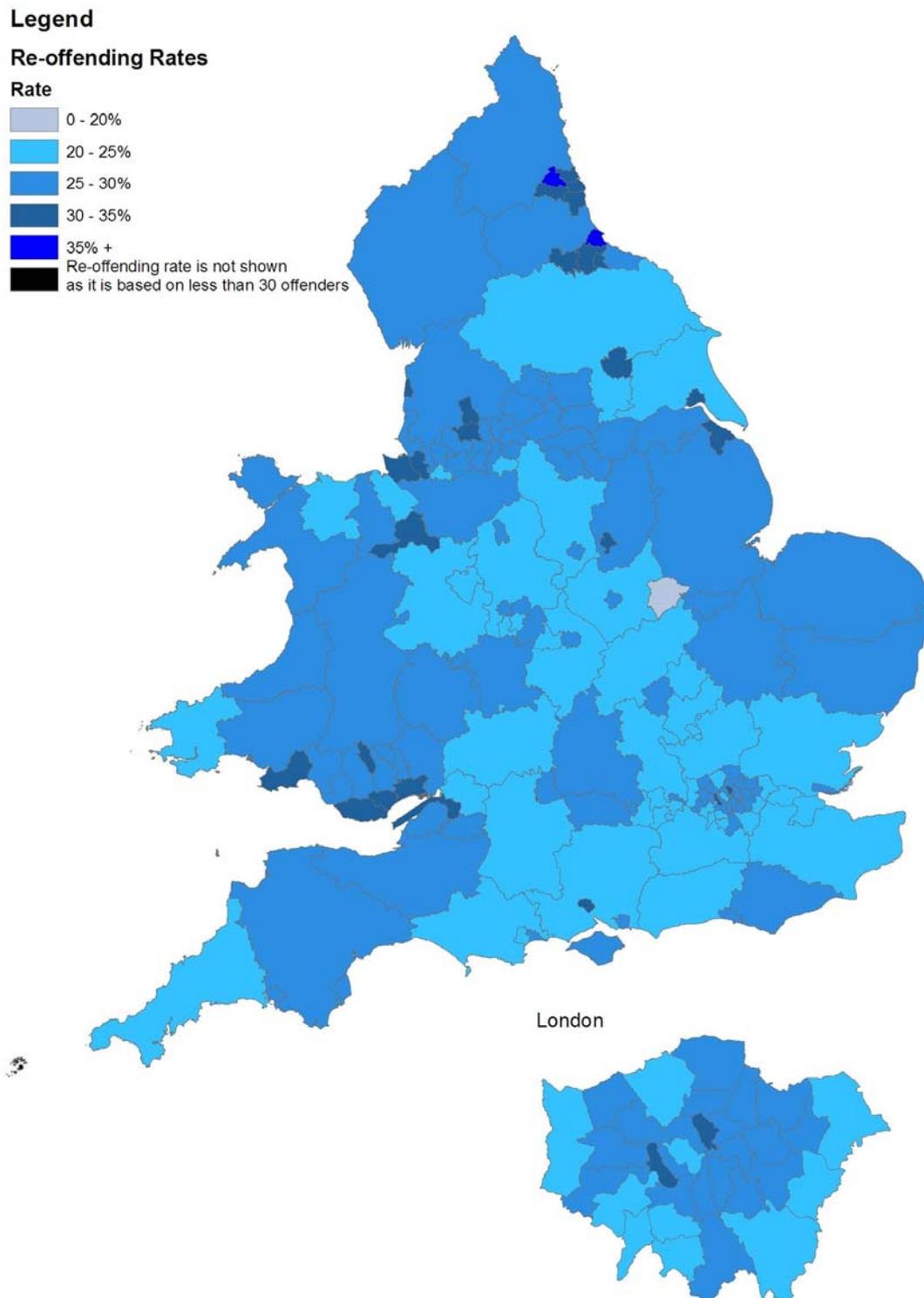
When comparing between local authorities, the differences may be due to:

- Different types of offenders; areas where the offenders have high numbers of previous offences are likely to have higher proven re-offending rates.
- Police activity; areas with high police detection rates are likely to have higher proven re-offending rates.
- Age profile of offenders in the area; areas with a younger population are likely to have higher proven re-offending rates.

When comparing proven re-offending over time within local authorities, any significant changes in these factors may affect the comparison.

Very few local authorities from the 2011 cohort showed substantial change compared to the previous 12 months. For local authorities with 30 or more offenders, the largest decrease was seen in Stockport (down 3.9 percentage points) and the largest increase was seen in both Richmond upon Thames and Torfaen (up 4.0 percentage points).

Figure 7: Overall proven re-offending rates by upper-tier local authority for adults and juveniles in England and Wales, 2011



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Drug-misusing offenders (*Table 15*)

The Drug Interventions Programme (DIP) was introduced in April 2003 with the aim of developing and integrating measures for directing adult drug-misusing offenders into drug treatment and reducing offending behaviour. There are a number of ways offenders can be identified as drug-misusers. Please refer to the 'Definitions and Measurement' document for more detail:

www.gov.uk/government/collections/proven-reoffending-statistics

Around 44,000 adult offenders³ were identified as drug-misusers at some point in 2011. Of these, around 25,000 committed a proven re-offence within a year (57.5%). These re-offenders represented 5% of all adult offenders, but were responsible for 26% of all proven re-offences committed by adult offenders.

Compared to the previous 12 months, the proportion of drug-misusing offenders who committed a proven re-offence decreased slightly by 0.5%. Since 2005, there has been a decrease of 7.8 percentage points, although most of this change occurred between 2005 and 2006 when there was a large expansion in the drug intervention programme.

Prolific and other priority offenders (*Table 16*)

The Prolific and other Priority Offender Programme (PPO) aims to use a multi-agency approach to focus on a very small, but hard-core group of prolific/persistent offenders who commit a disproportionate amount of crime. Please refer to the 'Definitions and Measurement' document for more detail:

www.gov.uk/government/collections/proven-reoffending-statistics

Around 6,700 offenders³ (adult and juvenile) were on the PPO scheme at some point in 2011. Of these, around 5,000 committed a proven re-offence within a year (75.1%). These re-offenders represented 1% of all offenders, but were responsible for 5% of all proven re-offences committed.

Compared to the previous 12 months, the proportion of PPO offenders who committed a proven re-offence decreased by 1.8 percentage points. The rate has fluctuated between 75% and 77% since the scheme began in 2005.

Youth offending teams (*Table 17*)

Among Youth Offending Teams (YOTs), proven re-offending rates varied considerably from 24.9 to 56.8%. A large part of this variability reflects the mix of offenders who are managed by different YOTs and, therefore, comparisons between YOTs should not be made using these raw re-offending rates.

More information on youth criminal statistics is available at:

www.gov.uk/government/collections/youth-justice-statistics

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Annex A

Interim re-conviction figures for Peterborough and Doncaster Payment by Results pilots

Background

The Ministry of Justice (MoJ) published “*Breaking the Cycle: Effective Punishment, Rehabilitation and Sentencing of Offenders*” in December 2010. This Green Paper included a commitment for the MoJ to commission a series of initial ‘payment by results’ (PbR) pilot projects to test the principle that PbR can result in service improvements by delivering better outcomes for the public at the same or less cost.

The MoJ currently has two prison based PbR pilots operating in HMP Peterborough and HMP Doncaster. The Peterborough pilot began on 9 September 2010 and the Doncaster pilot on 1 October 2011.

There are a number of differences in the design of the two pilots to enable different PbR approaches to be tested. These differences (as set out below in the methodology section and also in Table A1 of the *Appendix*) mean that the interim results for the two pilots cannot be directly compared.

The final results for both pilots will be based on a 12 month re-conviction measure which counts offences committed in the 12 months following release from prison, and resulting in conviction at court either in those 12 months or in a further 6 month period (allowing time for cases to progress through the courts). As a consequence of this necessary time lag, final results will not be available until 2014 (for cohort⁶ 1 of both pilots, with final results for subsequent cohorts to follow in later years).

However, given the high level of public interest in relation to the reforms set out in the MoJ publication “*Transforming Rehabilitation – a strategy for reform*”, the MoJ published for the first time in an ad-hoc publication on 13 June 2013 the “*Interim re-conviction figures for the Peterborough and Doncaster Payment by Results pilots*”. These figures were published at the earliest opportunity after MoJ statisticians judged the number of offenders being reported on to be large enough to provide robust interim figures.

Since July 2013, this annex of the MoJ’s *Proven Re-offending Statistics* quarterly bulletin provides an update of the interim re-conviction figures of these two PbR pilots and MoJ will continue publishing these updates every quarter.

⁶ A cohort is the group of offenders included in the pilot, and for whom the reduction in re-convictions will be measured. See Table A1 in the *Appendix* for more details on which offenders are included in the cohorts.

Methodology

Offenders enter the PbR pilots after their first eligible release from the prison within the cohort period. For Peterborough, cohort 1 closed at the end of the month in which the 1000th eligible offender was released. For the purposes of this statistical bulletin, cohort 1 is all eligible offenders released between 9 September 2010 and 1 July 2012. For Doncaster, cohort 1 includes all eligible offenders released from 1 October 2011 to 30 September 2012.

Both PbR prison pilots use a 12 month re-conviction measure which differs from the National Statistics proven re-offending measure. The key difference is that re-convictions only count offences for which the offender was convicted at court, whereas the National Statistics proven re-offending measure also includes out of court disposals (cautions). However, the time periods used for both measures are the same – offences committed within 12 months of release from prison and convicted at court (or cautioned for the proven re-offending measure) either in those 12 months, or in a further 6 month period (allowing time for cases to progress through the courts).

There are a number of differences in the design of the two pilots to enable different PbR approaches to be tested. The Peterborough pilot includes offenders released from custodial sentences of less than 12 months, whereas the Doncaster pilot includes all offenders released from custody regardless of sentence length. Although both pilots will be assessed using a 12 month re-conviction measure, the exact measures used are different in the two pilots (see the next section, *Final re-conviction measures*, for more details).

Additionally, there are a number of other differences between the pilots and the National Statistics proven re-offending measure in terms of which offenders are counted within the cohort. These differences were set out in the PbR contracts; see Table A1 in the *Appendix* for more details.

See Table A1 in the *Appendix* for more detail on the differences between the re-conviction measures used in the pilots and the National Statistics proven re-offending measure.

Final (outcome) re-conviction measures for the prison pilots

For **Peterborough** prison the outcome measure is the frequency of re-conviction events⁷ (based on offences committed within 12 months of release from prison and convicted at court within those 12 months or a further 6 month period). This is often referred to as a frequency measure. Success of each Peterborough cohort will be determined by comparison with a control group (of comparable offenders from across the country), and the results will be published separately.

⁷ If an offender is re-convicted of multiple offences on one sentencing occasion, this counts as one re-conviction event.

For **Doncaster** prison the outcome measure is the proportion of offenders who commit one or more offences in the 12 months following release from prison and are convicted at court in those 12 months or in a further 6 months. This is often referred to as a binary measure. Success will be determined by comparison with the re-conviction rate in the baseline year of 2009.

Interim re-conviction measures for the prison pilots

In publishing these interim figures MoJ aims to give the most useful figures for as much of each cohort as possible at each point in time.

Initially, this is done by providing figures based on periods half the length of those that will be used for the final results, for an increasing proportion of the cohort. These cover offences committed in the 6 months following release from prison, and resulting in conviction at court either in those 6 months or in a further 3 month period (allowing time for cases to progress through the courts).

Subsequently, when the data become available, we will provide figures based on the full period that will be used for the final results: covering offences committed in the 12 months following release from prison, and resulting in conviction at court either in those 12 months or in a further 6 month period, again for an increasing proportion of the cohort.

These figures will be updated on a quarterly basis. See Table A3 in the *Appendix* for a timeline of the publication of these results.

The figures for Peterborough in this bulletin are, for the first time, 12 month re-conviction figures. They cover offenders released in the first 16 months of the cohort 1 period (the full cohort comprises 22 months).

For Doncaster, the figures presented here show 6 month re-conviction rates for all offenders released in cohort 1 (comprising a period of 12 months).

Interpreting interim re-conviction figures

The interim re-conviction figures presented in this statistical bulletin give an indication of progress in the pilots to date. However, care should be taken when interpreting these interim figures for the following reasons:

- The Doncaster figures measure re-convictions within the 6 months after release from prison, rather than 12 months;
- The Peterborough figures are based on a partial cohort;
- For the Peterborough pilot, success will be determined based on comparison with a control group of comparable offenders from across the country, which is not available for these interim results;

Users should therefore be aware that the figures presented provide our best assessment of change at this point in time. The final results will be available in 2014.

Results

Peterborough

The interim figures presented in this statistical bulletin are 12 month re-conviction figures for offenders released from Peterborough in the first 16 months of the cohort 1 period (the full cohort comprises 22 months). For comparison, we have provided equivalent figures for the five years prior to the pilots⁸.

Success of the Peterborough pilot will be measured against a control group of similar offenders released from other prisons, with the target met if the frequency of re-conviction events is 10% lower for the Peterborough cohort than for the control group. It is not possible to replicate that comparison for these interim figures. Instead, in order to provide some context for the Peterborough figures, we have provided equivalent national figures for the same periods. The national figures are based on other local⁹ prisons which exclude Peterborough and Doncaster.

⁸ Figures for Sep09-Jun11 overlap with the pilot cohort 1 period; therefore they should not be used as a baseline for comparison. They have been included to add transparency to the published figures.

⁹ Since HMP Peterborough is a local prison, the underlying characteristics of the prison and its offenders will be more similar to those of local prisons. See Annex D for a definition of local prison.

Table 1 and Figure 1: Peterborough (and national equivalent) interim re-conviction figures using a partial (16 month) cohort and a 12 month re-conviction period

Discharge Period	Peterborough			National		
	No. of offenders	Re-conviction Rate	Frequency of re-conviction events per 100 offenders	No. of offenders	Re-conviction Rate	Frequency of re-conviction events per 100 offenders
Sep05-Dec06	611	57.1%	146	25,982	56.9%	135
Sep06-Dec07	735	57.8%	159	25,463	57.8%	145
Sep07-Dec08	899	56.2%	153	28,737	57.8%	150
Sep08-Dec09	853	55.3%	168	28,673	56.0%	149
Sep09-Dec10	732	53.1%	150	26,810	56.2%	157
Sep10-Dec11	694	52.6%	148	25,793	56.5%	165

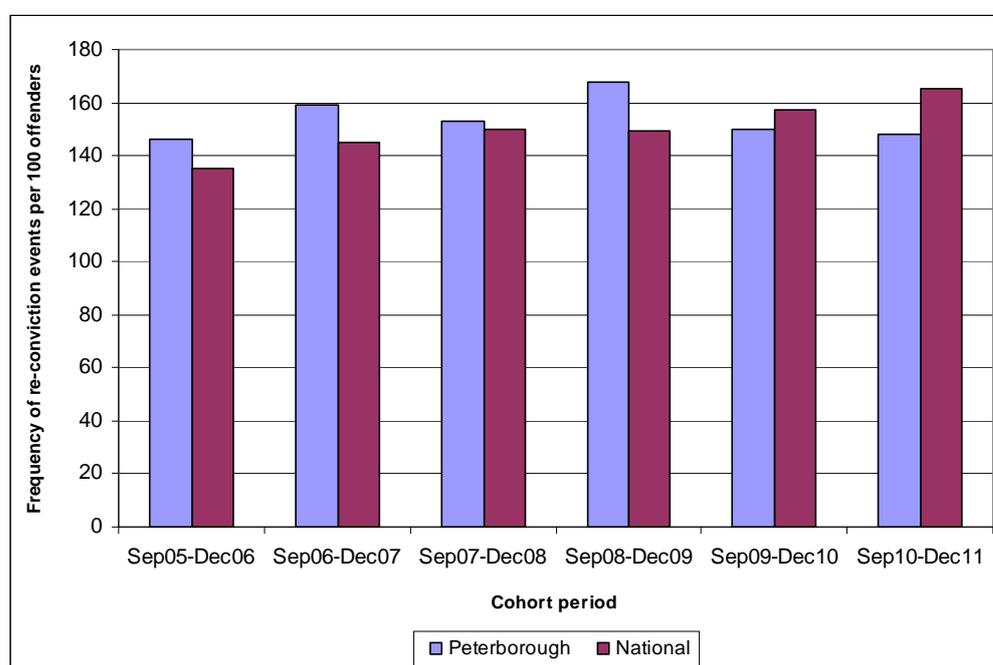


Table 1 above shows that for offenders released from Peterborough between 9 September 2010 and 31 December 2011 (the first 16 months of cohort 1), there were an average of 148 re-conviction events per 100 offenders. This compares to an average of 168 re-conviction events per 100 offenders released from Peterborough between September 2008 and December 2009; a fall of 12%.

Nationally, the equivalent figures show a rise of 11% from 149 to 165 re-conviction events per 100 offenders.

These interim figures show a fall in the frequency of re-conviction events at Peterborough while nationally there has been a substantial rise which is our best assessment of change at this point in time (see the section *Interpreting interim re-conviction figures*). The final results will be available in 2014.

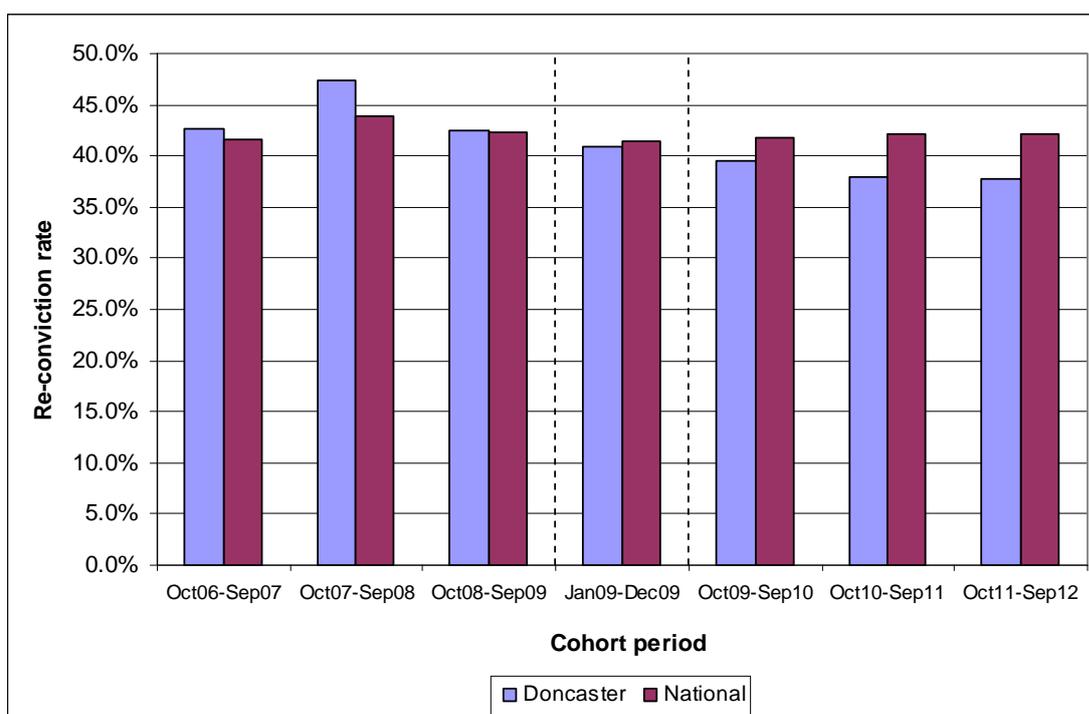
Doncaster

The interim figures presented in this statistical bulletin are 6 month re-conviction figures for offenders released from Doncaster in cohort 1 (comprising a period of 12 months). For comparison, we have provided equivalent figures for the five years prior to the pilot and equivalent national figures for the same periods. The national figures are based on other local¹⁰ prisons which exclude Peterborough and Doncaster.

Success of the Doncaster pilot will be measured against a baseline of calendar year 2009, with the target met if the re-conviction rate for cohort 1 is five percentage points lower than it was in 2009. Therefore, we have also provided equivalent interim figures for the calendar year 2009.

Table 2 and Figure 2: Doncaster (and national equivalent) interim re-conviction figures for cohort 1 with a 6 month re-conviction period

Discharge Period	Doncaster		National	
	No. of offenders	Re-conviction Rate	No. of offenders	Re-conviction Rate
Oct06-Sep07	1,354	42.6%	30,429	41.7%
Oct07-Sep08	1,179	47.3%	35,927	43.8%
Oct08-Sep09	1,256	42.5%	36,393	42.3%
Jan09-Dec09	1,282	41.0%	36,210	41.4%
Oct09-Sep10	1,246	39.6%	36,311	41.8%
Oct10-Sep11	1,276	37.9%	34,915	42.1%
Oct11-Sep12	1,281	37.8%	34,472	42.2%



¹⁰ Since HMP Doncaster is a local prison, the underlying characteristics of the prison and its offenders will be more similar to those of local prisons. See Annex D for a definition of local prison.

Table 2 above shows a 6 month re-conviction rate of 37.8% for offenders released from Doncaster between October 2011 and September 2012 (cohort 1). This compares to 41.0% for offenders from the baseline period of calendar year 2009 (a fall of 3.2 percentage points).

Nationally the equivalent figures show an increase from 41.4% in the baseline year (January 2009 to December 2009) to 42.2% for offenders released between October 2011 and September 2012 (an increase of 0.8 percentage points).

These interim figures show our best assessment of change at this point in time (see the section *Interpreting interim re-conviction figures*). The final results will be available in 2014.

Appendix

Table A1: Comparison of PbR re-conviction and National Statistics proven re-offending measures

	National Statistics measure of proven re-offending (for any prison)	PbR prison pilot re-conviction measures	
		Peterborough (cohort 1)	Doncaster (cohort 1)
The cohort	All offenders who were discharged from custody, over a 12 month period, regardless of sentence length. Excludes those in prison for breach	Male offenders aged 18 or over discharged from Peterborough prison between 9 September 2010 and 1 July 2012 after serving sentences of less than 12 months. Differences from National Statistics: <ul style="list-style-type: none"> Excludes those who serve the whole of their custodial sentence on remand Excludes foreign national offenders recorded as having been deported on release from prison Includes those in prison for breach 	Male offenders aged 18 or over discharged from Doncaster prison between 1 October 2011 and 30 September 2012 regardless of sentence length. Differences from National Statistics: <ul style="list-style-type: none"> Excludes those who serve the whole of their custodial sentence on remand Excludes foreign national offenders recorded as having been deported on release from prison
The period to measure re-convictions/re-offending	12 months for offences to occur and a further 6 months for offences to be proven (through conviction at court or a caution)	12 months for offences to occur and a further 6 months for offences to be re-convicted Note: excludes cautions	12 months for offences to occur and a further 6 months for offences to be re-convicted Note: excludes cautions
The headline measure	Proportion of offenders who commit one or more proven re-offences	Frequency of re-conviction events	Proportion of offenders re-convicted of one or more offences
What counts	Offences committed in the 12 months following release from prison, and proven by conviction at court or a caution either in those 12 months or in a further 6 months	Any re-conviction event (sentencing occasion) relating to offences committed in the 12 months following release from prison, and resulting in conviction at court either in those 12 months or in a further 6 months Note: excludes cautions	Offences committed in the 12 months following release from prison, and resulting in conviction at court either in those 12 months or in a further 6 months Note: excludes cautions

OGRS score data

Re-offending is related to the characteristics of offenders, for example offenders with a large number of previous convictions are more likely to re-offend than those with fewer previous convictions, and changes in re-conviction rates over time can be related to changes in the mix of offenders being worked with rather than a real change in the level of their re-offending.

The Offender Group Reconviction Scale (OGRS)¹¹ is a predictor of re-offending based on age, gender and criminal history, which are risk factors known to be associated with the likelihood of re-offending. OGRS scores range from 0 to 1, with a lower score representing a lower likelihood of re-offending. The scores can be used to compare the relative likelihood of re-offending either over time or between different groups of offenders, with a higher/lower rate meaning a group of offenders who are more/less likely to re-offend. For example if Offender Group A have an average OGRS score of 0.44, and Offender Group B have an average OGRS score of 0.58, this means that Offender Group A are less likely to re-offend.

Tables A2a and A2b show the average OGRS scores for each of the offender groups that are measured in the tables above. These include offenders released from Peterborough and Doncaster prisons as well as those for the equivalent national groups of offenders. The figures are approximate because a small minority of offenders from each group are not included due to some data being unavailable.

Table A2a: Peterborough (and national equivalent) OGRS scores

Discharge Period	Average OGRS score	
	Peterborough	National
Sep05-Dec06	0.50	0.53
Sep06-Dec07	0.51	0.53
Sep07-Dec08	0.50	0.53
Sep08-Dec09	0.51	0.52
Sep09-Dec10	0.49	0.52
Sep10-Dec11	0.49	0.52

¹¹ For more details on OGRS see Ministry of Justice Research Summary 7/09 OGRS 3: *the revised Offender Group Reconviction Scale* at: webarchive.nationalarchives.gov.uk/20110201125714/http://www.justice.gov.uk/publications/offender-assessment-system.htm

Table A2b: Doncaster (and national equivalent) OGRS scores

Discharge Period	Average OGRS score	
	Doncaster	National
Oct06-Sep07	0.56	0.54
Oct07-Sep08	0.58	0.55
Oct08-Sep09	0.57	0.54
Jan09-Dec09	0.56	0.54
Oct09-Sep10	0.55	0.54
Oct10-Sep11	0.55	0.54
Oct11-Sep12	0.53	0.54

Table A3: Timeline for publication of interim re-conviction figures

	January 2014	April 2014
Peterborough	12 month re-conviction figures for 19 months of cohort 1	12 month re-conviction figures for entire cohort 1
Doncaster	12 month re-conviction figures for 6 months of cohort 1	12 month re-conviction figures for 9 months of cohort 1

Table A4: Latest outturn figures

Prison / Area	Start date of pilot	Length of pilot	Number of eligible participants for Cohort 1	Number of eligible participants to date for Cohort 2
Peterborough Social Impact Bond (SIB)	9 September 2010	Six years	1,034 ¹²	537 ¹³
HMP Doncaster	1 October 2011	Four years	1,472 ¹⁴	981 ¹⁵

¹² Eligible participants from Cohort 1 from 9 September 2010 to 1 July 2012.

¹³ Eligible participants from Cohort 2 from 2 July 2012 to 30 June 2013.

¹⁴ Eligible participants from Cohort 1 from 1 October 2011 to 30 September 2012. This figure is revised down from previous publications to exclude those offenders which have been identified as not meeting the eligibility criteria for the PbR pilot.

¹⁵ Eligible participants from Cohort 2 from 1 October 2012 to 30 June 2013.

Annex B

How the measure of proven re-offending has changed and the effect of these changes

Background

The MoJ launched a statistical consultation on improvements to the transparency and accessibility of our information in 2010 and a response to the consultation was published in March 2011¹⁶. One aspect of the consultation was the measurement of proven re-offending.

Prior to the consultation there were six different measures of proven re-offending:

- National adult proven re-offending;
- Local adult proven re-offending;
- National youth proven re-offending;
- Local youth proven re-offending;
- Prolific and other priority offending (PPO); and
- Drug-misusing proven offending.

The new approach to measuring proven re-offending integrates these approaches into a single framework. This allows users to:

- form a clear picture of proven re-offending at national and local levels;
- compare adult and youth results, and enable other work on transition between the youth and adult criminal justice systems;
- understand how results for different offender groups (such as those managed by the prison and probation services, those under the PPO schemes, drug-misusing offenders, first time entrants, etc.) fit in to the overall picture on proven re-offending; and
- continue to analyse proven re-offending behaviour for particular types of offender.

¹⁶ The response to the consultation is available here: webarchive.nationalarchives.gov.uk/20111121205348/http://www.justice.gov.uk/consultations/565.htm

Comparing trends in re-offending

Table B1 shows the proportion of offenders with a proven re-offence/re-conviction using the new measure of re-offending and the previous measures of adult re-conviction and juvenile re-offending. Comparisons we make use cohorts up to 2009.

Re-offending rates are lower using the new measure than using the previous measure. The differences are as follows:

- For adult and juvenile offenders the new measure is based on all offenders released from custody, receiving a non-custodial conviction at court, a caution, reprimand, warning or tested positive for opiates or cocaine over a 12 month period, but the previous measures only included offenders released from custody or commencing a court order in the first three months of the year. Using a three month sample over-represents prolific offenders in comparison to a full year's worth of data.
- For adults the new measure counts all offenders including those who received a caution, fine or discharge, where the previous adult measure only included those who commenced a court order or who were discharged from custody.
- For adult offenders, the new measure is a measure of proven re-offending (which counts offences proven through a court conviction or a caution) whereas the previous measure is a measure of re-conviction (which only counts offences proven through a court conviction).

As a result, re-offending rates are 14.4 percentage points lower for adults and rates for juveniles are 4.1 percentage points lower using the new measure.

However, the re-offending rates are similar for adults given a court order or who received a custodial sentence, including those given a sentence of less than 12 months. Rates are between 1.0 and 2.6 percentage points lower using the new measure.

Table B1: Re-offending using the new and previous measures, 2009

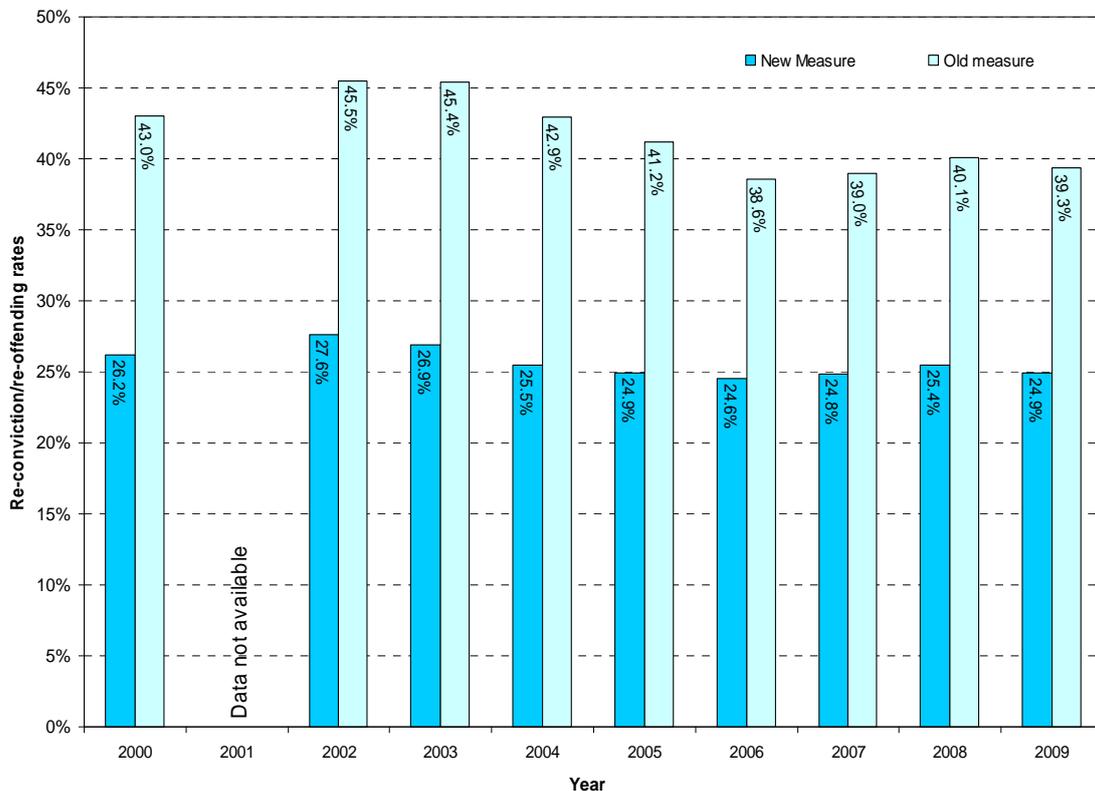
	New measure	Previous measure(s)
Adults	24.9	39.3
Juveniles	32.8	36.9
Adults given a court order	34.5	35.5
Adults given a custodial sentence	46.8	48.5
Adults given a custodial sentence of less than 12m	56.8	59.4

Figure B1 shows re-offending rates for adult offenders between 2000 and 2009 using the new and previous measure.

For 2009, 24.9% of adult offenders have a proven re-offence within 12 months using the new measure compared to 39.3% using the previous re-conviction

measure. However, trends for adult offenders are similar using the two measures. The proportion of offenders with a proven re-offence/re-conviction rose between 2000 and 2002, fell between 2002 and 2006, rose between 2006 and 2008, and fell between 2008 and 2009 using both measures.

Figure B1: Adult re-conviction/re-offending, by re-offending measure, 2000, 2002 to 2009

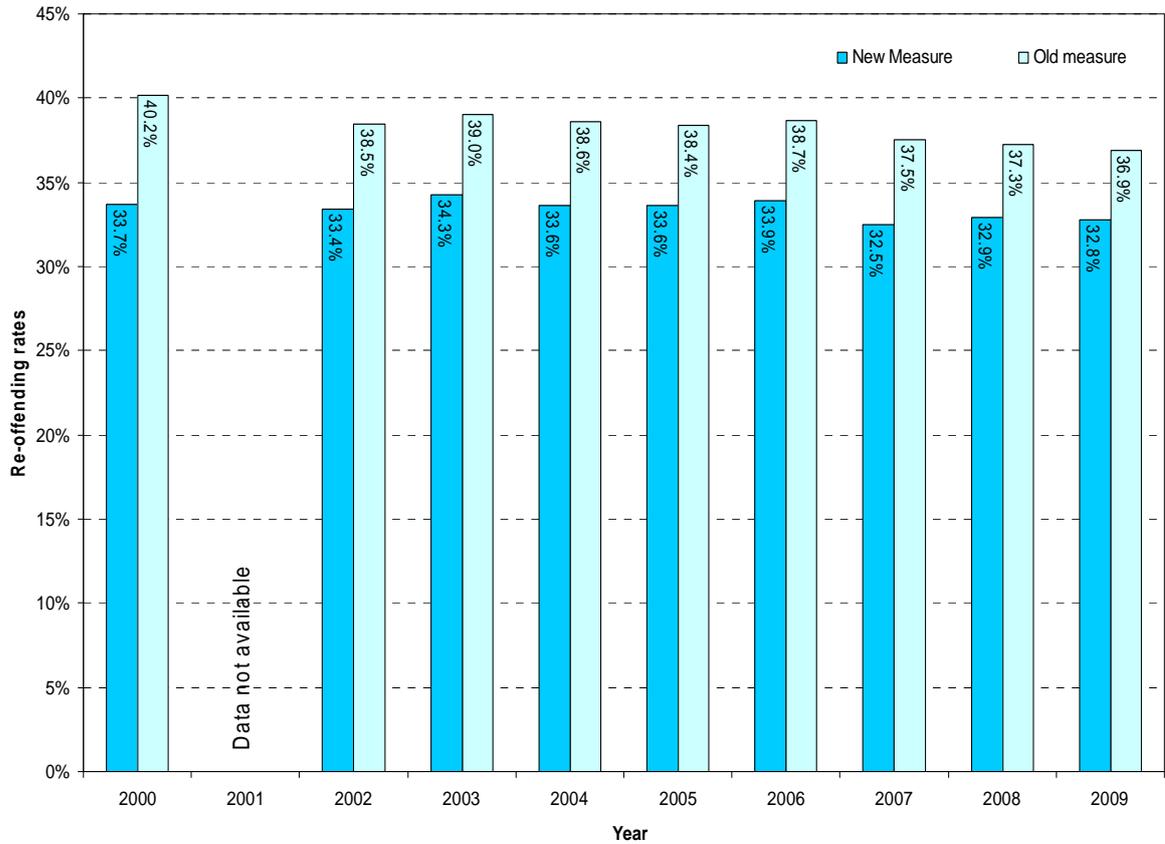


The overall reduction in re-offending is smaller using the new measure (1.2 percentage points between 2000 and 2009 and 0.5 percentage points between 2008 and 2009) than using the previous measure (3.7 percentage points between 2000 and 2009 and 0.8 percentage points between 2008 and 2009).

Figure B2 shows re-offending rates for juvenile offenders between 2000 and 2009 using the new and previous measure.

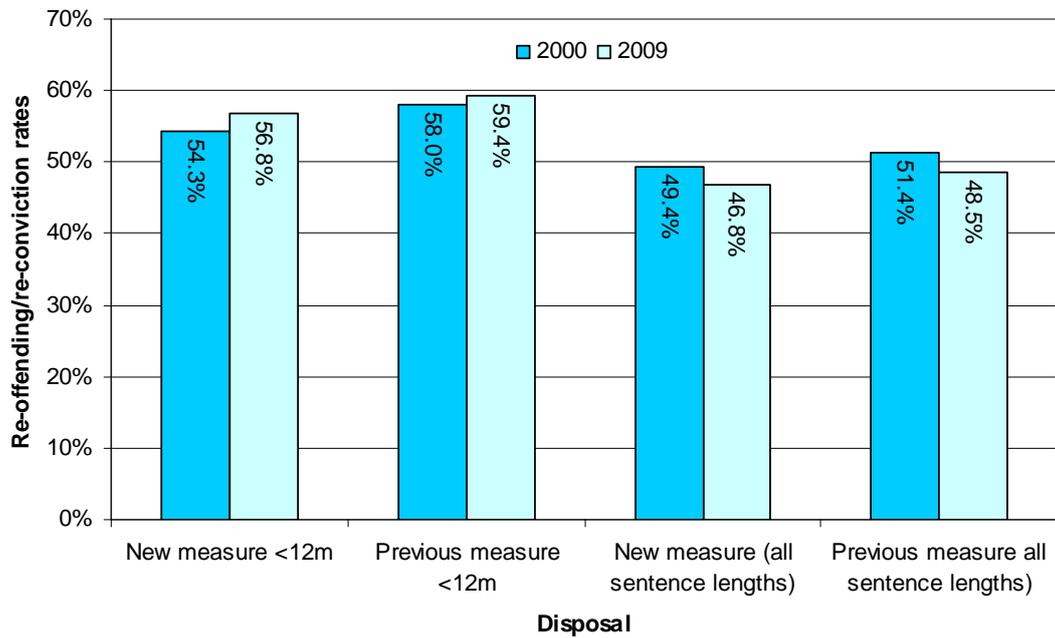
In 2009, 32.8% of young offenders re-offended within 12 months using the new measure compared to 36.9% using the previous measure. The reduction in re-offending is smaller using the new measure (0.9 percentage points between 2000 and 2009 and 0.1 percentage points between 2008 and 2009) than using the previous measure (3.3 percentage points between 2000 and 2009 and 0.4 percentage points between 2008 and 2009). Overall, the trends are broadly similar.

Figure B2: Juvenile re-offending, by re-offending measure, 2000, 2002 to 2009



Trends in proven re-offending/re-conviction rates for adult custodial offenders are similar using the new and previous measures. The proportion of offenders given a custodial sentence of less than 12 months who re-offended rose between 2000 and 2009 using both measures (by 2.6 percentage points using the new measure and 1.4 percentage points using the previous measure). The proportion of offenders given any custodial sentence who re-offended fell between 2000 and 2009 using both measures (by 2.6 percentage points using the new measure and 2.9 percentage points using the previous measure).

Figure B3: Adult re-conviction/re-offending of custodial offenders, by re-offending measure and sentence, 2000 and 2009



The change in methodology

The following sections provide detail regarding the change in methodology between the methods in measuring re-offending and how those changes impact on the data.

The table below provides a comparison of the previous methodologies with the new approach.

Table B2: Re-offending using the new and previous measures

Comparison of previous and new measures of proven re-offending

	Previous measures of re-offending						New measure of re-offending
	National adult re-conviction	Local adult re-offending	National youth re-offending	Local youth re-offending	Prolific and other Priority Offending (PPO)	Drug-misusing offending	
The cohort	Offenders aged 18+ discharged from custody or commencing court orders under probation supervision between January to March	Offenders aged 18+ on the probation caseload at the end of each calendar quarter	Offenders aged 10-17 discharged from custody, receiving a court conviction or receiving a caution/reprimand or final warning between January and March	Offenders aged 10-17 discharged from custody, receiving a court conviction or receiving a caution/reprimand or final warning between January and March	All offenders identified as being on the PPO scheme as at 1 April	All Class A drug offenders identified through positive drug tests on arrest, OASyS or drug requirement as part of a court order, CJIT identification, or identification on prison release between January and March	All offenders who received a caution/reprimand or warning, were convicted at court (other than custody), were discharged from custody, or tested positive for cocaine or opiates on arrest over a 12 month period.
The follow-up period to measure re-offending	12 months for offences to occur and a further 6 months for offences to be proved	3 months for offences to occur and a further 3 months for offences to be proved	12 months for offences to occur and a further 6 months for offences to be proved	12 months for offences to occur and a further 3 months for offences to be proved	12 months for offences to occur and a further 3 months for offences to be proved	12 months for offences to occur and a further 3 months for offences to be proved	12 months for offences to occur and a further 6 months for offences to be proved

The headline measure	Frequency of re-offending (the number of proven re-offences per 100 offenders)	Proportion of offenders re-offending, compared to the rate that would be expected based on the offender characteristics	Frequency of re-offending (the number of proven re-offences per 100 offenders)	Frequency of re-offending (the number of proven re-offences per 100 offenders)	Number of further offences compared to number in previous year, against the reduction that would be expected given time on the PPO scheme	Number of further offences compared to what would be expected based on their previous offending history	Proportion of offenders re-offending We also include information on the frequency of re-offending and information on the predicted rate based on offender characteristics
What counts as a proven re-offence	Offences committed within the follow-up period which were proved by a court conviction either within the follow-up period or in a further six months	Offences committed within the follow-up period which were proved by a court conviction or caution either within the follow-up period or in a further three months	Offences committed within the follow-up period which were proved by a court conviction or reprimand or final warning either within the follow-up period or in a further six months	Offences committed within the follow-up period which were proved by a court conviction or reprimand or final warning either within the follow-up period or in a further three months	Offences committed within the follow-up period which were proved by a court conviction or caution either within the follow-up period or in a further three months. Breach offences that lead to substantive recorded convictions are included	Offences committed within the follow-up period which were proved by a court conviction either within the follow-up period or in a further three months	Offences committed within the follow-up period which were proved by a court conviction or caution/reprimand or warning either within the follow-up period or in a further six months

Use of a predicted rate	A predicted rate of re-offending was included for the proportion of offenders expected to re-offend based on their characteristics Logistic regression was used	A predicted rate of re-offending was included for the proportion of offenders expected to re-offend based on their characteristics Logistic regression was used	A predicted rate of re-offending was included for the proportion of offenders expected to re-offend based on their characteristics Logistic regression was used	No predicted rate	Evidence on the link between time on the PPO scheme and expected reductions in further offending were used to assess reductions in number of offences compared to the previous year	A predicted rate of re-offending was included for the proportion of offenders expected to re-offend based on their previous criminal history Response surface methodology was used	A predicted rate of re-offending is included for the proportion of offenders expected to re-offend based on their characteristics Logistic regression is used
Data source	Police National Computer	Police National Computer	Police National Computer	Youth Offending Teams data	Police National Computer	Police National Computer	Police National Computer
Geographic breakdown	None	Region, Probation area, Local Authority	None	Youth Offending Team level	Police Force and Local Authority level	Drug Action team and Local Authority level	Upper and lower tier local authority areas for all offenders. Other breakdowns for specific categories of offender.

The effect of the changes

Adults

Differences in methodology are reflected in different results. Table B3 shows the impact on reported rates of adult re-conviction/re-offending. The table breaks down the changes between the previous measure and the new measure to identify the different effects of the changes in methodology.

Table B3: Re-offending/re-convictions data for adult offenders, 2000, 2002 to 2009

	Previous ¹ measure: re-convictions (prison and probation offenders only), first quarter of the year	Previous measure: re-convictions (prison and probation offenders only), whole year	New measure: re-offending (prison and probation offenders only), whole year	New measure: proven re-offending (all offenders), whole year
		Proportion		
2000	43.0	40.0	40.9	26.2
2002	45.5	42.0	43.0	27.6
2003	45.4	41.5	42.4	26.9
2004	42.9	38.6	39.8	25.5
2005	41.2	36.6	38.4	24.9
2006	38.6	35.6	37.6	24.6
2007	39.0	35.9	37.9	24.8
2008	40.1	36.1	37.9	25.4
2009	39.3	34.7	36.2	24.9
		Frequency (average per offender)		
2000	1.85	1.66	1.69	0.89
2002	2.13	1.84	1.87	0.99
2003	2.05	1.73	1.76	0.93
2004	1.81	1.51	1.54	0.83
2005	1.66	1.36	1.40	0.77
2006	1.44	1.26	1.31	0.73
2007	1.47	1.27	1.32	0.73
2008	1.55	1.27	1.31	0.75
2009	1.41	1.15	1.18	0.70
		Frequency of re-offenders (average per re-offender)		
2000	4.30	4.15	4.13	3.39
2002	4.68	4.39	4.36	3.59
2003	4.52	4.18	4.15	3.44
2004	4.23	3.91	3.87	3.27
2005	4.03	3.70	3.65	3.10
2006	3.73	3.54	3.48	2.95
2007	3.78	3.53	3.48	2.94
2008	3.88	3.51	3.46	2.93
2009	3.57	3.31	3.27	2.80
		Number of offenders		
2000	42,734	148,052	148,052	477,698
2002	43,247	157,243	157,243	495,664
2003	44,095	159,686	159,686	520,660
2004	46,532	163,775	163,775	512,600
2005	43,429	170,021	170,021	532,045
2006	50,281	181,726	181,726	571,458
2007	50,085	190,418	190,418	595,020
2008	53,718	197,035	197,035	589,948
2009	56,616	200,077	200,077	576,255

1. Based on the national adult re-convictions publication (March 2011)

Among adult offenders in 2009, the previous national measure (the first column) shows that 39.3% of adult offenders were re-convicted within a year based on a sample of 56,616 offenders.

The second column shows the re-conviction rates from the previous measure looking at offenders who were released from custody or commenced a court order, but at any point during the year. The inclusion of offenders from a full 12 month period means the results are calculated using the full proven offender population rather than a sample – this ensures we do not over-represent prolific offenders in the cohort, which is a problem in using a January to March sample as in the previous adult re-conviction measure.

This leads to a lower proportion of re-convicted offenders (between three and five percentage points, e.g. 34.7% compared to 39.3% in 2009). The change to a full year also increases the number of offenders, to 200,077 in 2009¹⁷.

The third column shows the proven re-offending rates from the new measure, but still based only on those offenders who were released from custody or commenced a court order at any point during the year. Proven re-offending includes offences which result in a caution in addition to those resulting in a conviction at court. The proportion of offenders who were proven to re-offend is between one and two percentage points higher than for those who were re-convicted (36.2% compared to 34.7% in 2009). There is little difference at this stage because we are still only considering offenders who already have a prison or a court order.

The fourth column shows the re-offending rates from the new measure looking at all adult offenders who received a caution, a conviction at court, discharged from custody, or tested positive for cocaine or opiates. The inclusion of these offenders increases the numbers considerably. In 2009, the previous adult measure tracks the re-offending behaviour of 56,616 offenders; the new measure tracks 576,255 offenders. The inclusion of offenders who received less severe disposals and are generally less prolific in nature reduces the proportion who re-offend by around 11 to 16 percentage points (36.2% compared to 24.9% in 2009).

Change over time

Compared to the previous measure, the reduction over time in the proportion of offenders who re-offend is much lower using the new measure. Using the previous measure, between 2000 and 2009, the proportion of offenders who were re-convicted fell 3.7 percentage points (from 43.0 to 39.3%). Using the new measure, the proportion of offenders who committed a proven re-offence fell 1.2 percentage points (from 26.2 to 24.9%).

Juveniles

¹⁷ The previous measure includes offenders released from custody or who commenced a court order in the first three months of the year, shown in column one. Column two includes offenders released from custody or who commenced a court order in the 12 month period. The number of offenders shown in column two is less than four times as many as in column one. This is because some offenders commence a court order or are discharged from custody more than once in a year. These calculations only count each offender once e.g. offender Y is discharged from custody in the first quarter of the year, and discharged again in the second quarter, but he is only counted as a single offender

The only change between the previous measure and the new measure of re-offending among young people is the move from a one quarter sample to including all young offenders over the period of a year.

Table B4: Re-offending data for juvenile offenders, 2000, 2002 to 2009

	Previous measure ¹ proven re-offending	New measure proven re- offending
Proportion		
2000	40.2	33.7
2002	38.5	33.4
2003	39.0	34.3
2004	38.6	33.6
2005	38.4	33.6
2006	38.7	33.9
2007	37.5	32.5
2008	37.3	32.9
2009	36.9	32.8
Frequency (average per offender)		
2000	1.51	1.12
2002	1.42	1.10
2003	1.42	1.09
2004	1.32	1.03
2005	1.25	0.98
2006	1.23	0.97
2007	1.16	0.90
2008	1.14	0.91
2009	1.10	0.90
Frequency of re-offenders (average per re-offender)		
2000	3.77	3.32
2002	3.69	3.29
2003	3.63	3.19
2004	3.43	3.06
2005	3.26	2.91
2006	3.18	2.86
2007	3.08	2.77
2008	3.06	2.75
2009	2.99	2.75
Number of offenders		
2000	41,176	139,326
2002	40,753	136,401
2003	40,297	138,379
2004	44,153	149,452
2005	45,337	163,545
2006	48,938	171,061
2007	52,544	171,454
2008	44,837	145,579
2009	37,472	121,107

1. Based on the national juvenile re-offending publication (March 2011) publication

As for adults, using the whole year reduces the proportion of offenders who re-offended because we do not over-represent prolific offenders in the cohort, which is a problem in using a January to March sample. Table B4 shows the reduction is between four and seven percentage points.

For 2009, with the previous measure, 36.9% commit a proven re-offence within one year; with the new measure, 32.8% do so. The new measure,

which is based on offenders from a 12 month period, includes over three times as many offenders as the existing measure.

Change over time

Compared to the previous measure, the reduction in the proportion of offenders who re-offend between 2000 and 2009 is much lower using the new measure.

Using the previous measure, between 2000 and 2009, the proportion of offenders who were proven to re-offend fell 3.3 percentage points (from 40.2 to 36.9%). Using the new measure, the proportion of offenders who committed a proven re-offence fell 0.9 percentage points (from 33.7 to 32.8%).

Drug-misusing offenders

Published results for drug-misusing offenders on the previous measure covered 2008 and 2009; results using the new measure cover from 2004 onwards.

The previous measure:

- Includes offenders who have been identified in the first quarter of the year, whereas the new measure includes offenders from any point during the year.
- Includes all drug-misusing offenders irrespective of the date of proven offence, whereas the new measure includes identified drug-misusing offenders who have received a caution, been convicted at court, been discharged from custody, or tested positive for cocaine or opiates on arrest during a 12 month period.
- Counts re-offences that were proven through a court conviction, whereas the new measure counts re-offences that were proven by a court conviction or caution.

As for adult and juvenile offenders, using the whole year to identify offenders reduces the proportion of offenders who re-offend, because we do not over-represent prolific offenders in the cohort, which is a problem in using a January to March sample. Table B5 shows the impact on reported rates of re-offending/re-conviction by drug-misusing offenders.

Table B5: Re-offending data for drug-misusing offenders, 2004 to 2009

	Previous measure ¹ proven re-conviction	New measure of proven re-offending
Proportion		
2004		67.3
2005		65.3
2006		58.6
2007		57.2
2008	61.0	56.4
2009	57.0	54.7
Frequency (average per offender)		
2004		3.20
2005		2.94
2006		2.37
2007		2.34
2008	2.6	2.29
2009	2.2	2.10
Frequency of re-offenders (average per re-offender)		
2004		4.75
2005		4.51
2006		4.03
2007		4.09
2008	4.3	4.06
2009	3.9	3.84
Number of offenders		
2004		20,652
2005		29,112
2006		44,597
2007		54,474
2008	20,934	59,039
2009	20,109	53,109

1. Based on the national drug-misusing offenders publication (December 2010)

Table B5 shows that the proportion of offenders who commit a proven re-offence is between two and five percentage points lower using the new measure (57.0% using the previous measure compared to 54.7% using the new measure). The new measure, which follows offenders over a 12 month period, includes between two and three times as many offenders as the existing measure.

Prolific and other priority offenders

Published results for prolific and other priority offenders (PPOs) on the previous measure presented the frequency of proven re-offending for all PPOs; results using the new measure cover the proportion of offenders proven to re-offend, and the frequency of proven re-offending for all offenders and for re-offenders from 2005 onwards.

The previous measure:

- Includes offenders who have been identified in the first quarter of the year, whereas the new measure includes offenders from any point during the year. However, PPOs are generally on the PPO programme for a sustained period of time so this only has a moderate impact on numbers of offenders included.
- Includes all identified PPOs, whereas the new measure includes identified PPOs who have tested positive for cocaine or opiates, received a caution, been convicted at court, or been discharged from custody during a 12 month period.
- Counts re-offences that are proven through a court conviction or caution and also includes breach offences that lead to substantive recorded convictions. The new measure only includes re-offences proven through a court conviction or caution.

Table B6 shows the impact on reported rates of proven re-offending by PPOs and on numbers of offenders included in the measure.

Table B6: Re-offending data for Prolific and other Priority Offenders, 2005 to 2009

	Previous measure ¹ proven re-offending	New measure of proven re-offending
Proportion		
2005		77.0
2006		75.7
2007		75.8
2008		77.2
2009	56.0	75.1
Frequency (average per offender)		
2005		4.01
2006		3.83
2007		3.80
2008	2.6	3.80
2009	2.4	3.49
Frequency of re-offenders (average per re-offender)		
2005		5.21
2006		5.06
2007		5.01
2008		4.93
2009	4.3	4.65
Number of offenders		
2005		8,555
2006		8,239
2007		8,309
2008	10,771	8,607
2009	10,635	8,156

1. Based on the national Prolific and other Priority Offenders publication (March 2010)

The average number of proven re-offences committed by PPOs in 2009 is lower for the previous measure than for the new measure in 2008 (2.4 re-offences per offender using the previous measure, but 3.49 using the new).

The previous measure includes PPOs who have not been proven guilty of an offence or been discharged from custody in the 12 month period when the re-offending cohort is formed. This type of offender is likely to have a lower level of re-offending.

These differences may help to explain:

- why the frequency of re-offending is lower for the previous measure than for the new measure in 2009 (2.4 re-offences per offender using the previous measure, but 3.49 using the new); and
- why the previous measure includes nearly 2,500 more PPOs in 2009 than does the new measure.

Local adult re-offending

The most similar results for the new measure of re-offending and the existing local measure of adult re-offending are the early estimates of re-offending of offenders given a court order. Like the existing measure of local adult re-offending, the early estimates of offenders given a court order:

- measure re-offending over three months;
- only measures offenders under probation supervision;
- provides results by probation trust; and
- compares actual re-offending rates with a predicted re-offending rate.

There remain significant differences between the early estimates and the existing local adult measure of re-offending, including:

- The existing local adult measure includes offenders on licence – the early estimates include offenders commencing court orders only;
- The existing local adult measure uses a 'snapshot' approach. This means offenders are counted if they are on the caseload at certain times in the year. Offenders who are on the caseload for a short period of time may not get counted with the existing measure. The early estimates count every offender who commences a court order;
- Because the existing local adult measure uses a 'snapshot' approach some offenders may get counted up to four times if they are on the caseload for over 12 months. The early estimates count every offender once;

- The existing local adult measure measures the re-offending of offenders at any point during the court order – the early estimates measure re-offending in the first three months after an offender commenced a court order; and
- The predicted score for the existing local adult measure was derived from analysis of 2007 re-offending data and the prediction for the early estimates was derived from analysis of 2008 re-offending data.

These differences explain why the re-offending rate is higher with the early estimates of re-offending by offenders commencing a court order than with the existing measure of local adult re-offending:

- offenders on licence have lower rates of re-offending than those commencing a court order; and
- offenders serving a court order have lower rates of re-offending the longer they are on that court order.

However, the prediction for the early estimates has been tailored specifically to the relevant group of offenders.

Local youth re-offending

The previous measure of youth re-offending used data that Youth Offending Teams (YOT) collected themselves from their local police and courts. The measure was used as management information and was never published or put into the public domain. The new measure uses data from the Police National Computer (PNC). Internal analysis and discussion with stakeholders has highlighted a number of differences between the two data sources:

- The PNC includes a number of offenders who have received a reprimand or final warning which do not always appear on the YOT systems. As a result, there are more youth offenders and a higher overall youth re-offending rate using the new measure than using the previous local youth re-offending measure.
- The PNC includes more comprehensive data on re-offending as adults by offenders who originally offended as youths.
- Using PNC data reduces the data-collection burden on YOT and local police forces.
- PNC data measures re-offending on recordable offences and YOT data measures re-offending on all offences. Offences which are not recordable include speeding offences, parking offences and other minor motoring offences. As a result, YOT data is more comprehensive for motoring re-offences.

- The new measure allocates offenders to a locality using their home address data from the PNC; the previous local youth measure allocated offenders using offender management data. As a result, Looked After Children (LAC) who are in foster care, or in a children's home, or in a boarding school or live with another adult known to children's services, maybe allocated to a different YOT under the previous youth measure than the new measure.
- For their re-offending to be included in the new measure, administrative data on young people in custody and secure accommodation has to be matched to the PNC. Some cases are not successfully matched. This process was not required for these offenders to be included in the previous local youth measure. As a result, YOT data can be more comprehensive regarding custodial offenders or those in secure accommodation.
- Using PNC data provides an external measure of youth re-offending, which makes it an appropriate data-source to support any future policies which tie local funding to re-offending performance.
- Using PNC data allows local youth re-offending to be measured on the same basis as national youth re-offending and adult re-offending, permitting adult and youth re-offending to be measured on a like-for-like basis and a more comprehensive picture of re-offending to be formed.

Work is underway to fully quantify the extent of these differences.

Annex C

Planned changes to upcoming publications

We are planning to make some changes to this bulletin which are outlined below. If you would like to comment on any of these proposals or if you have any other feedback or questions about this statistical bulletin, or requests for further information, please direct them to the appropriate contact provided at the end of this report.

Annex B

It is now two years since we introduced the proven re-offending measure, so we have decided to remove Annex B '*How the measure of proven re-offending has changed and the effect of these changes*' from the next bulletin and instead publish it as a standalone document alongside the 'definitions and measurement' document.

Table changes

Most of the tables are updated on a quarterly basis, with tables 9 to 12 updated and published annually in October. We are now planning to move to an annual update for the tables listed below.

Annual tables	Description	Reason for change
Table 4 (a,b,c)	Adult and juvenile proven re-offending data, by ethnicity	Little quarterly change and no users identified
Table 7 (a,b)	Adult, juvenile proven re-offending data, by number of previous custodial sentences	Little quarterly change and no users identified
Table 13 (a,b,c)	Proven re-offending of adult and juvenile offenders, by upper-tier local authority	Too volatile to infer trends on a quarterly basis
Table 14 (a,b,c)	Proven re-offending of adult and juvenile offenders, by lower-tier local authority	Too volatile to infer trends on a quarterly basis
Table 15	Proven re-offending of adult drug-misusing offenders, by Drug Action Team	Impending changes to data supply
Table 16	Proven re-offending of adult and juvenile prolific and other priority offenders, by upper-tier local authority	Too volatile to infer trends on a quarterly basis
Table 17	Juvenile proven re-offending data, by Youth Offending Team and upper-tier local authority	Too volatile to infer trends on a quarterly basis
Table 20	Adult proven re-offending data, by most frequently-used combinations of requirements for offenders starting Community Orders	Little quarterly change and no users identified
Table 21	Adult proven re-offending data, by most frequently-used combinations of requirements for offenders starting Suspended Sentence Orders	Little quarterly change and no users identified
Table 25	Proven re-offending data for adult offenders released from prison on licence, by probation trust	Requires an additional data matching exercise

As outlined in the above table, the three main reasons for making this change to annual reporting are;

1. Impending changes to the way some data is collected could result in inconsistent quarterly reporting, particularly over the period when new data systems are being introduced (annual statistics would be unaffected).
2. Breakdowns at a lower level of geography often produce figures that are too volatile to infer trends; producing these figures on a quarterly basis can wrongly suggest its use as a performance management tool.
3. For some breakdowns there are no identified end users and there is very little change in figures from quarter to quarter which suggests an annual update is more suitable.

We believe that producing these tables annually will provide the best balance between providing a clear description of the main trends in the data and the level of detail required for offender management at both national and local level.

Annex D

Glossary of terms

Re-offending terms

Cohort – this is the group of individuals whose re-offending is measured.

Index offence – the index offence is the proven offence that leads to an offender being included in the cohort.

Index disposal – the index disposal of the offender is the type of sentence the offender received for their index offence.

Start point (also known as the index date) – this is the set point in time from when re-offences are measured.

Follow-up period – this is the length of time proven re-offending is measured over.

Waiting period – this is the additional time beyond the follow-up period to allow for offences committed towards the end of the follow-up period to be proved by a court conviction, caution, reprimand or final warning.

Adjusted to baseline – proven re-offending is related to the characteristics of offenders which means that any overall rate of proven re-offending will depend, in part, on the characteristics of offenders coming into the system (just as the examination pass rate of a school will be related to the characteristics of its pupils). We use a modelling technique to produce a baseline figure adjusted to match the characteristics of the cohort we are comparing. Please refer to the ‘Definitions and Measurement’ document for more detail at: www.gov.uk/government/collections/proven-reoffending-statistics.

Re-conviction – where an offender is convicted at court for an offence committed within a set follow-up period and convicted within either the follow-up period or waiting period.

Proven re-offence – where an offender is convicted at court or receives some other form of criminal justice sanction for an offence committed within a set follow-up period and disposed of within either the follow-up period or waiting period.

Cohort used in the Proven Re-offending Statistics Quarterly Bulletin – the proven re-offending cohort consists of all offenders discharged from custody, otherwise sanctioned at court, receiving a caution, reprimand or warning or tested positive for opiates or cocaine in each year. This cohort’s

criminal history is collated and criminal behaviour is tracked over the following one year. Any offence committed in this one year period which is proven by a court conviction or out-of-court disposal (either in the one year period, or in a further six months waiting period) counts as a proven re-offence.

Cohort used in the Local Adult Re-offending Quarterly Bulletin – the local adult re-offending measure takes a snapshot of all offenders, aged 18 or over, who are under probation supervision at the end of a quarter, and combines four such snapshots together. This cohort's criminal history is collated and criminal behaviour is tracked over the following three months. Any offence committed in this three month period which is proven by a court conviction or out-of-court disposal (either in the three month period, or in a further three months waiting period) counts as a proven re-offence. The latest available publication is the Local Adult Re-offending: 1 April 2012 – 31 March 2013, England and Wales; Ministry of Justice, August 2013.

www.gov.uk/government/organisations/ministry-of-justice/series/reoffending-statistics

Disposal (sentence type)

Fine – a financial penalty imposed following conviction.

Court orders – court orders include community sentences, community orders and suspended sentence orders supervised by the Probation Service. They do not include any pre or post release supervision.

Criminal Justice Act 2003 (CJA03) – for offences committed on or after 4 April 2005, the new community order replaced all existing community sentences for adults. The Act also introduced a new suspended sentence order for offences which pass the custody threshold. It also changed the release arrangements for prisoners. See Appendix A of Offender Management Caseload Statistics 2009 for more information.

Community order – for offences committed on or after 4 April 2005, the new community order introduced under the CJA 2003 replaced all existing community sentences for those aged 18 years and over. This term refers to all court orders except suspended sentence orders and deferred sentences which may have a custodial component to the sentence. The court must add at least one, but could potentially add all 12 requirements depending on the offences and the offender. The requirements are:

- unpaid work (formerly community service/community punishment) – a requirement to complete between 40 and 300 hours' unpaid work;
- activity – for example, to attend basic skills classes;
- programme – there are several designed to reduce the prospects of re-offending;

- prohibited activity – a requirement not do so something that is likely to lead to further offence or nuisance;
- curfew – which is electronically monitored;
- exclusion – this is not used frequently as there is no reliable electronic monitoring yet available;
- residence – requirement to reside only where approved by probation officer;
- mental health treatment (requires offender's consent);
- drug rehabilitation (requires offender's consent);
- alcohol treatment (requires offender's consent);
- supervision – meetings with probation officer to address needs/offending behaviour; and
- attendance centre – between a minimum of 12 hours and a maximum of 36 in total which includes three hours of activity.

Typically, the more serious the offence and the more extensive the offender's needs, the more requirements there will be. Most orders will comprise of one or two requirements, but there are packages of several requirements available where required. The court tailors the order as appropriate and is guided by the Probation Service through a pre-sentence report.

Suspended sentence order (SSO) – the CJA 2003 introduced a new suspended sentence order which is made up of the same requirements as a community order and, in the absence of breach is served wholly in the community supervised by the Probation Service. It consists of an 'operational period' (the time for which the custodial sentence is suspended) and a 'supervision period' (the time during which any requirements take effect). Both may be between six months and two years and the 'supervision period' cannot be longer than the 'operational period', although it may be shorter. Failure to comply with the requirements of the order or commission of another offence will almost certainly result in a custodial sentence.

Pre CJA03 Court Orders – Community sentences

Community punishment order (CPO) – the offender is required to undertake unpaid community work.

Community rehabilitation order (CRO) - a community sentence which may have additional requirements such as residence, probation centre attendance or treatment for drug, alcohol or mental health problems.

Community punishment and rehabilitation order (CPRO) – a community sentence consisting of probation supervision alongside community

punishment, with additional conditions like those of a community rehabilitation order.

Drug treatment and testing order (DTTO) – a community sentence targeted at offenders with drug-misuse problems.

Custody – the offender is awarded a sentence to be served in prison or a Young Offenders Institute (YOI). If the offender is given a sentence of 12 months or over, or is aged under 22 on release, the offender is supervised by the Probation Service on release. It is important to note that the sentence lengths and youth disposals awarded will be longer than the time served in custody. For more information please refer to Appendix A of Offender Management Caseload Statistics 2009.

Short sentences (under 12 months) – those sentenced to under 12 months (made under the Criminal Justice Act 1991) spend the first half of their sentence in prison and are then released and considered ‘at risk’ for the remaining period. This means they are under no positive obligations and do not report to the Probation Service, but if they commit a further imprisonable offence during the ‘at risk’ period, they can be made to serve the remainder of the sentence in addition to the punishment for the new offence. The exception to this is those aged 18 to 20 who have a minimum of three month’s supervision on release.

Sentences of 12 months or over – the CJA03 created a distinction between standard determinate sentences and public protection sentences. Offenders sentenced to a standard determinate sentence serve the first half in prison and the second half in the community on licence.

Youth disposal (sentence type)

Reprimand or warning – a reprimand is a formal verbal warning given by a police officer to a juvenile offender who admits they are guilty for a minor first offence. A final warning is similar to a reprimand, but can be used for either the first or second offence, and includes an assessment of the juvenile to determine the causes of their offending behaviour and a programme of activities is designed to address them.

First-tier penalties

Discharge – a juvenile offender is given an absolute discharge when they admit guilt, or are found guilty, with no further action taken. An offender given a conditional discharge also receives no immediate punishment, but is given a set period during which, if they commit a further offence, they can be brought back to court and re-sentenced.

Fine – the size of the fine depends on the offence committed and the offender’s financial circumstances. In the case of juveniles under 16, the fine is the responsibility of the offender’s parent or carer.

Referral order – this is given to juveniles pleading guilty and for whom it is their first time at court (unless the offence is so serious it merits a custodial sentence or it is of a relatively minor nature).

The offender is required to attend a Youth Offender Panel to agree a contract, aimed to repair the harm caused by the offence and address the causes of the offending behaviour.

Reparation order – the offender is required to repair the harm caused by their offence either directly to the victim or indirectly to the community.

Youth Rehabilitation Order – a community sentence for juvenile offenders, which came into effect on 30 November 2009 as part of the Criminal Justice and Immigration Act 2008. It combines a number of sentences into one generic sentence and is the standard community sentence used for the majority of children and young people who offend. The following requirements can be attached to a Youth Rehabilitation Order (YRO):

- activity requirement
- curfew requirement
- exclusion requirement
- local authority residence requirement
- education requirement
- mental health treatment requirement
- unpaid work requirement
- drug testing requirement
- intoxicating substance misuse requirement
- supervision requirement
- electronic monitoring requirement
- prohibited activity requirement
- drug treatment requirement
- residence requirement
- programme requirement
- attendance centre requirement

- intensive supervision and surveillance
- intensive fostering

The following community sentences are replaced by the YRO, but will continue to exist for those that committed an offence before 30 November 2009. The YRO is only available for those that committed an offence on or after the 30 November 2009.

- action plan order
- curfew order
- supervision order
- supervision order and conditions
- community punishment order
- community punishment and rehabilitation order
- attendance centre order
- drug treatment and testing order
- exclusion order
- community rehabilitation order

Prison categories

Category B and category C prisons hold sentenced prisoners of their respective categories, including life sentenced prisoners. The regime focuses on programmes that address offending behaviour and provide education, vocational training and purposeful work for prisoners who will normally spend several years in one prison.

High security prisons hold category A and B prisoners. Category A prisoners are managed by a process of dispersal, and these prisons also hold a proportion of category B prisoners for whom they provide a similar regime to a category B prison. The category B prisoners held in a High Security Prison are not necessarily any more dangerous or difficult to manage than those in category B prisons.

Female prisons, as the name implies, hold female prisoners. Because of the smaller numbers, they are not divided into the same number of categories although there are variations in security levels.

Local prisons serve the courts in the area. Historically their main function was to hold un-convicted and un-sentenced prisoners and, once a prisoner had been sentenced, to allocate them on to a category B, C or D prison as appropriate to serve their sentence.

However, pressure on places means that many shorter term prisoners serve their entire sentence in a local prison, while longer term prisoners also complete some offending behaviour and training programmes there before moving on to lower security conditions. All local prisons operate to category B security standards.

Open prisons have much lower levels of physical security and only hold category D prisoners. Many prisoners in open prisons will be allowed to go out of the prison on a daily basis to take part in voluntary or paid work in the community in preparation for their approaching release.

Prisoner categories

These categories are based on a combination of the type of crime committed, the length of sentence, the likelihood of escape, and the danger to the public if they did escape. The four categories are:

Category A prisoners are those whose escape would be highly dangerous to the public or national security.

Category B prisoners are those who do not require maximum security, but for whom escape needs to be made very difficult.

Category C prisoners are those who cannot be trusted in open conditions, but who are unlikely to try to escape.

Category D prisoners are those who can be reasonably trusted not to try to escape and are given the privilege of an open prison.

Miscellaneous terms

Drug-misusing offenders

There are four ways a drug-misusing offender can be identified:

- Individuals who have tested positive for heroin or crack/cocaine following an arrest or charge for 'trigger' offences (largely acquisitive crime offences) as part of the Drug Interventions Programme (DIP) are included as adult proven offenders.
- Any offender that received an OASys assessment whilst on licence or on a community sentence and are either recorded as being subject to a current Drug Treatment and Testing Order (DTTO) or Drug Rehabilitation Requirement (DRR), or are assessed as having a criminogenic drug need.

- Any offender identified as requiring further drug interventions by Counselling, Assessment, Referral, Advice, Throughcare (CARAT) teams in prison, and now being released into the community.
- Any offender identified by local Criminal Justice Integrated Teams (CJITs) as requiring further intervention for their drug use and offending as part of DIP.

National Probation Service – the National Probation Service generally deals with those aged 18 years and over. (Those under 18 are mostly dealt with by Youth Offending Teams, answering to the Youth Justice Board.) They are responsible for supervising offenders who are given community sentences and suspended sentence orders by the courts, as well as offenders given custodial sentences, both pre and post their release.

Police National Computer – the Police National Computer (PNC) is the police's administrative IT system used by all police forces in England and Wales and managed by the National Policing Improvement Agency. As with any large scale recording system the PNC is subject to possible errors with data entry and processing. The MoJ maintains a database based on weekly extracts of selected data from the PNC in order to compile statistics and conduct research on re-offending and criminal histories. The PNC largely covers recordable offences – these are all indictable and triable-either-way offences plus many of the more serious summary offences. All figures derived from the MoJ's PNC database, and in particular those for the most recent months, are likely to be revised as more information is recorded by the police.

Prolific and other priority offenders – the Prolific and other Priority Offenders Programme (PPO) aims to use a multi-agency approach to focus on a very small, but hard core group of prolific/persistent offenders who commit disproportionate amounts of crime and cause disproportionate harm to their local communities. The identification of a PPO is undertaken at a local level involving police, local authorities, prison and probation services and youth offending teams. The factors that influence the decision of whether an offender is included in the PPO programme are:

- the nature and volume of crimes they commit;
- the nature and volume of other harm they cause; and
- the detrimental impact they have on their community.

Recordable offences – recordable offences are those that the police are required to record on the PNC. They include all offences for which a custodial sentence can be given plus a range of other offences defined as recordable in legislation. They exclude a range of less serious summary offences, for example television licence evasion, driving without insurance, speeding and vehicle tax offences.

Indictable and summary offences – summary offences are triable only by a magistrates' court. This group includes motoring offences, common assault and criminal damage up to £5,000. More serious offences are classed either as triable-either-way (these can be tried either at the Crown Court or at a magistrates' court and include criminal damage where the value is £5,000 or greater, theft and burglary) or indictable-only (the most serious offences that must be tried at the Crown Court; these 'indictable-only' offences include murder, manslaughter, rape and robbery). The term indictable offences is used to refer to all triable-either-way and 'indictable-only' offences.

Offence group – a split of offences into 21 separate groups. A more detailed split of the 10 indictable offence groups (violence against the person, sexual offences, burglary, robbery, theft and handling and stolen goods, fraud and forgery, criminal damage, drug offences, other indictable offences (excluding motoring), indictable motoring) and the two summary offence groups (summary non-motoring and summary motoring offence types).

Offence group (based on new ONS crime classifications) – offences classified into 13 separate offence categories using the new Office for National Statistics (ONS) crime classifications. For further information on the new classification, please refer to: www.ons.gov.uk/ons/guide-method/method-quality/specific/crime-statistics-methodology/presentational-changes-on-police-recorded-crime-in-england-and-wales.pdf.

Explanatory notes

The United Kingdom Statistics Authority has designated these statistics as National Statistics, in accordance with the Statistics and Registration Service Act 2007 and signifying compliance with the Code of Practice for Official Statistics.

Designation can be broadly interpreted to mean that the statistics:

- meet identified user needs;
- are well explained and readily accessible;
- are produced according to sound methods; and
- are managed impartially and objectively in the public interest.

Once statistics have been designated as National Statistics it is a statutory requirement that the Code of Practice shall continue to be observed.

Symbols used

..	Not available
0	Nil or less than half the final digit shown
-	Not applicable
*	One or both of the comparison figures are less than 30
(p)	Provisional data

Contact points

Press enquiries should be directed to the Ministry of Justice press office:

Tel: 020 3334 3536

Email: newsdesk@justice.gsi.gov.uk

Other enquiries about these statistics should be directed to:

Mike Elkins

Ministry of Justice
Justice Statistics Analytical Services
7th Floor
102 Petty France
London
SW1H 9AJ
Tel: 020 3334 2946

General enquiries about the statistical work of the Ministry of Justice can be e-mailed to: statistics.enquiries@justice.gsi.gov.uk

General information about the official statistics system of the United Kingdom is available from www.statistics.gov.uk.

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